



Australian Capital Territory

Freedom of Information Act 1989

A1989-46

Republication No 10

Effective: 24 March 2007 – 11 April 2007

Republication date: 24 March 2007

Last amendment made by A2007-5

Not all amendments are in force: see last endnote

Authorised by the ACT Parliamentary Counsel

About this republication

The republished law

This is a republication of the *Freedom of Information Act 1989* (including any amendment made under the *Legislation Act 2001*, part 11.3 (Editorial changes)) as in force on 24 March 2007. It also includes any amendment, repeal or expiry affecting the republished law to 24 March 2007.

The legislation history and amendment history of the republished law are set out in endnotes 3 and 4.

Kinds of republications

The Parliamentary Counsel's Office prepares 2 kinds of republications of ACT laws (see the ACT legislation register at www.legislation.act.gov.au):

- authorised republications to which the *Legislation Act 2001* applies
- unauthorised republications.

The status of this republication appears on the bottom of each page.

Editorial changes

The *Legislation Act 2001*, part 11.3 authorises the Parliamentary Counsel to make editorial amendments and other changes of a formal nature when preparing a law for republication. Editorial changes do not change the effect of the law, but have effect as if they had been made by an Act commencing on the republication date (see *Legislation Act 2001*, s 115 and s 117). The changes are made if the Parliamentary Counsel considers they are desirable to bring the law into line, or more closely into line, with current legislative drafting practice.

This republication includes amendments made under part 11.3 (see endnote 1).

Uncommenced provisions and amendments

If a provision of the republished law has not commenced or is affected by an uncommenced amendment, the symbol **U** appears immediately before the provision heading. The text of the uncommenced provision or amendment appears only in the last endnote.

Modifications

If a provision of the republished law is affected by a current modification, the symbol **M** appears immediately before the provision heading. The text of the modifying provision appears in the endnotes. For the legal status of modifications, see *Legislation Act 2001*, section 95.

Penalties

The value of a penalty unit for an offence against this republished law at the republication date is—

- (a) if the person charged is an individual—\$100; or
- (b) if the person charged is a corporation—\$500.



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Freedom of Information Act 1989

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R10
24/03/07

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Australian Capital Territory

Freedom of Information Act 1989

An Act to give members of the public rights of access to official documents of the Territory

Part 1 Preliminary

U 1 Short title

This Act may be cited as the *Freedom of Information Act 1989*.

U 3 Object

- (1) The object of this Act is to extend as far as possible the right of the Australian community and, in particular, the citizens of the Territory, to access to information in the possession of the Territory by—
 - (a) making available to the public information about the operations of agencies and, in particular, ensuring that rules and practices affecting members of the public in their dealings with agencies are readily available to persons affected by those rules and practices; and
 - (b) creating a general right of access to information in documentary form in the possession of Ministers and agencies, limited only by exceptions and exemptions necessary for the protection of essential public interests and the private and business affairs of persons in respect of whom information is collected and held by agencies.
- (2) The provisions of this Act shall be interpreted so as to further the objects set out in subsection (1) and to ensure that discretions conferred by this Act are exercised as far as possible to facilitate and promote, promptly and at the lowest reasonable cost, the disclosure of information.

U 3A Notes**U** 4 Interpretation for Act

(1) In this Act:

Note A definition applies except so far as the contrary intention appears (see *Legislation Act 2001*, s 155 and s 156 (1)).

agency means an administrative unit or a prescribed authority.

applicant means a person who has made a request.

Australian archives means the Australian Archives established under the *Archives Act 1983* (Cwlth).

Commonwealth agency means a body that is an agency for the *Freedom of Information Act 1982* (Cwlth).

document, in relation to an agency, means a document in the possession of the agency, whether created in the agency or received in the agency.

enactment means an Act or subordinate law.

exempt document means—

- (a) a document which, under part 4, is an exempt document; or
- (b) a document in respect of which an agency is exempt from the operation of this Act under section 6; or
- (c) an official document of a Minister that contains some matter that does not relate to the affairs of an agency.

exempt matter means matter the inclusion of which in a document causes the document to be an exempt document.

officer, in relation to an agency, includes a member of, or a member of the staff of, the agency.

official document, in relation to a Minister, means a document that is in the possession of the Minister in the Minister's capacity as a Minister, being a document that relates to the affairs of an agency, and includes a document that has passed from the Minister's possession if the Minister is entitled to access to the document and the document is not a document of an agency.

personal information means information or an opinion (including information forming part of a database), whether true or not, and whether recorded in a material form or not, about an individual whose identity is apparent, or can reasonably be ascertained, from the information or opinion.

prescribed authority means—

- (a) a body corporate, or an unincorporated body, established for a public purpose by, or in accordance with the provisions of, an enactment, other than—
 - (i) an incorporated company or association; or
 - (ii) a body that, under subsection (2) or the regulations, is not a prescribed authority for this Act; or
 - (iii) a royal commission appointed under the *Royal Commissions Act 1991*; or
 - (iv) a board of inquiry appointed under the *Inquiries Act 1991*; or
 - (v) a judicial commission appointed under the *Judicial Commissions Act 1994*; or
- (b) any other body, whether incorporated or unincorporated, that is declared by the regulations to be a prescribed authority for this Act, being—
 - (i) a body established by the Executive or by a Minister; or

- (ii) an incorporated company or association over which the Territory is in a position to exercise control; or
- (c) subject to subsection (3), the person holding, or performing the duties of, an office established by an enactment; or
- (d) a person holding, or performing the duties of, an office declared by the regulations to be an office the holder of which is a prescribed authority for this Act, being an office created by the Executive or by a Minister otherwise than under an enactment; or
- (e) a territory-owned corporation or a subsidiary within the meaning of the *Territory-owned Corporations Act 1990*.

principal officer means—

- (a) in relation to an administrative unit—the chief executive of the administrative unit; or
- (b) in relation to a prescribed authority—
 - (i) if the regulations declare an office to be the principal office in respect of the authority—the person holding, or performing the duties of, that office; or
 - (ii) in the case of a territory-owned corporation or a subsidiary within the meaning of the *Territory-owned Corporations Act 1990*—the chief executive officer of the corporation or subsidiary; or
 - (iii) in any other case—the person who constitutes that authority or a person acting in his or her office or, if the authority is constituted by 2 or more persons, the person who is entitled to preside at any meeting of the authority at which the person is present or a person acting in his or her office.

request means an application made in accordance with section 14 (1).

responsible Minister, in relation to an agency, means—

- (a) subject to paragraphs (b) and (c), the Minister who is responsible for that agency; or
- (b) in relation to a prescribed authority referred to in the definition of ***prescribed authority***, paragraph (c)—the Minister administering the enactment concerned; or
- (c) in relation to a prescribed authority referred to in the definition of ***prescribed authority***, paragraph (b) or (d)—the Minister declared by the regulations to be the responsible Minister in respect of that authority;

or another Minister acting for and on behalf of that Minister.

State includes the Northern Territory.

tribunal means the administrative appeals tribunal.

- (2) An unincorporated body, being a board, council, committee, subcommittee or other body established by or under an enactment for the purpose of assisting, or performing functions connected with, a prescribed authority shall not be taken to be a prescribed authority for this Act, but shall be taken to be comprised within that prescribed authority.
- (3) A person shall not be taken to be a prescribed authority only because the person holds or performs the duties of—
 - (a) a prescribed office; or
 - (b) an office the duties of which the person performs as duties of his or her employment as an officer of an agency; or
 - (c) an office of member of a body; or
 - (d) an office established by an enactment for the purposes of a prescribed authority.

- (4) Where an agency is abolished—
- (a) if the functions of the agency are acquired by another agency—any request made to the firstmentioned agency shall be taken to have been made to, and any decision made by the firstmentioned agency in respect of a request made to it shall be taken to have been made by, the other agency; and
 - (b) if the functions of the agency are acquired by more than 1 other agency—any request made to the firstmentioned agency shall be taken to have been made to, and any decision made by the firstmentioned agency in respect of a request made to it shall be taken to have been made by, whichever of those other agencies has acquired the functions of the firstmentioned agency to which the document the subject of the request most closely relates; and
 - (c) if the documents of the agency are held by the Australian archives on behalf of the Territory—any request made to the agency shall be taken to have been made to, and any decision made by the agency in respect of a request made by it shall be taken to have been made by, the agency to the functions of which the document the subject of the request most closely relates.
- (5) If the agency to which a request is so taken to have been made, or by which a decision upon request is so taken to have been made, was not itself in existence at the time when the request or decision was taken so to have been made, then, for the purposes only of dealing with that request or decision under this Act, that agency shall be taken to have been in existence at that time.
- (6) Where a person has nominated an address in Australia at which documents may be served on the person, a document or statement that is required by this Act to be given to the person may be sent to that address.

U 4A Abolished agencies

5 Act to apply to certain tribunals in respect of administrative matters

The regulations may specify that—

- (a) each tribunal, authority or body identified in the regulations is to be taken to be a prescribed authority; or
- (b) the holder of an office pertaining to a tribunal, authority or body identified in the regulations, being an office established by the enactment establishing the tribunal, authority or body, is not, in his or her capacity as the holder of that office, to be taken to be a prescribed authority or to be included in an administrative unit; or
- (c) a registry or other office of or under the charge of a tribunal, authority or body declared by the regulations to be a prescribed authority, and the staff of such a registry or other office when acting in a capacity as members of that staff, shall be taken to be part of the tribunal, authority or body;

but this Act does not apply to any request for access to a document of a tribunal, authority or body so identified unless the document relates to matters of an administrative nature.

6 Exemption of certain bodies

- (1) A territory-owned corporation or a subsidiary within the meaning of the *Territory-owned Corporations Act 1990* is exempt from the operation of this Act in relation to documents in respect of its competitive commercial activities.
- (2) An agency is exempt from the operation of this Act in respect of documents that are health records within the meaning of the *Health Records (Privacy and Access) Act 1997* (the **Health Records Act**).

- (3) The human rights commission is exempt from the operation of this Act in relation to documents created or received by the commission in relation to—
- (a) a complaint made to the commission under the Health Records Act, section 18; or
 - (b) the exercise of a function under the *Human Rights Commission Act 2005* in relation to a complaint mentioned in paragraph (a).

Note *Exercise* and *function* are defined in the Legislation Act, dict, pt 1.

- (4) The regulations may specify that—
- (a) bodies identified in the regulations, or persons holding and performing the duties of offices so identified, are not prescribed authorities for this Act; or
 - (b) agencies identified in the regulations are exempt from the operation of this Act in relation to the documents referred to in the regulations in relation to them.

6A How Act applies to certain older records

- (1) The disapplied provisions do not apply to a record of an agency if 20 years have elapsed since the record, or the original of which it is a copy, came into existence unless, before the end of the 20 years, the director makes a declaration (a ***section 28 declaration***) under the *Territory Records Act 2002*, section 28 that the disapplied provisions apply.
- (2) However, if the director makes the declaration, part 4 of this Act (other than an excepted provision) ceases to apply to the record at the end of 20 years after the making of the declaration.
- (3) If—
- (a) the disapplied provisions do not apply to a record of an agency because 20 years have elapsed since the record, or the original of which it is a copy, came into existence and the director did

not make a section 28 declaration before the end of the 20 years; but

- (b) the director makes a section 28 declaration in relation to the record after the end of the 20 years;

the disapplied provisions again apply to the record.

- (4) However, if the director makes the declaration, part 4 of this Act (other than an excepted provision) does not again apply to the record.

- (5) In this section:

agency—see the *Territory Records Act 2002*, section 7.

director—see the *Territory Records Act 2002*, dictionary.

disapplied provisions means part 3 (other than sections 24 and 26) and part 4.

excepted provisions means the following provisions:

- (a) section 34 (1) (Documents affecting relations with Commonwealth and States);
- (b) section 37 (Documents affecting enforcement of the law and protection of public safety);
- (c) section 41 (Documents affecting personal privacy);
- (d) section 42 (Documents subject to legal professional privilege);
- (e) section 46 (Documents disclosure of which would be contempt of Legislative Assembly or a court).

record—see the *Territory Records Act 2002*, section 9.

Part 2 **Publication of certain documents and information**

U 7 **Publication of information concerning functions and documents of agencies**

- (1) The responsible Minister of an agency shall—
 - (a) cause to be published, as soon as practicable after the commencement of this Act but not later than 12 months after that commencement—
 - (i) a statement setting out particulars of the organisation and functions of the agency, indicating, as far as practicable, the decision-making powers and other powers affecting members of the public that are involved in those functions; and
 - (ii) a statement setting out particulars of any arrangements that exist for bodies or persons outside the Executive or agencies to participate, either through consultative procedures, the making of representations or otherwise, in the formulation of policy by the agency, or in the administration by the agency of any enactment or scheme; and
 - (iii) a statement of the categories of documents that are maintained in the possession of the agency, being a statement that sets out, as separate categories of documents, categories of such documents (if any) as are referred to in section 11 (1) and categories of other documents (if any) as are customarily made available to the public, otherwise than under this Act, free of charge upon request; and

- (iv) a statement of particulars of the facilities (if any) provided by the agency for enabling members of the public to obtain physical access to the documents of the agency; and
 - (v) a statement of any information that needs to be available to the public concerning particular procedures of the agency in relation to part 3, and particulars of the officer to whom, and the place at which, initial inquiries concerning access to documents may be directed; and
- (b) during the year commencing on 1 January next following the publication, in respect of the agency, of the statement under paragraph (a) (i), (ii), (iii), (iv) or (v) that is the first statement published under that subparagraph, and during each succeeding year, cause to be published statements bringing up-to-date the information contained in the statement previously published under that subparagraph.

Note If a form is approved under s 81 (Approved forms) for a statement under this section, the form must be used.

- (2) The information to be published under this section must be published by including it—
- (a) in a report prepared by the agency under the *Annual Reports (Government Agencies) Act 2004*; or
 - (b) if the agency is not required to prepare a report mentioned in paragraph (a)—in the annual report to which the affairs of the agency relate.
- (3) Nothing in this section requires the publication of information that is of such a nature that its inclusion in a document of an agency would cause that document to be an exempt document.

- (4) Subsection (1) applies in relation to an agency that comes into existence after the commencement of this Act as if the references in that subsection to the commencement of this Act were references to the day on which the agency comes into existence.

U 8 **Certain documents to be available for inspection and purchase**

- (1) This section applies, in respect of an agency, to documents that are provided by the agency for the use of, or are used by, the agency or its officers in making decisions or recommendations for the purposes of an enactment or scheme administered by the agency, with respect to rights, privileges or benefits, or to obligations, penalties or other detriments, to which persons are or may be entitled or subject, being—
- (a) manuals or other documents containing interpretations, rules, guidelines, practices or precedents including, but without limiting the generality of the foregoing, precedents in the nature of letters of advice providing information to bodies or persons outside the Territory administration; or
 - (b) documents containing particulars of such a scheme, not being particulars contained in an enactment as published apart from this Act; or
 - (c) documents containing statements of the manner, or intended manner, of administration or enforcement of such an enactment or scheme; or
 - (d) documents describing the procedures to be followed in investigating breaches or evasions or possible breaches or evasions of such an enactment or of the law relating to such a scheme;

but not including documents that are available to the public as published otherwise than by an agency or as published by another agency.

- (2) The principal officer of an agency shall—
- (a) cause copies of all documents to which this section applies in respect of the agency that are in use from time to time to be made available for inspection and for purchase by members of the public; and
 - (b) cause to be prepared, within 12 months after the commencement of this Act, and as soon as practicable after preparation to be made available, for inspection and for purchase by members of the public, a statement (which may take the form of an index) specifying the documents copies of which are, at the time of preparation of the statement, available in accordance with paragraph (a) and the place or places where copies may be inspected and may be purchased; and
 - (c) cause to be prepared, if possible within 3 months, and in any case not later than 12 months, after the preparation of the last preceding statement prepared in accordance with paragraph (b) or this paragraph, and as soon as practicable after the preparation to be made available, for inspection and for purchase by members of the public, a statement bringing up-to-date the information contained in that last preceding statement.
- (3) The principal officer is not required to comply fully with subsection (2) (a) within the period of 12 months after the commencement of this Act, but shall, within that period, comply with that paragraph as far as is practicable.
- (4) This section does not require a document of the kind referred to in subsection (1) containing exempt matter to be made available in accordance with subsection (2), but, if such a document is not so made available, the principal officer of the agency shall, if practicable, cause to be prepared a corresponding document, altered only to the extent necessary to exclude the exempt matter, and cause the document so prepared to be dealt with in accordance with subsection (2).

- (5) A report of a responsible Minister under section 79 (2) in respect of a year shall include a statement concerning compliance by each agency for which that Minister has responsibility with the requirements of this section during that year.
- (6) Where a person makes a request to inspect or to purchase a document of an agency concerning a particular enactment or scheme, being a document of a kind to which this section applies, the principal officer of the agency shall take all reasonable steps to ensure that the attention of that person is drawn to any document of the agency concerning that enactment or scheme that is relevant to the request and has become a document to which this section applies since the last occasion on which a statement in respect of documents of the agency was prepared and made available in accordance with subsection (2).
- (7) Subsection (3) applies in relation to an agency that comes into existence after the commencement of this Act as if the reference in that subsection to the commencement of this Act were a reference to the day on which the agency comes into existence.

U 9 Unpublished documents not to prejudice public

- (1) If a document required to be made available in accordance with section 8, being a document containing a rule, guideline or practice relating to a function of an agency was not made available as referred to in that section, before the time at which a person did, or omitted to do, any act or thing relevant to the performance of that function in relation to the person (whether or not the time allowed for publication of a statement in respect of the document had expired before that time), the person, if he or she was not aware of that rule, guideline or practice at that time, shall not be subjected to any prejudice only because of the application of that rule, guideline or practice in relation to the thing done or omitted to be done by the person if he or she could lawfully have avoided that prejudice had he or she been aware of that rule, guideline or practice.

Part 2 Publication of certain documents and information

Section 9

- (2) The reference in subsection (1) to the time at which a person did, or omitted to do, any act or thing relevant to the performance in relation to the person of a function of an agency does not include a reference to a time earlier than—
- (a) the end of the period of 12 months referred to in section 8 (2) (b); or
 - (b) the end of the period of 12 months after the day on which the agency came into existence;
- whichever is the later.

Part 3 Access to documents

10 Right of access

Subject to this Act, every person has a legally enforceable right to obtain access in accordance with this Act to—

- (a) a document of an agency, other than an exempt document; or
- (b) an official document of a Minister, other than an exempt document.

11 Pt 3 not to apply to certain documents

- (1) A person is not entitled to obtain access under this part to—
 - (a) a document that is open to public access, as part of a public register or otherwise, in accordance with another enactment, where that access is subject to a fee or other charge; or
 - (b) a document that is available for purchase by the public in accordance with arrangements made by an agency; or
 - (c) library material maintained for reference purposes.
- (2) A person is not entitled to obtain access under this part to a document or a part of a document that became a document of a Commonwealth agency or an official document of a Commonwealth Minister before 1 January 1977 unless—
 - (a) the document or that part of the document contains personal information about the person; or
 - (b) the document or that part of the document is a document or a part of a document access to which is reasonably necessary to enable a proper understanding of a document of an agency or an official document of a Minister to which that person has lawfully had access.

- (3) Regulations may be made modifying subsection (2) so as to enable a person to obtain access under this part to documents to which, but for the making of those regulations, the person would not be entitled to access because of that subsection.
- (4) References in subsection (3) to subsection (2) include references to subsection (2) as previously modified under regulations made under subsection (3).

12 Documents in Australian archives

- (1) For this Act, a document that has been placed in the custody of the Australian archives by an agency shall be taken to be in the possession of that agency or, if that agency no longer exists, the agency to the functions of which the document is most closely related.
- (2) For this Act, a document that—
 - (a) becomes the property of the Territory by virtue of the *A.C.T. Self-Government (Consequential Provisions) Act 1988* (Cwlth), section 5; and
 - (b) before the commencement of this Act, was placed in the custody of the Australian archives;

shall be taken to be in the possession of the agency to the functions of which the document is most closely related.

13 Access to documents apart from Act

Nothing in this Act is intended to prevent or discourage Ministers and agencies from publishing or giving access to documents (including exempt documents) otherwise than as required by this Act where they can properly do so or are required by law to do so.

14 Requests for access

- (1) A person who wishes to obtain access to a document of an agency or an official document of a Minister may, by application in writing to the agency or Minister, request access to the document.

Note A fee may be determined under s 80 (Determination of fees and charges) for this section.

- (2) A request shall provide such information concerning the document as is reasonably necessary to enable a responsible officer of the agency, or the Minister, as the case may be, to identify the document.
- (3) Where a person—
- (a) wishes to make a request to an agency; or
 - (b) has made a request to an agency that does not comply with this section;

it is the duty of the agency to take reasonable steps to assist the person to make the request in a manner that complies with this section.

- (4) Where a person has directed to an agency a request that should have been directed to another agency or to a Minister, it is the duty of the firstmentioned agency to take reasonable steps to assist the person to direct the request to the appropriate agency or Minister.
- (5) Where—
- (a) a person requests access to a document under this section; and
 - (b) an application fee is determined under section 80 (Determination of fees and charges) for the request; and
 - (c) the request is not accompanied by the application fee, but is accompanied by an application for remission under section 30 (Remission of application fees) of the application fee;

the request for access is to be taken to be in accordance with this section unless and until the agency has taken all reasonable steps to notify the applicant that the fee is not to be remitted in full.

15 Transfer of requests

- (1) Where a request is made to an agency for access to a document and—
- (a) the document is not in the possession of that agency but is, to the knowledge of that agency, in the possession of another agency; or
 - (b) the subject matter of the document is more closely connected with the functions of another agency than with those of the agency to which the request is made;

the agency to which the request is made may, with the agreement of the other agency, transfer the request to the other agency.

- (2) Where a request is made to an agency for access to a document that—
- (a) originated with, or has been received from, a body to which, or a person to whom, regulations made for section 6 (4) (a) apply; and
 - (b) is more closely connected with the functions of that body or person than with those of the agency to which the request is made;

the request shall be transferred to the administrative unit responsible for the administration of the enactment by or under which the body or person is established, continued in existence or appointed.

- (3) Where a request is made to an agency for access to a document that—
- (a) originated in, or has been received from, another agency, being an agency to which the regulations made for section 6 (4) (b) apply; and
 - (b) is more closely connected with the functions of the other agency in relation to documents in respect of which the other agency is exempt from the operation of this Act than with the functions of the agency to which the request is made;
- the agency to which the request is made shall transfer the request to the other agency.
- (4) Where a request is transferred to an agency in accordance with this section, the agency making the transfer shall inform the person making the request accordingly and, if it is necessary to do so in order to enable the other agency to deal with the request, send the document to the other agency.
- (5) Where a request is transferred to an agency in accordance with this section, it shall be taken to be a request made to that agency and received at the time at which it was originally received.
- (6) In this section:
- agency* includes a Minister.

16 Requests involving use of computers etc

- (1) Where—
- (a) a request (including a request of the kind described in section 23 (1)) is made in accordance with the requirements of section 14 (2) to an agency or to a Minister; and

- (b) it appears from the request that the desire of the applicant is for information that is not available in discrete form in documents of the agency or in official documents of the Minister, as the case may be; and
- (c) the agency or the Minister, as the case may be, could produce a written document containing the information in discrete form by—
 - (i) the use of a computer or other equipment that is ordinarily available to the agency or ordinarily available in an office of the Minister, as the case may be, for retrieving or collating stored information; or
 - (ii) the making of a transcript from a sound recording held in the agency or in an office of the Minister, as the case may be;

the agency or the Minister, as the case may be, shall deal with the request as if it were a request for access to a written document so produced and containing that information and, for that purpose, this Act applies as if the agency or the Minister, as the case may be, were in possession of such a document.

- (2) An agency or a Minister is not required to comply with subsection (1) if compliance would substantially and unreasonably divert the resources of the agency, or of an office of the Minister, as the case may be, from its other operations.

17 Access to documents to be given on request

- (1) If a request is made under section 14 (2) by a person to an agency or Minister for access to a document of the agency or an official document of the Minister, the person must be given access to the document under this Act.

Note A fee may be determined under s 80 (Determination of fees and charges) for this section.

- (2) An agency or Minister is not required by this Act to give access to a document at a time when the document is an exempt document.

18 Time within which requests to be decided

- (1) If a request for access to a document that is made to an agency or Minister—

- (a) is expressed to be made under this Act; and
- (b) is sent by post to the agency or Minister, or delivered to an officer of the agency or a member of the staff of the Minister, at the appropriate address of the agency or the Minister;

the agency or Minister shall take all reasonable steps to ensure that—

- (c) the applicant is notified of the day on which the request was received by or on behalf of the agency or Minister as soon as practicable but in any case not later than 14 days after the day on which it was so received; and
- (d) the applicant is notified of a decision on the request (including a decision under section 20 to defer the provision of access to a document) as soon as practicable but in any case not later than 30 days after the day on which the request is received by or on behalf of the agency or Minister.
- (2) A Minister may, in relation to the Minister or an agency, state, in writing, an address (the *appropriate address*) as the address to which requests made under this Act may be sent or delivered under this section.
- (3) A statement under subsection (2) is a notifiable instrument.
- Note* A notifiable instrument must be notified under the *Legislation Act 2001*.
- (4) The period of 30 days mentioned in subsection (1) (d) must be extended by 30 days if the agency or Minister to whom the request

was made determines, in writing, that the requirements of section 26, 27 or 27A make it appropriate to extend the period.

- (5) Where an agency or a Minister makes a determination in writing under subsection (4) in relation to a request, that agency or Minister shall, as soon as possible, inform the applicant of the extension.

U 19 Forms of access

- (1) Access to a document may be given to a person in 1 or more of the following forms:
- (a) a reasonable opportunity to inspect the document;
 - (b) provision of a copy of the document;
 - (c) if the document is an article or thing from which sounds or visual images are capable of being reproduced—the making of arrangements for the person to hear or view those sounds or visual images;
 - (d) if the document is one by which words are recorded in a manner in which they are capable of being reproduced in the form of sound or in which words are contained in the form of shorthand writing or in codified form—provision of a written transcript of the words recorded or contained in the document.
- (2) Subject to subsection (3) and to section 21, where the applicant has requested access in a particular form, access shall be given in that form.
- (3) If the giving of access in the form requested by the applicant—
- (a) would interfere unreasonably with the operations of the agency, or the performance by the Minister of his or her functions; or
 - (b) would be detrimental to the preservation of the document or, having regard to the physical nature of the document, would not be appropriate; or

- (c) would, but for this Act, involve an infringement of copyright (other than copyright owned by the Territory or an agency) subsisting in matter contained in the document, being matter that does not relate to the affairs of an agency;

access in that form may be refused and access given in another form.

- (4) Subject to section 16 (1), where a person requests access to a document in a particular form and, for a reason specified in subsection (3), access in that form is refused but access is given in another form, the applicant shall not be required to pay a charge in respect of the provision of access to the document that is greater than the charge that the applicant would have been required to pay if access had been given in the form requested.

20 Deferment of access

- (1) An agency which, or a Minister who, receives a request may defer the provision of access to the document concerned—
- (a) if the publication of the document concerned is required by law—until the end of the period within which the document is required to be published; or
 - (b) if the document concerned has been prepared for presentation to the Legislative Assembly or for the purpose of being made available to a particular person or body or with the intention that it should be so made available—until the end of a reasonable period after its preparation for it to be so presented or made available; or
 - (c) if the premature release of the document concerned would be contrary to the public interest—until the occurrence of any event after which or the end of any period of time beyond which the release of the document would not be contrary to the public interest; or

- (d) if a Minister considers that the document concerned is of such general public interest that the Legislative Assembly should be informed of the contents of the document before the document is otherwise made public—until the end of 5 sitting days of the Legislative Assembly after the Legislative Assembly is so informed.
- (2) Where the provision of access to a document is deferred in accordance with subsection (1), the agency or Minister shall, in informing the applicant of the reasons for the decision, indicate, as far as practicable, the period for which the deferment will operate.
- (3) Section 60 (1) does not apply in relation to a deferment under subsection (1) (d) of this section.

21 Deletion of exempt matter

- (1) Where—
 - (a) a decision is made not to grant a request for access to a document on the ground that it is an exempt document; and
 - (b) it is possible for the agency or Minister to make a copy of the document with such deletions that the copy would not be an exempt document and would not, because of the deletions, be misleading; and
 - (c) it is reasonably practicable for the agency or Minister, having regard to the nature and extent of the work involved in deciding on and making those deletions and the resources available for that work, to make such a copy;

the agency or Minister shall, unless it is apparent from the request or as a result of consultation by the agency or Minister with the applicant, that the applicant would not wish to have access to such a copy, make, and grant access to, such a copy.

- (2) Where access is granted to a copy of a document in accordance with subsection (1)—

- (a) the applicant shall be informed—
 - (i) that it is such a copy; and
 - (ii) of the provision of this Act by virtue of which any matter deleted is exempt matter; and
- (b) section 25 does not apply to the decision that the applicant is not entitled to access to the whole of the document unless the applicant requests the agency or Minister to give to the applicant a notice in writing in accordance with that section.

22 Decisions to be made by authorised persons

A decision in respect of a request made to an agency may be made, on behalf of the agency, by the responsible Minister or the principal officer of the agency or, subject to the regulations, by an officer of the agency acting within the scope of authority exercisable by the officer in accordance with arrangements approved by the responsible Minister or the principal officer of the agency.

23 Requests may be refused in certain cases

- (1) An agency or Minister may refuse to grant access to documents in accordance with a request without processing the request if—
 - (a) the request is expressed to relate to all documents, or to all documents of a stated class, that contain information of a stated kind or relate to a stated subject matter; and
 - (b) the agency or Minister is satisfied that the work involved in giving access to all documents to which the request relates would substantially and unreasonably—
 - (i) divert the resources of the agency from its other operations; or
 - (ii) interfere with the performance by the Minister of his or her functions.

- (2) In deciding, for subsection (1), whether to refuse to grant a request, the agency or Minister—
- (a) must have regard to the number and volume of the documents and the resources that would have to be used in—
 - (i) identifying, locating and collating the documents (including documents held in the Minister's office or by contractors outside the agency); and
 - (ii) examining the documents and consulting on the documents with any entity in relation to the request; and
 - (iii) copying the documents; and
 - (iv) preparing an itemised schedule of the documents; and
 - (v) notifying the applicant of any interim or final decision on the request; but
 - (b) must not have regard to—
 - (i) any fee or charge payable for processing the request; or
 - (ii) any reason that the person requesting access gives for requesting access; or
 - (iii) the agency's or Minister's belief as to the person's reasons for requesting access.
- (3) Subsection (2) (a) does not limit the matters the Minister may have regard to.
- (4) Where, in respect of a request of a kind referred to in subsection (1) (a), it is apparent from the nature of the documents as described in the request that all of the documents to which the request is expressed to relate are exempt documents and that no obligation would arise under section 21 in relation to any of those documents to grant access to a copy of the document with deletions, the agency or Minister may refuse to grant access to the documents in accordance with the request without having identified any or all

of the documents to which the request relates and without specifying, in respect of each document, the provision of this Act under which that document is claimed to be an exempt document.

- (5) An agency or Minister shall not refuse to grant access to a document in accordance with a request—
- (a) on the ground that the request does not comply with section 14 (2); or
 - (b) in accordance with subsection (1);

without first giving the applicant a reasonable opportunity of consultation with a view to the making of the request in a form that would remove the ground for refusal.

24 Information as to existence of certain documents

- (1) Nothing in this Act shall be taken to require an agency or Minister to give information as to the existence or non-existence of a document where that information, if included in a document of an agency, would cause the lastmentioned document to be an exempt document under section 34, section 37 (1) or section 37A.
- (2) Where a request relates to a document that is, or if it existed would be, of a kind referred to in subsection (1), the agency or Minister dealing with the request may give notice in writing to the applicant that the agency or the Minister, as the case may be, neither confirms nor denies the existence, as a document of the agency, of such a document but that, assuming the existence of such a document, it would be an exempt document under section 34, section 37 (1) or section 37A and, where such a notice is given—
- (a) section 25 applies as if the decision to give such a notice were a decision referred to in that section; and
 - (b) the decision shall, for part 7, be taken to be a decision refusing to grant access to the document in accordance with the request

for the reason that the document would, if it existed, be an exempt document under section 34 or 37 (1).

25 Reasons and other particulars of decisions to be given

- (1) Where, in relation to a request, a decision is made relating to a refusal to grant access to a document in accordance with the request or deferring provision of access to a document, the decision-maker shall cause the applicant to be given notice in writing of the decision—
 - (a) stating the findings on any material questions of fact, referring to the material on which those findings were based, and stating the reasons for the decision; and
 - (b) where the decision relates to a document of an agency—stating the name and the designation of the person giving the decision; and
 - (c) giving to the applicant appropriate information concerning—
 - (i) his or her rights with respect to review of the decision; and
 - (ii) his or her rights to make a complaint to the ombudsman in relation to the decision; and
 - (iii) the procedure for the exercise of those rights;including (where applicable) particulars of the manner in which an application for review under section 59 may be made.
- (2) The *Administrative Decisions (Judicial Review) Act 1989*, section 13 does not apply to a decision referred to in subsection (1).
- (3) A notice under this section is not required to contain any matter that is of such a nature that its inclusion in a document of an agency would cause that document to be an exempt document.

26 Procedure on request in respect of documents likely to affect relations between the Territory and the Commonwealth or a State

- (1) Where arrangements have been entered into between the Territory and the Commonwealth or the Territory and a State with regard to consultation under this section and it appears that—
- (a) a document that is the subject of a request originated with, or was received from, or contains information that originated with, or was received from, the Commonwealth or the State or an authority of the Commonwealth or the State; and
 - (b) the Commonwealth or the State may reasonably wish to contend that the document is an exempt document under section 34;

a decision to grant access to the document shall not be made by the agency or Minister concerned unless consultation has taken place between the Territory and the Commonwealth or the Territory and the State in accordance with those arrangements.

- (2) Where, after consultation between the Territory and the Commonwealth or the Territory and a State as mentioned in subsection (1) in relation to a document, a decision is made that the document is not an exempt document under section 34 or under any other provision of this Act—
- (a) the agency or Minister making the decision shall, in accordance with the arrangements, cause notice in writing of the decision to be given to the Commonwealth or the State, as the case may be, as well as to the person who made the request; and
 - (b) access shall not be given to the document or, in the case of a document that contains information that originated with or was received from the Commonwealth, the State or an authority of

the Commonwealth or the State, to the document so far as it contains that information, unless—

- (i) the time for an application to the tribunal by the Commonwealth or the State in accordance with section 68 for review of the decision that the document is not an exempt document under section 34 has expired and such an application has not been made; or
- (ii) an application has been made but the tribunal has dismissed the application under the *Administrative Appeals Tribunal Act 1989*, section 43 or section 43A or made a decision mentioned in that Act, section 43B; or
- (iii) such an application has been made and the tribunal has confirmed the decision.

27 Procedure on request in respect of document relating to business affairs etc

- (1) Where—
 - (a) a request is received by an agency or Minister in respect of a document containing information concerning a person in respect of the person's business or professional affairs or concerning the business, commercial or financial affairs of an organisation or undertaking; and
 - (b) it appears to the officer or Minister dealing with the request, or to a person reviewing under section 59 a decision refusing the request, that the person or organisation, or the proprietor of the undertaking, referred to in paragraph (a) might reasonably wish to contend that the document is an exempt document under section 43;

a decision to grant access under this Act to the document, so far as it contains the information referred to in paragraph (a), shall not be made unless, where it is reasonably practicable to do so having

regard to all the circumstances, including the application of section 18—

- (c) the agency or Minister has given to that person or organisation or the proprietor of that undertaking a reasonable opportunity of making submissions in support of a contention that the document is an exempt document under section 43; and
 - (d) the person making the decision has had regard to any submissions so made.
- (2) Where, after any submissions have been made in accordance with subsection (1), a decision is made that the document, so far as it contains the information referred to in subsection (1) (a), is not an exempt document under section 43—
- (a) the agency or Minister shall cause notice in writing of the decision to be given to the person who made the submissions, as well as to the person who made the request; and
 - (b) access shall not be given to the document, so far as it contains the information referred to in subsection (1) (a), unless—
 - (i) the time for an application to the tribunal by that person in accordance with section 69 has expired and such an application has not been made; or
 - (ii) an application has been made but the tribunal has dismissed the application under the *Administrative Appeals Tribunal Act 1989*, section 43 or section 43A or made a decision mentioned in that Act, section 43B; or
 - (iii) such an application has been made and the tribunal has confirmed the decision.

27A Procedure on request in relation to document containing personal information

- (1) This section applies if—

- (a) a request is received by an agency or Minister in relation to a document containing personal information about a person (including a person who has died); and
 - (b) it appears to the person dealing with the request that the person mentioned in paragraph (a), or if that person has died, the person's legal representative, might reasonably wish to submit that the document is an exempt document under section 41 (Documents affecting personal privacy) so far as it contains personal information.
- (2) A decision to grant access under this Act to the document, so far as it contains the personal information, must not be made unless, if it is reasonably practicable to do so having regard to all the circumstances (including the application of section 18)—
- (a) the person dealing with the request has given the person or the legal representative of the person, a reasonable opportunity to make a submission that the document, so far as it contains the personal information, is an exempt document under section 41; and
 - (b) the person making the decision has considered any submission made in relation to the request.
- (3) In deciding, for subsection (1), whether a person might reasonably wish to submit that a document, so far as it contains personal information, is an exempt document under section 41, the person dealing with the request, must have regard to the following:
- (a) the extent to which the personal information is well known;
 - (b) whether the person to whom the personal information relates is known to be associated with the matters dealt with in the document;
 - (c) the availability of the personal information from publicly accessible sources;

- (d) anything else the person dealing with the request considers relevant.
- (4) If, after any submissions have been made in accordance with subsection (2), a decision is made that the document, so far as it contains the personal information, is not an exempt document under section 41—
- (a) the person dealing with the request must notify the following people, in writing, of the decision:
- (i) the person who made the request;
 - (ii) a person who made a submission in relation to the request; and
- (b) access must not be given to the document, so far as it contains the personal information, unless—
- (i) the time for an application to the tribunal by that person in accordance with section 69A (Review of certain decisions about documents relating to personal affairs) has expired and an application to extend the application period has not been made; or
 - (ii) an application has been made but the tribunal has dismissed the application under the *Administrative Appeals Tribunal Act 1989*, section 43 or section 43A or made a decision mentioned in that Act, section 43B; or
 - (iii) an application to review a decision has been made and the tribunal has confirmed the decision.
- (5) In this section:
- dealing*** with a request includes reviewing, under section 59, a decision refusing the request.

28 Persons to be notified of liability to pay charges

Where, in accordance with a determination in force under section 80, an agency or Minister makes a decision that an applicant is liable to pay a charge (not being an application fee) in respect of a request for access to a document or in respect of the provision of access to a document, the agency or Minister shall notify the applicant, in writing, accordingly, and shall give to the applicant, together with that notification, a statement setting out the basis on which the amount of that charge is calculated.

29 Charge may be remitted

- (1) An applicant may make application, in writing, to the agency to which or the Minister to whom the applicant made a request seeking the total or partial remission of any charge paid by the applicant, or notified as being a charge that the applicant is liable to pay, in respect of that request or in respect of the provision of access to a document to which the request relates.
- (2) Where an application is made under subsection (1), the agency or Minister—
 - (a) may remit the charge to which the application relates in whole or in part; and
 - (b) shall take all reasonable steps to enable the applicant to be notified of a decision on the application as soon as practicable but in any case not later than 28 days after the day on which the application was received by or on behalf of the agency or Minister.
- (3) Without limiting the matters which the agency or Minister may take into account for the purpose of determining whether or not to remit a charge under subsection (2), the agency or Minister shall take into account—

- (a) whether the payment of the charge or of any part of the charge would cause or has caused financial hardship to the applicant or to a person on whose behalf the application was made; and
 - (b) whether the document to which the applicant seeks access relates to personal information about the applicant or a person on whose behalf the application was made; and
 - (c) whether the giving of access is in the general public interest or in the interest of a substantial section of the public.
- (4) Where—
- (a) an application has been made to an agency or Minister under subsection (1); and
 - (b) notice of a decision on the application has not been received by the applicant within the period of 28 days commencing on the day on which the application was received by or on behalf of the agency or Minister;

the principal officer of the agency, or the Minister, as the case requires, shall be taken to have made, on the last day of that period, a decision refusing to make the total or partial remission of the charge to which the application relates that was sought in that application.

- (5) In this section:

charge means a charge that an applicant is notified under section 28 that the applicant is liable to pay in respect of a request for access to a document or in respect of the provision of access to a document.

30 Remission of application fees

- (1) Where—
- (a) there is an application fee in respect of an application to an agency or Minister under section 14 (1) requesting access to a document or under section 59 (1) requesting a review of a decision relating to a document (whether or not the fee has been paid); and
 - (b) the agency or Minister is satisfied that—
 - (i) the payment of the fee or of a part of the fee would cause or has caused financial hardship to the applicant or to a person on whose behalf the application was made; or
 - (ii) the document relates to personal information about the applicant or a person on whose behalf the application was made; or
 - (iii) the giving of access is in the general public interest or in the interest of a substantial section of the public;
- the agency or Minister may remit the fee or part of the fee.
- (2) Where the whole or part of an application fee is remitted under subsection (1), then, to the extent of the remission, there shall not, for section 14 (1) or 59 (1), as the case may be, be taken to be an application fee in respect of the application.

31 Period under s 18 to be extended in certain cases

- (1) Where an applicant receives a notification under section 28 before the end of 30 days after the day on which the request was received by or on behalf of an agency or a Minister, being a notification that the applicant is liable to pay a specified charge in respect of that request, section 18 applies to the request as if the period of 30 days referred to in section 18 (1) were extended by the period commencing on the day on which that notification is received by the

applicant and ending on the day that is, under subsection (2), the relevant day in relation to that request.

- (2) For subsection (1), the relevant day in relation to a request made by an applicant to whom a notification has been given under section 28 setting out the applicant's liability to pay a specified charge is—
- (a) if the applicant pays the charge, or such deposit on account of the charge as the applicant is required to pay under a determination in force under section 80 (whether or not the applicant first seeks a remission of the charge under section 29 or a review of the decision in respect of the charge under section 60)—the day on which that charge or deposit is so paid; or
 - (b) if the applicant, not having paid the charge or deposit referred to in paragraph (a), makes an application under section 29 for the total or partial remission of the charge—
 - (i) if a decision is made upon that application to remit the whole of the charge—the day on which the applicant is notified under that section of that decision; or
 - (ii) if a decision is made on that application to remit part of the charge—the day on which the applicant pays the charge as so remitted or such deposit on account of the charge as so remitted as the applicant is required to pay under a determination in force under section 80; or
 - (c) if the applicant, not having paid the charge or deposit referred to in paragraph (a), makes an application to the tribunal under section 60 for a review of the decision referred to in section 28—
 - (i) if a decision is made by the tribunal setting aside the decision referred to in section 28—the day on which the applicant is notified by the tribunal of that decision; or

- (ii) if the tribunal sets aside the decision referred to in section 28 and makes another decision in substitution for that decision—the day on which the applicant pays the charge specified in the substituted decision or such deposit on account of that charge as the applicant is required to pay under a determination in force under section 80.

Part 4 Exempt documents

U 32 Interpretation for pt 4

A provision of this part under which documents referred to in the provision are exempt documents—

- (a) shall not be construed as limited in its scope or operation in any way by any other provision of this part under which documents are exempt documents; and
- (b) shall not be construed as not applying to a particular document because another provision of this part of a kind mentioned in paragraph (a) also applies to that document.

33 Documents exempt under Commonwealth Act

- (1) Where—
 - (a) a request is made to an agency or a Minister for access to a document; and
 - (b) the principal officer of the agency, or the Minister, has reasonable grounds to believe that the document would, if it were in the possession of a Commonwealth agency, be an exempt document under the *Freedom of Information Act 1982* (Cwlth), section 33, 33A, 34, 35, 39, 44 or 47;the document is an exempt document and the principal officer or the Minister shall transfer the request to the Commonwealth agency whose functions are most closely related to the subject of the request and send the document to that agency.
- (2) Where a document is so transferred, the principal officer shall notify the applicant of the transfer.

34 Documents affecting relations with Commonwealth and States

- (1) Subject to subsection (5), a document is an exempt document if disclosure of the document under this Act—
 - (a) would, or could reasonably be expected to, cause damage to relations between the Territory and the Commonwealth or the Territory and a State; or
 - (b) would divulge information or matter communicated in confidence by or on behalf of the Commonwealth, a State or an authority of the Commonwealth or of a State to the Territory, to a Territory authority or to a person receiving the communication on behalf of the Territory or of a Territory authority.
- (2) Where a Minister is satisfied that a document is an exempt document for a reason referred to in subsection (1), the Minister may sign a certificate to that effect specifying that reason and, subject to part 7, such a certificate, so long as it remains in force, establishes conclusively that the document is an exempt document.
- (3) Where a Minister is satisfied as mentioned in subsection (2) only because of matter contained in a particular part of a document, a certificate under that subsection in respect of the document shall identify that part of the document as containing that matter.
- (4) Where a Minister is satisfied that information as to the existence or non-existence of a document as described in a request would, if contained in a document of an agency, cause the lastmentioned document to be an exempt document under this section because of a reason referred to in subsection (1), the Minister may sign a certificate to that effect, specifying that reason.
- (5) This section does not apply to a document in respect of matter in a document the disclosure of which under this Act would, on balance, be in the public interest.

- (6) The responsible Minister of an agency may, by signed instrument, delegate to the principal officer of the agency the Minister's powers under this section in respect of documents of the agency.

35 Executive documents

- (1) A document is an exempt document if it is—
- (a) a document that has been submitted to the Executive for its consideration or is proposed by a Minister to be so submitted, being a document that was brought into existence for the purpose of submission for consideration by the Executive; or
 - (b) an official record of the Executive; or
 - (c) a document that is a copy of, or of a part of, or contains an extract from, a document referred to in paragraph (a) or (b); or
 - (d) a document the disclosure of which would involve the disclosure of any deliberation or decision of the Executive, other than a document by which a decision of the Executive was officially published.
- (2) This section does not apply to a document (a *relevant document*)—
- (a) that is referred to in subsection (1) (a); or
 - (b) that is referred to in subsection (1) (b) or (c) and is a copy of, or of part of, or contains an extract from, a document that is referred to in subsection (1) (a);

to the extent that the relevant document contains purely factual material unless—

- (c) the disclosure under this Act of that document would involve the disclosure of any deliberation or decision of the Executive; and
- (d) the fact of that deliberation or decision has not been officially published.

- (3) For this Act, a certificate signed by the chief executive who has control of the administrative unit to which responsibility for the coordination of government administration is allocated under the *Public Sector Management Act 1994*, section 14 certifying that a document is of a kind referred to in a paragraph of subsection (1) establishes conclusively, subject to part 7, that it is an exempt document of that kind.
- (4) Where a document is a document referred to in subsection (1) (c) or (d) only because of matter contained in a particular part of the document, a certificate under subsection (3) in respect of the document shall identify that part of the document as containing that matter.
- (5) For this Act, a certificate signed by the chief executive who has control of the administrative unit to which responsibility for the coordination of government administration is allocated under the *Public Sector Management Act 1994*, section 14 certifying that a document as described in a request would, if it existed, be of a kind referred to in a paragraph of subsection (1) establishes conclusively, subject to part 7, that, if such a document exists, it is an exempt document of that kind.
- (6) Where a certificate under subsection (5) has been signed in respect of a document as described in a request, the decision on the request may be a decision that access to a document as described in the request is refused on the ground that, if such a document existed, it would be an exempt document referred to in the paragraph of subsection (1) that is specified in the certificate.
- (7) A reference in this section to the Executive includes a reference to a committee of the Executive.

36 Internal working documents

- (1) Subject to this section, a document is an exempt document if its disclosure under this Act—
 - (a) would disclose matter in the nature of, or relating to, opinion, advice or recommendation obtained, prepared or recorded, or consultation or deliberation that has taken place, in the course of, or for the purposes of, the deliberative processes involved in the functions of an agency or Minister or of the Territory; and
 - (b) would be contrary to the public interest.
- (2) In the case of a document of the kind referred to in section 8 (1), the matter referred to in subsection (1) (a) of this section does not include matter that is used or to be used for the purpose of the making of decisions or recommendations referred to in section 8 (1).
- (3) Where a Minister is satisfied, in relation to a document to which subsection (1) (a) applies, that the disclosure of the document would be contrary to the public interest, the Minister may sign a certificate to that effect, specifying the ground of public interest in relation to which the certificate is given and, subject to part 7, such a certificate, so long as it remains in force, establishes conclusively that the disclosure of that document would be contrary to the public interest.
- (4) Where a Minister is satisfied as mentioned in subsection (3) only because of matter contained in a particular part of a document, a certificate under that subsection in respect of the document shall identify that part of the document as containing that matter.
- (5) This section does not apply to a document only because of purely factual material contained in the document.

- (6) This section does not apply to—
- (a) reports (including reports concerning the results of studies, surveys or tests) of scientific or technical experts, whether employed within an agency or not, including reports expressing the opinions of such experts on scientific or technical matters; or
 - (b) reports of a prescribed body or organisation established within an agency; or
 - (c) the record of, or a formal statement of the reasons for, a final decision given in the exercise of a power or of an adjudicative function.
- (7) Where a decision is made under part 3 that an applicant is not entitled to access to a document because of this section, the notice under section 25 shall state the ground of public interest on which the decision is based.
- (8) The responsible Minister of an agency may, by signed instrument, delegate to the principal officer of the agency the Minister's powers under this section in respect of documents of the agency.

37 Documents affecting enforcement of the law and protection of public safety

- (1) A document is an exempt document if its disclosure under this Act would, or could reasonably be expected to—
- (a) prejudice the conduct of an investigation of a breach, or possible breach, of the law, or a failure, or possible failure, to comply with a law relating to taxation or prejudice the enforcement or proper administration of the law in a particular instance; or
 - (b) disclose, or enable a person to ascertain, the existence or identity of a confidential source of information in relation to the enforcement or administration of the law; or

- (c) endanger the life or physical safety of any person.
- (2) A document is an exempt document if its disclosure under this Act would, or could reasonably be expected to—
 - (a) prejudice the fair trial of a person or the impartial adjudication of a particular case; or
 - (b) disclose lawful methods or procedures for preventing, detecting, investigating, or dealing with matters arising out of, breaches or evasions of the law the disclosure of which would, or could reasonably be expected to, prejudice the effectiveness of those methods or procedures; or
 - (c) prejudice the maintenance or enforcement of lawful methods for the protection of public safety.
- (3) In subsections (1) and (2):
law means a law in force in Australia.

37A Documents affecting national security, defence or international relations

- (1) A document is an exempt document if its disclosure under this Act—
 - (a) would, or could reasonably be expected to, cause damage to—
 - (i) the security of the Commonwealth, the Territory or any State; or
 - (ii) the defence of the Commonwealth, the Territory or any State; or
 - (iii) the international relations of the Commonwealth; or
 - (b) would divulge any information or matter communicated in confidence by or for a foreign government, an authority of a foreign government or an international organization to the government of the Commonwealth or Territory, to an authority

of the Commonwealth or Territory or to a person receiving the communication of the Commonwealth or Territory or of an authority of the Territory.

- (2) If a Minister is satisfied that a document is an exempt document under subsection (1), the Minister may sign a certificate to that effect stating the reason and, subject to part 7, the certificate, while in force, establishes conclusively that the document is an exempt document.
- (3) If a Minister is satisfied as mentioned in subsection (2) only because of matter contained in a particular part of a document, a certificate under that subsection in relation to the document must identify that part of the document that contains the matter.
- (4) If a Minister is satisfied that information about the existence or non-existence of a document described in a request would, if contained in a document of an agency, cause the document to be an exempt document under subsection (1), the Minister may sign a certificate to that effect, stating that reason.

Note 1 A Minister may delegate the Minister's functions under an Act or statutory instrument to anyone else (see Legislation Act, s 254A).

Note 2 For the making of delegations and the exercise of delegated functions, see the Legislation Act, pt 19.4.

- (5) In this section:

security of the Commonwealth, the Territory or any State includes—

- (a) matters relating to the detection, prevention or suppression of activities, whether within or outside Australia, subversive of, or hostile to the interests of the Commonwealth, the Territory or any State or of any country allied or associated with the Commonwealth; and

- (b) the security of any communications system or cryptographic system of the Commonwealth, the Territory, any State or of another country used for—
 - (i) the defence of the Commonwealth, the Territory or any State or of any country allied or associated with the Commonwealth; or
 - (ii) the conduct of the international relations of the Commonwealth.

38 Documents to which secrecy provisions of enactments apply

A document is an exempt document if there is in force an enactment applying specifically to information of a kind contained in the document and prohibiting persons referred to in the enactment from disclosing information of that kind, whether the prohibition is absolute or is subject to exceptions or qualifications.

39 Documents affecting financial or property interests of the Territory

- (1) Subject to subsection (2), a document is an exempt document if its disclosure under this Act would have a substantial adverse effect on the financial or property interests of the Territory or of an agency.
- (2) This section does not apply to a document the disclosure of matter in which under this Act would, on balance, be in the public interest.

40 Documents concerning certain operations of agencies

- (1) Subject to subsection (2), a document is an exempt document if its disclosure under this Act would, or could reasonably be expected to—
 - (a) prejudice the effectiveness of procedures or methods for the conduct of tests, examinations or audits by an agency; or

- (b) prejudice the attainment of the objects of particular tests, examinations or audits conducted or to be conducted by an agency; or
 - (c) have a substantial adverse effect on the management or assessment of personnel by the Territory or by an agency; or
 - (d) have a substantial adverse effect on the proper and efficient conduct of the operations of an agency; or
 - (e) have a substantial adverse effect on the conduct by or on behalf of the Territory or an agency of industrial relations.
- (2) This section does not apply to a document the disclosure of matter in which under this Act would, on balance, be in the public interest.

U 41 Documents affecting personal privacy

- (1) A document is an exempt document if its disclosure under this Act would involve the unreasonable disclosure of personal information about any person (including a deceased person).
- (2) Subject to subsection (3), subsection (1) does not apply to a request by a person for access to a document only because of the inclusion in the document of matter relating to that person.
- (3) Where—
- (a) a request is made to an agency or Minister for access to a document of the agency, or an official document of the Minister, that contains information of a medical or psychiatric nature concerning the person making the request; and
 - (b) it appears to the principal officer of the agency, or to the Minister, as the case may be, that the disclosure of the information to that person might be prejudicial to the physical or mental health or wellbeing of that person;

the principal officer or Minister may direct that access to the document, so far as it contains that information, that would

otherwise be given to that person is not to be given to that person but is to be given instead to a medical practitioner to be nominated by that person.

42 Documents subject to legal professional privilege

- (1) A document is an exempt document if it is of such a nature that it would be privileged from production in legal proceedings on the ground of legal professional privilege.
- (2) A document of the kind referred to in section 8 (1) is not an exempt document under subsection (1) of this section only because of the inclusion in the document of matter that is used or to be used for the purpose of the making of decisions or recommendations referred to in section 8 (1).

43 Documents relating to business affairs etc

- (1) A document is an exempt document if its disclosure under this Act would disclose—
 - (a) trade secrets; or
 - (b) any other information having a commercial value that would be, or could reasonably be expected to be, destroyed or diminished if the information were disclosed; or
 - (c) information (other than trade secrets or information to which paragraph (b) applies) concerning a person in respect of his or her business or professional affairs or concerning the business, commercial or financial affairs of an organisation or undertaking, being information—
 - (i) the disclosure of which would, or could reasonably be expected to, unreasonably affect that person adversely in respect of his or her lawful business or professional affairs or that organisation or undertaking in respect of its lawful business, commercial or financial affairs; or

- (ii) the disclosure of which under this Act could reasonably be expected to prejudice the future supply of information to the Territory or an agency for the purpose of the administration of a law or the administration of matters administered by an agency.
- (2) Subsection (1) does not apply to a request by a person for access to a document—
 - (a) only because of the inclusion in the document of information concerning that person in respect of his or her business or professional affairs; or
 - (b) only because of the inclusion in the document of information concerning the business, commercial or financial affairs of an undertaking where the person making the request is the proprietor of the undertaking or a person acting on behalf of the proprietor; or
 - (c) only because of the inclusion in the document of information concerning the business, commercial or financial affairs of an organisation where the person making the request is the organisation or a person acting on behalf of the organisation.
- (3) A reference in this section to an undertaking includes a reference to an undertaking that is carried on by, or by an authority of, the Territory, the Commonwealth, a State or by a local government authority.

44 Documents affecting economy

- (1) A document is an exempt document if its disclosure under this Act would be contrary to the public interest because it—
 - (a) would, or could reasonably be expected to, have a substantial adverse effect on the ability of the Government of the Territory to manage the economy of the Territory; or

- (b) could reasonably be expected to result in an undue disturbance of the ordinary course of business in the community, or an undue benefit or detriment to any person or persons, by giving premature knowledge of or concerning proposed or possible action or inaction of the Government of the Territory or the Legislative Assembly.
- (2) The kinds of documents to which subsection (1) may apply include, but are not limited to, documents containing matter relating to—
- (a) a fee or charge; or
 - (b) any kind of tax or duty; or
 - (c) proposals for expenditure; or
 - (d) borrowings or proposals to borrow by the Territory or an agency.

U 45 Documents containing material obtained in confidence

- (1) A document is an exempt document if its disclosure under this Act would constitute a breach of confidence.
- (2) Subsection (1) does not apply to any document to the disclosure of which section 36 (1) (a) applies or would, but for section 36 (2), (5) or (6), apply, being a document prepared by—
- (a) a Minister; or
 - (b) a member of the staff of a Minister or an officer of an agency in the course of his or her duties; or
 - (c) a prescribed authority in the performance of its functions;
for purposes relating to the affairs of an agency or the official affairs of a Minister unless the disclosure would constitute a breach of confidence owed to a person or body other than—
 - (d) a person in the capacity of Minister, member of the staff of a Minister or officer of an agency; or

- (e) an agency or the Territory.

46 Documents disclosure of which would be contempt of Legislative Assembly or a court

A document is an exempt document if public disclosure of the document would, apart from this Act and any immunity of the Crown—

- (a) be in contempt of court; or
- (b) be contrary to an order made or direction given by a royal commission or by a tribunal or other person or body having power to take evidence on oath; or
- (c) infringe the privileges of the Legislative Assembly, of the Commonwealth Parliament, of the Parliament of a State or of a House of such a Parliament or of the Legislative Assembly of the Northern Territory or of Norfolk Island.

47 Certain documents arising out of companies and securities legislation

A document is an exempt document if it is, or is a copy of or of a part of, or contains an extract from—

- (a) a document for the purposes of the Ministerial Council for Corporations prepared by, or received by an agency or Minister from, the Commonwealth, a State or an authority of the Commonwealth or of a State; or
- (b) a document the disclosure of which would disclose the deliberations or decisions of the Ministerial Council for Corporations, other than a document by which a decision of that council was officially published; or
- (c) a document furnished to the Australian Securities and Investments Commission by the Territory, the Commonwealth, a State, a Territory authority or by an authority of the

Commonwealth or a State and relating solely to the functions of the Commission in relation to the law of the Commonwealth or of a State.

47A Electoral rolls and related documents

(1) In this section:

electoral roll means—

- (a) a roll of electors kept under the *Electoral Act 1992*; or
- (b) a roll extract within the meaning of the *Electoral Act 1992*.

(2) A reference in this section to an electoral roll in electronic form is a reference to a disk or tape from which the information contained in the roll may be reproduced by mechanical, electronic or other means.

(3) Subject to subsection (4), each of the following documents is an exempt document:

- (a) an electoral roll, whether in printed or electronic form or on microfiche or microfilm;
- (b) a copy of a document referred to in paragraph (a);
- (c) a document setting out particulars of only 1 enrolled person that was used in keeping an electoral roll;
- (d) a copy of a document referred to in paragraph (c);
- (e) a document containing only copies referred to in paragraph (d);
- (f) a document derived from an electoral roll setting out particulars of enrolled persons.

(4) A document referred to in subsection (3) is not an exempt document in relation to a person to the extent that it sets out only the particulars of the person.

Part 5 Amendment of personal records

48 Persons may make application for amendment of records

Where a person (the *claimant*) who is an Australian citizen, or whose continued presence in Australia is not subject to any limitation as to time imposed by law, claims that a document of an agency or an official document of a Minister to which access has been provided to the claimant under this Act contains personal information about the person—

- (a) that is incomplete, incorrect, out-of-date or misleading; and
- (b) that has been used, is being used or is available for use by the agency or Minister for an administrative purpose;

the person may request the agency or Minister to amend the record of that information kept by the agency or Minister.

49 Form of request for amendment of records

- (1) A request under section 48—
 - (a) shall be in writing and be expressed to be made under this Act; and
 - (b) shall specify an address in Australia to which a notice under this part may be sent to the claimant; and
 - (c) shall be sent by post to the agency or Minister, or delivered to an officer of the agency or a member of the staff of the Minister, at an address that is an appropriate address of the agency or of the Minister, as the case may be, for section 18.
- (2) A request under section 48 shall—
 - (a) give particulars of the matters in respect of which the claimant believes the record of information kept by the agency or

Minister is incomplete, incorrect, out-of-date or misleading;
and

- (b) specify the amendments that the claimant wishes to be made.

50 Procedure upon request for amendment of records

- (1) Where an agency to which or Minister to whom a request is made under section 48 decides to amend the record of information to which the request relates, the agency or Minister may, in the discretion of the Minister or agency, make the amendment either by altering the record or by adding an appropriate notation to the record.
- (2) Where an agency or Minister amends a record by adding a notation to the record, the notation shall—
 - (a) specify the respects in which the information is incomplete, incorrect, out-of-date or misleading; and
 - (b) if the information is claimed to be out-of-date—set out such information as is required to bring the information up-to-date.
- (3) Where a request is made to an agency or Minister under section 48, the agency or Minister shall take all reasonable steps to ensure that the claimant is notified of a decision on the request as soon as practicable but in any case not later than 30 days after the day on which the request is received by or on behalf of the agency or Minister.
- (4) Section 22 applies to a decision in respect of a request made under section 48.
- (5) Section 25 applies to a decision made under this part refusing to amend a record in like manner as it applies to a decision made under part 3 refusing to grant access to a document in accordance with a request made in accordance with section 14 (1).

51 Review of requests for amendments

- (1) A reference in part 7 to a *request* includes a reference to a request under section 48 but, for the application of that part to a request made under that section—
 - (a) section 59 has effect as if all the words in subsection (1) of that section after ‘agency’ (second mention) and before ‘the applicant’ (second mention) were omitted; and
 - (b) the reference in section 60 (1) (a) to a decision refusing to grant access to a document shall be read as a reference to a decision refusing to amend a record; and
 - (c) section 61 (1) (a) has effect as if ‘in accordance with section 18’ were omitted; and
 - (d) section 61 (1) (b) has effect as if ‘referred to in that section’ were omitted and ‘since the day on which the request was received by or on behalf of the agency or Minister’ were substituted; and
 - (e) the reference in section 61 (1) to a decision refusing to grant access to a document shall be read as a reference to a decision refusing to amend a record; and
 - (f) the reference in section 61 (3) to a decision refusing to grant access to a document shall be read as a reference to a decision refusing to amend a record; and
 - (g) the reference in section 61 (5) to a decision to grant, without deferment, access to a document shall be read as a reference to a decision to amend a record.
- (2) Where—
 - (a) an agency or Minister refuses to amend a record pursuant to a request under section 48; and

- (b) the claimant makes an application to the tribunal for a review of the decision; and
 - (c) the tribunal affirms the decision;
- the claimant may, by notice in writing, require the agency or Minister to add to the record a notation—
- (d) specifying the respects in which the information is claimed to be incomplete, incorrect, out-of-date or misleading; and
 - (e) if the information is claimed to be out-of-date—setting out such information as is claimed to be required to bring up-to-date or complete the information.
- (3) Section 49 (1) (c) applies to a notice under subsection (2) in like manner as it applies to a request under section 48.
- (4) Where a notice is given to an agency or Minister under subsection (2)—
- (a) the agency or Minister shall ensure that a notation as required by the notice is added to the record; and
 - (b) if any information in the part of the record to which the notation relates is disclosed to a person (including another agency or Minister) by the agency or Minister, the agency or Minister shall ensure that there is also given to that person, with the document containing the information, a statement—
 - (i) stating that the person to whom the information relates claims that the information is incomplete, incorrect, out-of-date or misleading, as the case may be; and
 - (ii) giving particulars of the notation;

and may, if the agency or Minister considers it appropriate to do so, include in the statement the reasons of the agency or Minister for not amending the part of the record from which the information is taken.

52 Notation of records supplied before commencement of Act

Nothing in this part is intended to prevent or discourage agencies or Ministers from giving particulars of a notation added to a record in accordance with section 51 (4) (a) to a person (including any agency or Minister) to whom information contained in the record to which the notation relates was given before the commencement of this Act.

Part 6 Role of ombudsman

U 53 Meaning of the *taking of action*

In this part—

- (a) a reference to the *taking of action* has the same meaning as it has in the *Ombudsman Act 1989*; and
- (b) action shall be deemed to have been taken by an agency in the circumstances in which it would be deemed to be so taken for that Act.

U 54 Complaints to ombudsman

- (1) Subject to this Act, a person may complain to the ombudsman concerning action taken by an agency in the exercise of powers or the performance of functions under this Act.
- (2) Notwithstanding anything in this Act or in the *Ombudsman Act 1989*, section 6 (6), but subject to section 6 (5) of that Act, the exercise of the powers of the ombudsman under that Act in respect of matters arising under this Act is not precluded or restricted because of the rights conferred on persons by this Act to make applications to the tribunal.
- (3) Where a complaint is made to the ombudsman under the *Ombudsman Act 1989* concerning action taken by an agency in the exercise of powers or the performance of functions under this Act, an application to the tribunal for a review of the decision shall not be made before the ombudsman has informed the applicant of the result of the complaint in accordance with the *Ombudsman Act 1989*, section 15.

- (4) Notwithstanding anything in the *Ombudsman Act 1989*, a report under that Act to a complainant in respect of a complaint arising out of a request under this Act shall not contain information of the kind referred to in section 24 (1) of this Act.

U 55 Reports made by the ombudsman

- (1) Where, in the course of an investigation by the ombudsman in relation to action taken by an agency in the exercise of powers or the performance of functions under this Act, the ombudsman has referred evidence concerning an officer to a Minister or to a principal officer under the *Ombudsman Act 1989*, section 9 (12), the ombudsman shall give a copy of that evidence to the commissioner for public administration.
- (2) A report prepared by the ombudsman under the *Annual Reports (Government Agencies) Act 2004* for a financial year—
- (a) must include a statement of the ombudsman's operations during the year about complaints made to the ombudsman, or investigations started or finished by the ombudsman, about action taken by agencies in the exercise of functions under this Act; and
- (b) may include—
- (i) observations that the ombudsman considers appropriate to make about the operation of this Act during the year; and
- (ii) recommendations that the ombudsman considers appropriate to make about ways that public access to agencies' documents or Ministers' official documents might be improved.

Note **Financial year** has an extended meaning in the *Annual Reports (Government Agencies) Act 2004*.

56 Documents of agencies claimed to be exempt under certain provisions

Where—

- (a) the ombudsman has commenced an investigation of a decision made under this Act not to grant a request for access to a document; and
- (b) a certificate is given to the ombudsman under the *Ombudsman Act 1989*, section 11 (5) or (6) in relation to that investigation;

the certificate shall not be taken to affect the right of the ombudsman to seek from any person the reasons for any decision made under this Act that the document is an exempt document or to require any person to furnish any information or to answer any questions concerning that decision.

57 Ombudsman may represent persons in proceedings before the tribunal

- (1) The ombudsman may represent, or arrange for another person to represent, any person who makes application to the tribunal, under section 60, for review of a decision (not being a decision made by a Minister) in the proceedings before the tribunal pursuant to that application if the ombudsman is of the opinion, in all the circumstances of the case, that it is reasonable to do so.
- (2) Without limiting the generality of the matters to which the ombudsman may have regard in deciding whether to represent an applicant in proceedings before the tribunal under section 60, the ombudsman shall have regard to—
 - (a) the importance of the principle involved in the matter under review; and
 - (b) the likelihood that the proceedings will establish a precedent in future proceedings; and

- (c) the financial means of the applicant; and
 - (d) the applicant's prospects of success; and
 - (e) the reasonableness of the decision under review.
- (3) Notwithstanding anything in this part, the reference in subsection (1) to a decision made by a Minister does not include a reference to a decision made by a person in the exercise of a power delegated by a Minister.

Part 7 Review of decisions

U 58 Interpretation for pt 7

For this part, unless the contrary intention appears—

- (a) a certificate given under section 34 (4), section 35 (5) or section 37A (4) in respect of a document as described in a request shall be taken to be a certificate given in respect of the document so described notwithstanding that the certificate does not acknowledge the existence or non-existence of the document so described; and
- (b) a claim that a document would, if it exists, be an exempt document under section 34, section 35 or section 37A shall be taken to be a claim that the document is an exempt document under that section notwithstanding that the existence or non-existence of the document is not acknowledged.

59 Internal review

- (1) Where a decision has been made in relation to a request to an agency otherwise than by the responsible Minister or principal officer of the agency, being—
 - (a) a decision refusing to grant access to a document in accordance with a request or deferring the provision of access to a document; or
 - (b) a decision, in relation to a charge (not being an application fee) in respect of a request for access to a document or in respect of the provision of access to a document—
 - (i) that the applicant is liable to pay the charge; or
 - (ii) as to the amount of the charge; or
 - (iii) not to remit all or part of the charge; or

- (c) a decision not to remit all or part of an application fee in respect of an application under section 14 (1) or under this subsection;

the applicant may, within 28 days after the day on which that decision is notified to the applicant or within such further period as the principal officer of the agency allows, by application in writing to the principal officer of the agency, request a review of the decision in accordance with this section.

Note A fee may be determined under s 80 (Determination of fees and charges) for this section.

- (2) Subject to subsection (3), where an application for a review of a decision is made to the principal officer in accordance with subsection (1), the officer shall as soon as possible arrange for himself or herself or a person (not being the person who made the decision) authorised by the officer to conduct such reviews to review the decision and make a fresh decision.
- (3) Subsection (1) does not apply to—
- (a) a decision made on a review under this section; or
 - (b) a decision in relation to the provision of access to a document upon a request that is, under section 61 (1) or (3), to be taken to have been given.
- (4) Section 25 applies to a decision made under this section.
- (5) Where—
- (a) a person requests a review of a decision in accordance with this section; and
 - (b) an application fee is determined under section 80 (Determination of fees and charges) for the request; and
 - (c) the request is not accompanied by the application fee, but is accompanied by an application for remission under section 30 (Remission of application fees) of the application fee;

the request for review is to be taken to be in accordance with this section unless and until the agency has taken all reasonable steps to notify the applicant that the fee is not to be remitted in full.

60 Applications to tribunal

- (1) Subject to this section, an application may be made to the tribunal for review of—
 - (a) a decision refusing to grant access to a document in accordance with a request, not being a decision under section 33, or a decision deferring the provision of access to a document; or
 - (b) a decision refusing to allow a further period for making an application under section 59 (1) for a review of a decision; or
 - (c) a decision of the kind referred to in section 59 (1) (b) or (c).
- (2) Subject to subsection (3), where, in relation to a decision referred to in subsection (1) (a) or (c), a person is or has been entitled to apply under section 59 for a review of the decision, that person is not entitled to make an application under subsection (1) in relation to that decision, but may make such an application in respect of the decision made on the review.
- (3) Subsection (2) does not prevent an application to the tribunal in respect of a decision where—
 - (a) the person concerned has applied under section 59 for a review of the decision; and
 - (b) a period of 14 days has elapsed since the day on which that application was received by or on behalf of the agency concerned; and
 - (c) the person has not been informed of the result of the review;and such an application to the tribunal may be treated by the tribunal as having been made within the time allowed by

subsection (4) if it appears to the tribunal that there was no unreasonable delay in making the application.

- (4) Notwithstanding the *Administrative Appeals Tribunal Act 1989*, section 27, the period within which (subject to any extension granted by the tribunal) an application under subsection (1) of this section is to be made in respect of a decision to which section 54 (3) applies is the period commencing on the day on which the ombudsman has informed the applicant as mentioned in that subsection and ending on the 28th day after that day.

61 Application to tribunal where decision delayed

- (1) Subject to this section, where—
- (a) a request has been made to an agency or Minister in accordance with section 18; and
 - (b) the period of 30 days referred to in that section has expired or, if that period has been extended under section 18 (5), that period as so extended has expired; and
 - (c) notice of a decision on the request has not been received by the applicant;

the principal officer of the agency or the Minister shall, for the purpose of enabling an application to be made to the tribunal under section 60, be taken to have made a decision refusing to grant access to the document on the last day of that period.

- (2) Where a complaint is made to the ombudsman under the *Ombudsman Act 1989* concerning failure to make and notify to the applicant a decision on a request (whether the complaint was made before or after the end of the period referred to in subsection (1)), an application to the tribunal under section 60 of this Act by virtue of this section shall not be made before the ombudsman has informed the applicant of the result of the complaint in accordance with the *Ombudsman Act 1989*, section 15.

- (3) Where such a complaint is made before the end of the period referred to in subsection (1), the ombudsman may, after having investigated the complaint, if he or she is of the opinion that there has been unreasonable delay by an agency in connection with the request, grant to the applicant a certificate stating that he or she is of that opinion and, if the ombudsman does so, the principal officer of the agency or the Minister shall, for the purpose of enabling an application to be made to the tribunal under section 60, be taken to have made a decision refusing to grant access to the document on the day on which the certificate is granted.
- (4) The ombudsman shall not grant a certificate under subsection (3) where the request to which the complaint relates was made to, or has been referred to, a Minister and is awaiting decision by the Minister.
- (5) Where, after an application has been made to the tribunal by virtue of this section but before the tribunal has finally dealt with the application, a decision, other than a decision to grant, without deferment, access to the document in accordance with the request is given, the tribunal may, at the request of the applicant, treat the proceedings as extending to a review of that decision in accordance with this part.
- (6) Before dealing further with an application made by virtue of this section, the tribunal may, on the application of the agency or Minister concerned, allow further time to the agency or Minister to deal with the request.

62 Powers of tribunal

- (1) Subject to this section, in proceedings under this part, the tribunal has power, in addition to any other power, to review any decision that has been made by an agency or Minister in respect of the request and to decide any matter in relation to the request that, under this Act, could have been, or could be, decided by an agency or Minister, and any decision of the tribunal under this section has the same effect as a decision of the agency or Minister.
- (2) Where, in proceedings under this Act, it is established that a document is an exempt document, the tribunal does not have power to decide that access to the document, so far as it contains exempt matter, is to be granted.
- (3) Where a certificate under section 34, section 35, section 36 or section 37A is in force in respect of a document, the powers of the tribunal do not extend to reviewing the decision to give the certificate but the tribunal, constituted in accordance with section 64, may determine such question in relation to that certificate as is provided for in whichever of subsections (4), (5) and (6) applies to that certificate.
- (4) Where an application is made to the tribunal for the review of a decision refusing to grant access to a document in accordance with a request, being a document that is claimed to be an exempt document under section 34, section 35 or section 37A and in respect of which a certificate (other than a certificate of a kind referred to in subsection (6)) is in force under that section, the tribunal shall, if the applicant so requests, determine the question whether reasonable grounds exist for that claim.
- (5) Where an application is made to the tribunal for the review of a decision refusing to grant access to a document in accordance with a request, being a document that is claimed to be an exempt document under section 36 and in respect of which a certificate is in force under that section, the tribunal shall, if it is satisfied that

section 36 (1) (a) applies to the document and if the applicant so requests, determine the question whether reasonable grounds exist for the claim that the disclosure of the document would be contrary to the public interest.

- (6) If application is made to the tribunal for review of a decision refusing to grant access to a document in relation to which a certificate is in force under section 34 (4) or section 37A (4), the tribunal must, if the applicant requests, determine whether reasonable grounds exist for the claim that information about the existence or non-existence of the document would cause the document to be an exempt document under section 34 (1) or section 37A (1).
- (7) The powers of the tribunal under this section extend to matters relating to charges payable under this Act in relation to a request.
- (8) Where—
 - (a) application is made to the tribunal for review of a decision refusing to grant a person access to a document in accordance with a request; and
 - (b) the agency to which or the Minister to whom the request was made—
 - (i) has given to the applicant a notice under this Act of the decision, being a notice that does not include a statement to the effect that access to the document is being refused because of the operation of section 11 (2) or of that subsection as modified by regulations under section 11 (3); or
 - (ii) informs the tribunal, either before or in the course of the proceeding for the review of the decision, that the agency or the Minister does not intend, or does not any longer intend, to refuse access to the document for the reason referred to in subparagraph (i);

then, for the review by the tribunal of that decision, section 11 (2) and (3) shall be disregarded.

U 63 Proceedings upon exercise of powers under s 62 (4), (5) or (6)

- (1) Where, in considering a question referred to in section 62 (4), (5) or (6) in relation to a document in respect of which a certificate has been given, the tribunal determines that there are not reasonable grounds for the claim to which the question relates, the appropriate Minister shall, not later than 28 days after the determination of the tribunal is communicated to him or her, make a decision—
 - (a) to revoke the certificate; or
 - (b) not to revoke the certificate.
- (2) Where a Minister makes a decision under subsection (1) to revoke a certificate—
 - (a) if the certificate was given under section 34 (2), section 35 (3), section 35 (5) or section 37A (2)—the claim that the document to which the certificate relates is an exempt document shall be taken to have been withdrawn; and
 - (b) if the certificate was given under section 34 (4) or section 37A (4)—the Minister shall, as soon as possible after the revocation of the certificate, inform the applicant of the existence or non-existence of the document to which the certificate relates.
- (3) Where a Minister makes a decision under subsection (1) not to revoke a certificate, the Minister shall—
 - (a) give notice in writing of the decision to the applicant as soon as possible; and

- (b) cause a copy of the notice to be laid before the Legislative Assembly within 5 sitting days of the Legislative Assembly after the notice is so given.
- (4) A notice under subsection (3) shall state the findings of the Minister giving the notice on any material question of fact, the material on which those findings were based, and the reasons for the decision.
- (5) A Minister is not required to include in a notice under subsection (3) matter that is of such a nature that its inclusion in a document of an agency would cause that document to be an exempt document under section 34, section 35, section 36 or section 37A.
- (6) A Minister is not required to include in a notice under subsection (3) information as to the existence or non-existence of a document or the existence or non-existence of a state of fact if that information would, if included in a document of an agency, cause that lastmentioned document to be an exempt document under section 34, section 35 or section 37A.
- (7) The *Administrative Decisions (Judicial Review) Act 1989*, section 13 does not apply to a decision of a Minister under this section.
- (8) Nothing in this section implies that a certificate under section 34, section 35, section 36 or section 37A may not be revoked otherwise than under subsection (1).
- (9) In this section:
- appropriate Minister*** means—
- (a) in relation to a document in respect of which a certificate is in force under section 34, section 36 or section 37A—the Minister who gave, or whose delegate gave, that certificate; or
- (b) in relation to a document in respect of which a certificate is in force under section 35—the Chief Minister.

64 Constitution of tribunal for purposes of proceedings under s 62 (4), (5) or (6)

Where a request is made to the tribunal in accordance with section 62 (4), (5) or (6), the tribunal shall be constituted for the purposes of the proceeding by—

- (a) the president; or
- (b) the president and such other members as the president determines.

65 Hearing of certain proceedings before the tribunal

- (1) This section has effect notwithstanding anything in the *Administrative Appeals Tribunal Act 1989*.
- (2) At the hearing of a proceeding referred to in section 64, the tribunal—
 - (a) shall hold in private the hearing of any part of the proceeding during which evidence or information is given, or a document is produced, to the tribunal by—
 - (i) an agency or an officer of an agency; or
 - (ii) a Minister or a member of the staff of a Minister; or
 - (iii) a person holding or performing the duties of an office identified in regulations made for section 6, or a member, officer or member of the staff of a body so identified;or during which a submission is made to the tribunal by or on behalf of an agency or Minister, being a submission in relation to a claim—
 - (iv) in the case of a document in respect of which a certificate under section 34 (2), section 35 or section 37A (2) is in force—that the document is an exempt document; or

- (v) in the case of a document in respect of which a certificate under section 36 is in force—that the disclosure of the document would be contrary to the public interest; or
 - (vi) in a case where a certificate under section 34 (4) or section 37A (4) is in force—that information as to the existence or non-existence of a document as described in a request would, if contained in a document of an agency, cause that document to be an exempt document under section 34 or section 37A; and
- (b) subject to subsection (4), shall hold the hearing of any other part of the proceeding in public.
- (3) Where the hearing of any part of a proceeding is held in private in accordance with subsection (2), the tribunal—
- (a) may, by order, give directions as to the persons who may be present at that hearing; and
 - (b) shall give directions prohibiting the publication of—
 - (i) any evidence or information given to the tribunal; and
 - (ii) the contents of any documents lodged with, or received in evidence by, the tribunal; and
 - (iii) any submission made to the tribunal;at that hearing.
- (4) Where, in relation to a proceeding referred to in section 64, the tribunal is satisfied that it is desirable to do so because of the confidential nature of any evidence, information or matter or for any other reason, the tribunal may, by order—
- (a) direct that the hearing of a part of the proceeding that, but for this subsection, would be held in public shall take place in private and give directions as to the persons who may be present at that hearing;

- (b) give directions prohibiting or restricting the publication of—
 - (i) the contents of any document lodged with the tribunal in relation to the proceeding; or
 - (ii) any evidence or information given to the tribunal, the contents of any document received in evidence by the tribunal, or any submission made to the tribunal, in relation to the proceeding otherwise than at a hearing held in private in accordance with subsection (2); or
 - (c) give directions prohibiting or restricting the disclosure to some or all of the parties to the proceeding of evidence given before the tribunal, or the contents of a document lodged with, or received in evidence by, the tribunal in relation to the proceeding.
- (5) A direction given by the tribunal under subsection (3) (b) or (4) (b) does not prevent a person referred to in subsection (2) (a) (i), (ii) or (iii) from disclosing, in the course of the performance of his or her duties, any matter to any other person.

66 Modification of the Administrative Appeals Tribunal Act, s 42

In its application to a proceeding referred to in section 64 of this Act, the *Administrative Appeals Tribunal Act 1989*, section 42 applies as if subsection (1) of that section were omitted and the following subsection substituted:

‘(1) A question of law (including the question whether a particular question is one of law) arising in a proceeding before the tribunal constituted in accordance with the *Freedom of Information Act 1989*, section 64 shall be decided according to the opinion of the president.’.

67 Production to the tribunal of documents in relation to which a certificate has been issued

- (1) In any proceedings before the tribunal under this Act in relation to a document in respect of which a certificate under section 34, section 35, section 36 or section 37A is in force, the tribunal is entitled to require the production of the document in accordance with this section and not otherwise.
- (2) Where, in considering a question referred to in section 62 (4), (5) or (6) in relation to a document, the tribunal is not satisfied, by evidence on affidavit or otherwise, that reasonable grounds exist for the claim to which the question relates, the tribunal may require the document to be produced for inspection by the tribunal as constituted for the purposes of the proceeding.
- (3) After an inspection of a document under this section, the tribunal shall return the document to the person by whom it was produced without permitting any person who is not a member of the tribunal as constituted for the purposes of the proceeding, or a member of the staff of the tribunal in the course of the performance of his or her duties as a member of that staff, to have access to the document or disclosing the contents of the document to any such person.

68 Review of certain decisions in respect of documents relating to the Commonwealth or a State

- (1) Where notice of a decision that a document is not an exempt document under section 34 or under any other provision of this Act has been given in accordance with section 26 (2) to the Commonwealth or a State, the Commonwealth or the State may apply to the tribunal for a review of the decision that the document is not an exempt document under section 34.

- (2) Where an application is made under subsection (1)—
- (a) the provisions of this part (other than sections 60 and 71) apply in like manner as they apply to an application for review of a decision refusing to grant access to a document; and
 - (b) the agency or Minister concerned shall, as soon as possible, inform the person who made the request of the application.
- (3) Where—
- (a) after consultation between the Territory and the Commonwealth or the Territory and a State in accordance with arrangements of the kind referred to in section 26, a decision is made not to grant access to the document to which the consultation relates; and
 - (b) an application is made to the tribunal for a review of the decision;

the agency or Minister concerned shall, as soon as possible, inform the Commonwealth or the State in accordance with those arrangements of the application.

69 Review of certain decisions in respect of documents relating to business affairs etc

- (1) Where notice of a decision that a document, so far as it contains certain information, is not an exempt document under section 43 has been given, in accordance with section 27 (2), to a person who made submissions in accordance with that section, that person may apply to the tribunal for a review of that decision.
- (2) Where an application is made in accordance with subsection (1)—
- (a) the provisions of this part (other than sections 60 and 71) apply in like manner as they apply to an application for review of a decision refusing to grant access to a document; and

(b) the agency or Minister concerned shall, as soon as possible, inform the person who made the request of the application.

(3) Where—

(a) upon a request referred to in section 27 (1), a decision is made, after the making of submissions by a person in accordance with that subsection, not to grant access to the document to which the request relates so far as it contains the information referred to in section 27 (1) (a); and

(b) an application is made to the tribunal for a review of the decision;

the agency or Minister concerned shall, as soon as possible, inform the person who made the submissions of the application.

69A Review of certain decisions about documents relating to personal information

(1) Subsection (2) applies if—

(a) a request has been made for access to a document containing personal information about a person (including a deceased person); and

(b) an agency or Minister decides that the document to which the request relates is not an exempt document under section 41 (Documents affecting personal privacy).

(2) A person or, if the person is deceased, the legal representative of the person, may apply to the tribunal for a review of the decision.

(3) If an application is made under subsection (2)—

(a) the provisions of this part (other than section 60 and section 71) apply in the same way as they apply to an application for review of a decision refusing to grant access to a document; and

- (b) the person dealing with the application must inform the person who made the request of the application.
- (4) Subsection (5) applies if—
 - (a) a request is made for access to a document containing personal information about a person (including a deceased person); and
 - (b) an agency or Minister decides not to grant access to the document; and
 - (c) an application is made to the tribunal for a review of the decision.
- (5) The person dealing with the application must inform the person mentioned in subsection (4) (c) or, if the person has died, the legal representative of the person, of the application.

70 Parties

For this part and of the application of the *Administrative Appeals Tribunal Act 1989* in respect of proceedings under this part—

- (a) a decision given by a person on behalf of an agency shall be taken to have been given by the agency; and
- (b) in proceedings by virtue of section 61, the agency or Minister to which or to whom the request was made shall be a party to the proceedings; and
- (c) in proceedings for the determination of a question referred to in section 62 (4), (5) or (6) in relation to a document, the Minister who is the appropriate Minister for section 63 in respect of that document shall, upon application to the tribunal, be entitled to be a party to the proceedings.

71 Onus

In proceedings under this part, the agency or Minister to which or to whom the request was made has the onus of establishing that a decision given in respect of the request was justified or that the tribunal should give a decision adverse to the applicant.

72 Application of Administrative Appeals Tribunal Act, s 26 etc

- (1) Where, in relation to a decision in respect of a request, the applicant has been given a notice in writing under section 25, the *Administrative Appeals Tribunal Act 1989*, section 26 does not apply to that decision.
- (2) If the tribunal, upon application for a declaration under this subsection made to it by a person to whom a notice has been given under section 25 (1), considers that the notice does not contain—
 - (a) adequate particulars of findings on material questions of fact; or
 - (b) an adequate reference to the evidence or other material on which those findings were based; or
 - (c) adequate particulars of the reasons for the decision;

the tribunal may make a declaration accordingly, and, where the tribunal makes such a declaration, the person responsible for giving the notice shall, as soon as practicable but in any case within 28 days after the tribunal makes the declaration, give to the applicant an additional notice containing further and better particulars in relation to matters specified in the declaration with respect to those findings, that evidence or other material or those reasons.

73 Tribunal to ensure nondisclosure of certain matters

- (1) In proceedings under this part, the tribunal shall make such order under the *Administrative Appeals Tribunal Act 1989*, section 34 (2) as it thinks necessary having regard to the nature of the proceedings and, in particular, to the necessity of avoiding the disclosure to the applicant of—
 - (a) exempt matter contained in a document to which the proceedings relate; or
 - (b) information of the kind referred to in section 24 (1).
- (2) Notwithstanding the *Administrative Appeals Tribunal Act 1989*—
 - (a) the tribunal shall not, in its decision, or reasons for a decision, in a matter arising under this Act, include any matter or information of a kind referred to in subsection (1); and
 - (b) the tribunal may receive evidence, or hear argument, in the absence of the applicant or his or her representative where it is necessary to do so in order to prevent the disclosure to the applicant of matter or information of a kind referred to in subsection (1).

74 Production of exempt documents

- (1) Where there are proceedings before the tribunal under this Act in relation to a document that is claimed to be an exempt document, the *Administrative Appeals Tribunal Act 1989*, section 37 does not apply to the document.
- (2) If the tribunal is not satisfied, by evidence on affidavit or otherwise, that the document is an exempt document it may require the document to be produced for inspection by members of the tribunal.
- (3) If, upon the inspection, the tribunal is satisfied that the document is an exempt document, the tribunal shall return the document to the person by whom it was produced without permitting any person

other than a member of the tribunal as constituted for the purposes of the proceeding, or a member of the staff of the tribunal in the course of the performance of his or her duties as such a member, to have access to the document or disclosing the contents of the document to any such person.

- (4) The tribunal—
- (a) may require the production, for inspection by members of the tribunal as constituted for the purposes of the proceeding, of an exempt document for the purpose of determining whether it is practicable for an agency or a Minister to grant access to a copy of the document with such deletions as to make the copy not an exempt document; and
 - (b) where an exempt document is produced under such a requirement—shall, after inspection of the document by the members of the tribunal as constituted for the purposes of the proceeding, return the document to the person by whom it was produced without permitting any person other than such a member of the tribunal, or a member of the staff of the tribunal in the course of the performance of his or her duties as such a member, to have access to the document or disclosing the contents of the document to any such person.
- (5) Notwithstanding subsections (1) to (4) but subject to subsection (6), the tribunal is not empowered, in any proceedings other than proceedings to determine a question referred to in section 62 (4), (5) or (6), to require—
- (a) the production of a document in respect of which a certificate under section 34, section 35, section 36 or section 37A is in force; or
 - (b) the giving of information in respect of which a certificate under section 34 (4) or section 37A (4) is in force.

- (6) Where a certificate of a kind referred to in subsection (5) (a) identifies a part of the document concerned in the manner provided in section 35 (4), section 36 (4) or section 37A (3), subsection (5) does not prevent the tribunal from requiring the production, in any proceedings before the tribunal under this Act in relation to the document, of a copy of so much of the document as is not included in the part or parts so identified.
- (7) Subsections (1), (2), (3) and (4) apply in relation to a document in the possession of a Minister that is claimed by the Minister not to be an official document of the Minister as if references in those subsections to an exempt document were references to a document in the possession of a Minister that is not an official document of the Minister.
- (8) Subsection (2), (3) or (4) does not prevent the tribunal from causing a document produced in accordance with that subsection to be sent to the Supreme Court in accordance with the *Administrative Appeals Tribunal Act 1989*, section 49, but, where such a document is so sent to the court, the court shall do all things necessary to ensure that the contents of the document are not disclosed (otherwise than in accordance with this Act) to any person other than a member of the court as constituted for the purpose of the proceeding before the court or a member of the staff of the court in the course of the performance of his or her duties as such a member.

75 Evidence of certificates

In proceedings before the tribunal under this part, evidence of a certificate under section 34, section 35, section 36 or section 37A, including evidence of the identity or nature of a document to which the certificate relates, may be given by affidavit or otherwise and that evidence is admissible without production of the certificate or of a document to which it relates.

76 Tribunal may make recommendation that costs be available in certain cases

- (1) Where—
- (a) a person makes application to the tribunal under section 60 for review of a decision constituting the action to which the complaint relates; and
 - (b) the person is successful, or substantially successful, in the application for review;
- the tribunal may, in its discretion, recommend to the Minister that the costs of the applicant in relation to the proceedings be paid by the Territory.
- (2) Without limiting the matters to which the tribunal may have regard in deciding whether to make a recommendation under subsection (1), the tribunal shall have regard to—
- (a) the question whether payment of the costs or any part of the costs would cause financial hardship to the applicant; and
 - (b) the question whether the decision of the tribunal on review will be of benefit to the general public; and
 - (c) the question whether the decision of the tribunal on review will be of commercial benefit to the person making the application to the tribunal; and
 - (d) the reasonableness of the decision reviewed by the tribunal.
- (3) The Minister may, under a recommendation of the tribunal under subsection (1), authorise the payment of costs to an applicant.

Part 8 Miscellaneous

U 77 Protection against certain actions

- (1) Where access has been given to a document and—
- (a) the access was required by this Act to be given or would, but for section 11 (2) or that subsection as modified by regulations under section 11 (3), have been so required to be given; or
 - (b) the access was authorised by a Minister, or by an officer having authority under section 22 or 59 to make decisions in respect of requests, in the bona fide belief that the access was required by this Act to be given;

no action for defamation, breach of confidence or infringement of copyright lies against the Territory, an agency, a Minister or an officer because of the authorising or giving of the access, and no action for defamation or breach of confidence in respect of any publication involved in, or resulting from, the giving of the access lies against the author of the document or any other person because of that author or other person having supplied the document to an agency or a Minister.

- (2) The giving of access to a document (including an exempt document) in consequence of a request shall not be taken to constitute an authorisation or approval—
- (a) for the purposes of the law relating to defamation or breach of confidence—of the publication of the document or its contents by the person to whom access is given; or
 - (b) for the purposes of the law of copyright—of the doing, by the person to whom access is given, of any act comprised within the copyright in—
 - (i) any literary, dramatic, musical or artistic work; or

- (ii) any sound recording, cinematograph film, television broadcast or sound broadcast; or
 - (iii) a published edition of a literary, dramatic, musical or artistic work; or
- contained in the document.
- (3) Expressions used in subsection (2) (b) have the same meaning as in the *Copyright Act 1968* (Cwlth).

U 78 Protection in respect of offences

Where access has been given to a document and—

- (a) the access was required by this Act to be given or would, but for section 11 (2) or that subsection as modified by regulations made under section 11 (3), have been so required to be given; or
- (b) the access was authorised by a Minister, or by an officer having authority under section 22 or 59 to make decisions in respect of requests, in the bona fide belief that the access was required by this Act to be given;

neither the person authorising the access nor any person concerned in the giving of the access is guilty of a criminal offence only because of the authorising or giving of the access.

79 Reports to Legislative Assembly

- (1) The Minister must, for each financial year—
- (a) prepare a report on the operation of this Act during the year; and
 - (b) include the report in the report under the *Annual Reports (Government Agencies) Act 2004*, section 5 (Annual report of chief executive) that is—

- (i) for the financial year; and
- (ii) for the administrative unit with responsibility for this Act.

Note **Financial year** has an extended meaning in the *Annual Reports (Government Agencies) Act 2004*.

- (2) Each responsible Minister must, for each financial year—
 - (a) prepare a report on the operation of this Act in relation to the Minister's official documents, and each agency for which the Minister is responsible, during the year; and
 - (b) present the report to the Legislative Assembly when the report for the agency under the *Annual Reports (Government Agencies) Act 2004* must be presented to the Assembly.
- (3) Each responsible Minister shall, in relation to that Minister's official documents and in relation to each agency for which that Minister is responsible, give to the Minister, on or before the date specified by the Minister, such information as the Minister requires for the preparation of a report under subsection (1).
- (4) A report under subsection (1) shall set out—
 - (a) the number of requests under section 18 for access to documents received during the year by each agency and each responsible Minister; and
 - (b) the number of requests under section 48 for the amendment of personal records received during the year by each agency and each responsible Minister; and
 - (c) the number of requests under section 18 for access to documents, and the number of requests under section 48 for the amendment of personal records, received during the year by each agency and each responsible Minister, in relation to which a decision was notified—
 - (i) less than 31 days; or

- (ii) not less than 31 days and not more than 45 days; or
 - (iii) not less than 46 days and not more than 60 days; or
 - (iv) not less than 61 days and not more than 90 days; or
 - (v) more than 90 days;
- after the day on which the request was received by or on behalf of the agency or Minister; and
- (d) an identification of the guidelines (if any) issued during the year in relation to the manner in which agencies or responsible Ministers should comply with their obligations under this Act; and
 - (e) a description of any other efforts to assist agencies or responsible Ministers to comply with their obligations under this Act.
- (5) A report under subsection (2) shall set out particulars of the operations of the agency and responsible Minister under this Act during the year, including—
- (a) the number of requests under section 18 for access to documents received during the year; and
 - (b) the number of requests received at any time in respect of which during the year—
 - (i) access other than partial access was granted to the document or all of the documents to which the request related; or
 - (ii) access was refused to the document or all of the documents to which the request related; or
 - (iii) partial access was granted; and

- (c) the number of applications made during the year for the review of decisions under section 59 and particulars of the results of such reviews; and
 - (d) the number of applications made during the year to the tribunal for the review of decisions and particulars of the results of such reviews; and
 - (e) particulars of the total charges and application fees collected during the year in dealing with requests and other applications whenever received; and
 - (f) the number of requests received during the year to amend records under section 48 and particulars of the results of such requests.
- (6) For subsection (5) (a) (ii), partial access shall be taken to have been granted in respect of a request if either or both of the following conditions are satisfied in relation to the request:
- (a) access was granted to a copy of the document or of any of the documents to which the request related with deletions;
 - (b) the request related to 2 or more documents and access was refused to any 1 or more of the documents.

U 79A Service of documents

80 Determination of fees and charges

- (1) The Minister may, in writing, determine fees for this Act.
- Note* The *Legislation Act 2001* contains provisions about the making of determinations and regulations relating to fees (see pt 6.3).
- (2) A fee for a service must not vary according to the identity of an applicant or agency.
- (3) If a fee is determined for time spent by an agency or Minister in—
- (a) searching for or retrieving a document; or

- (b) making, or doing things related to making, a decision on a request for access;

the fee must be a single hourly rate regardless of the classification or designation of the person who does the work.

- (4) A determination under this section is a disallowable instrument.

Note A disallowable instrument must be notified, and presented to the Legislative Assembly, under the *Legislation Act 2001*.

- (5) In this section:

fee includes charge.

U 81 Approved forms

- (1) The Minister may, in writing, approve forms for this Act.
- (2) In approving a form for section 7 (1), the Minister must have regard, among other things, to the need to assist members of the public to exercise effectively their rights under this Act.
- (3) If the Minister approves a form for a particular purpose, the approved form must be used for that purpose.
- (4) An approved form is a notifiable instrument.

Note A notifiable instrument must be notified under the *Legislation Act 2001*.

82 Regulation-making power

- (1) The Executive may make regulations for this Act.

Note Regulations must be notified, and presented to the Legislative Assembly, under the *Legislation Act 2001*.

- (2) The regulations may make provision in relation to officers who may give decisions on behalf of an agency.

U Dictionary

Endnotes

1 About the endnotes

Amending and modifying laws are annotated in the legislation history and the amendment history. Current modifications are not included in the republished law but are set out in the endnotes.

Not all editorial amendments made under the *Legislation Act 2001*, part 11.3 are annotated in the amendment history. Full details of any amendments can be obtained from the Parliamentary Counsel's Office.

Uncommenced amending laws and expiries are listed in the legislation history and the amendment history. These details are underlined. Uncommenced provisions and amendments are not included in the republished law but are set out in the last endnote.

If all the provisions of the law have been renumbered, a table of renumbered provisions gives details of previous and current numbering.

The endnotes also include a table of earlier republications.

2 Abbreviation key

am = amended	ord = ordinance
amdt = amendment	orig = original
ch = chapter	par = paragraph/subparagraph
def = definition	pres = present
dict = dictionary	prev = previous
disallowed = disallowed by the Legislative Assembly	(prev...) = previously
div = division	pt = part
exp = expires/expired	r = rule/subrule
Gaz = gazette	renum = renumbered
hdg = heading	reloc = relocated
IA = Interpretation Act 1967	R[X] = Republication No
ins = inserted/added	RI = reissue
LA = Legislation Act 2001	s = section/subsection
LR = legislation register	sch = schedule
LRA = Legislation (Republication) Act 1996	sdiv = subdivision
mod = modified/modification	sub = substituted
o = order	SL = Subordinate Law
om = omitted/repealed	<u>underlining</u> = whole or part not commenced or to be expired

Endnotes

3 Legislation history

3 Legislation history

This Act was originally a Commonwealth ordinance—the *Freedom of Information Ordinance 1989* No 46 (Cwlth).

The *Australian Capital Territory (Self-Government) Act 1988* (Cwlth), s 34 (4) converted most former Commonwealth ordinances in force in the ACT into ACT enactments. This allowed the ACT Legislative Assembly to amend and repeal the laws. This Act was converted into an ACT enactment on 11 May 1989 (self-government day).

As with most ordinances in force in the ACT, the name was changed from *Ordinance* to *Act* by the *Self-Government (Citation of Laws) Act 1989* No 21, s 5 on 11 May 1989 (self-government day).

Freedom of Information Act 1989 No 46

notified 10 May 1989

s 1, s 2 commenced 10 May 1989

remainder commenced 11 May 1989 (Gaz 1989 No S164)

as amended by

Royal Commissions and Inquiries (Consequential Provisions) Act 1991 No 3 sch

notified 1 March 1991 (Gaz 1991 No S7)

s 1, s 2 commenced 1 March 1991

sch commenced 1 May 1989 (s 2 (2))

Freedom of Information (Amendment) Act 1992 No 4

notified 25 May 1992 (Gaz 1992 No S58)

commenced 25 May 1992

Judicial Commissions (Consequential Amendments) Act 1994 No 10 s 5

notified 14 March 1994 (Gaz 1994 No S44)

commenced 14 March 1994 (s 2)

Electoral (Amendment) (Consequential Provisions) Act 1994 No 15 pt 4

notified 17 May 1994 (Gaz 1994 No S85)

ss 1-3 commenced 17 May 1994 (s 2 (1))

pt 4 commenced 25 August 1994 (s 2 (3) and Gaz 1994 No S172)

Public Sector Management (Consequential and Transitional Provisions) Act 1994 No 38 sch 1 pt 40

notified 30 June 1994 (Gaz 1994 No S121)
s 1, s 2 commenced 30 June 1994 (s 2 (1))
sch 1 pt 40 commenced 1 July 1994 (s 2 (2) and Gaz 1994 No S142)

Administrative Appeals (Consequential Amendments) Act 1994 No 60 sch 1

notified 11 October 1994 (Gaz 1994 No S197)
s 1, s 2 commenced 11 October 1994 (s 2 (1))
sch 1 commenced 14 November 1994 (s 2 (2) and Gaz 1994 No S250)

Electricity and Water (Corporatisation) (Consequential Amendments) Act 1995 No 7 sch

notified 28 June 1995 (Gaz 1995 No S148)
commenced 1 July 1995 (s 2)

Annual Reports (Government Agencies) (Consequential Provisions) Act 1995 No 25 sch

notified 5 September 1995 (Gaz 1995 No S212)
commenced 5 September 1995 (s 2)

Ombudsman (Amendment) Act 1996 No 17 s 13

notified 1 May 1996 (Gaz 1996 No S71)
commenced 1 May 1996 (s 2)

Freedom of Information (Amendment) Act 1996 No 30

notified 28 June 1996 (Gaz 1996 No S140)
commenced 28 June 1996 (s 2)

Health Records (Privacy and Access) Act 1997 No 125 sch

notified 24 December 1997 (Gaz 1997 No S420)
commenced 1 February 1998 (s 2)

Law Reform (Miscellaneous Provisions) Act 1999 No 66 sch 3

notified 10 November 1999 (Gaz 1999 No 45)
s 1, s 2 commenced 10 November 1999 (IA s 10B)
sch 3 commenced 10 November 1999 (s 2)

Endnotes

3 Legislation history

Legislation (Consequential Amendments) Act 2001 No 44 pt 159

notified 26 July 2001 (Gaz 2001 No 30)
s 1, s 2 commenced 26 July 2001 (IA s 10B)
pt 159 commenced 12 September 2001 (s 2 and see Gaz 2001 No S65)

Territory Records Act 2002 A2002-18 pt 7

notified LR 13 June 2002
s 1, s 2 commenced 13 June 2002 (LA s 75 (1))
pt 7 commenced 2 July 2003 (s 2 (3))

Annual Reports Legislation Amendment Act 2004 A2004-9 sch 1 pt 1.15

notified LR 19 March 2004
s 1, s 2 commenced 19 March 2004 (LA s 75 (1))
sch 1 pt 1.15 commenced 13 April 2004 (s 2 and see Annual Reports (Government Agencies) Act 2004 A2004-8, s 2 and CN2004-5)

Human Rights Commission Legislation Amendment Act 2005 A2005-41 sch 1 pt 1.3 (as am by A2006-3 amd 1.3)

notified LR 1 September 2005
s 1, s 2 commenced 1 September 2005 (LA s 75 (1))
sch 1 pt 1.3 commenced 1 November 2006 (s 2 (3) (as am by A2006-3 amd 1.3) and see Human Rights Commission Act 2005 A2005-40, s 2 (as am by A2006-3 s 4) and CN2006-21)

Human Rights Commission Legislation Amendment Act 2006 A2006-3 amd 1.3

notified LR 22 February 2006
s 1, s 2 commenced 22 February 2006 (LA s 75 (1))
amd 1.3 commenced 23 February 2006 (s 2)
Note This Act only amends the Human Rights Commission Legislation Amendment Act 2005 A2005-41

Statute Law Amendment Act 2007 A2007-3 sch 3 pt 3.48

notified LR 22 March 2007
s 1, s 2 taken to have commenced 1 July 2006 (LA s 75 (2))
sch 3 pt 3.48 commences 12 April 2007 (s 2 (1))

Freedom of Information Amendment Act 2007 A2007-5

notified LR 23 March 2007

s 1, s 2 commenced 23 March 2007 (LA s 75 (1))

remainder commenced 24 March 2007 (s 2)

4 Amendment history**Name of Act**s 1 [sub A2007-3 amdt 3.249](#)**Object**s 2 **orig s 2**
om 2001 No 44 amdt 1.1844
pres s 2
(prev s 3) [renum as s 2 A2007-3 amdt 3.250](#)**Dictionary**s 3 **orig s 3**
[renum as s 2](#)
pres s 3
[ins A2007-3 amdt 3.256](#)**Notes**s 3A [ins A2007-3 amdt 3.256](#)**Entities not necessarily prescribed authorities**s 4 am 2001 No 44 amdt 1.1846; R5 LA (see 2001 No 44
amdt 1.1847)
[defs reloc to dict A2007-3 amdt 3.255](#)
[sub A2007-3 amdt 3.256](#)
def **agency** am 1994 No 38 sch 1
def **Australian archives** [sub A2007-3 amdt 3.251](#)
def **department** om 1994 No 38 sch 1
def **enactment** sub 2001 No 44 amdt 1.1845
def **Ombudsman** om 1996 No 17 s 13
def **personal information** ins A2007-5 s 4
def **prescribed authority** am 1991 No 3 sch; 1994 No 10
s 5; 1995 No 7 sch; 1996 No 30 s 4; [A2007-3 amdt 3.352,](#)
[amdt 3.353](#)
def **principal officer** am 1994 No 38 sch 1; 1995 No 7
sch; 1996 No 30 s 4; R5 LA
def **State** om [A2007-3 amdt 3.254](#)
def **Tribunal** am 1994 No 60 sch 1**Abolished agencies**s 4A [ins A2007-3 amdt 3.256](#)

Endnotes

4 Amendment history

Act to apply to certain tribunals in respect of administrative matters

s 5 am 1994 No 38 sch 1

Exemption of certain bodies

s 6 am 1996 No 30 s 5; 1997 No 125 sch; R5 LA; A2005-41
amdt 1.24, amdt 1.25
(5)-(7) exp 1 December 2006 (s 6 (7) (LA s 88 declaration
applies))

How Act applies to certain older records

s 6A ins A2002-18 s 60

Publication of information concerning functions and documents of agencies

s 7 am 1995 No 25 sch; 2001 No 44 amdts 1.1848-1.1850; R5 LA
(see 2001 No 44 amdt 1.1851); A2004-9 amdt 1.20; A2007-3
amdt 3.257, amdt 3.258

Certain documents to be available for inspection and purchase

s 8 am 1996 No 30 s 6; A2007-3 amdts 3.259-3.262

Unpublished documents not to prejudice public

s 9 am A2007-3 amdt 3.263, amdt 3.274

Pt 3 not to apply to certain documents

s 11 am A2007-5 s 5

Requests for access

s 14 am 1992 No 4 s 3; 2001 No 44 amdts 1.1852-1.1854

Transfer of requests

s 15 am 1994 No 38 sch 1

Access to documents to be given on request

s 17 am 2001 No 44 amdt 1.1855

Time within which requests to be decided

s 18 am 2001 No 44 amdt 1.1856; R5 LA (see 2001 No 44
amdt 1.1857); A2007-5 s 6

Forms of access

s 19 am A2007-3 amdt 3.274

Requests may be refused in certain cases

s 23 am A2007-5 s 7; ss renum R10 LA

Information as to existence of certain documents

s 24 am A2007-5 s 18

**Procedure on request in respect of documents likely to affect relations
between the Territory and the Commonwealth or a State**

s 26 am A2007-5 s 8; pars renum R10 LA

Procedure on request in respect of document relating to business affairs etc
s 27 am A2007-5 s 9; pars renum R10 LA

Procedure on request in relation to document containing personal information
s 27A ins A2007-5 s 10

Charge may be remitted
s 29 am A2007-5 s 11

Remission of application fees
s 30 am A2007-5 s 12

Exemptions do not limit each other
s 32 hdg sub [A2007-3 amdt 3.264](#)

Executive documents
s 35 am 1994 No 38 sch 1

Documents affecting national security, defence or international relations
s 37A ins A2007-5 s 13

Documents affecting personal privacy
s 41 am A2007-5 s 14; [A2007-3 amdt 3.265](#)

Documents containing material obtained in confidence
s 45 am [A2007-3 amdt 3.274](#)

Certain documents arising out of companies and securities legislation
s 47 am 1999 No 66 sch 3

Electoral rolls and related documents
s 47A ins 1994 No 15 s 12

Persons may make application for amendment of records
s 48 am A2007-5 s 15

Taking of action—pt 6
s 53 sub [A2007-3 amdt 3.266](#)

Complaints to ombudsman
s 54 am [A2007-3 amdt 3.267](#)

Reports made by the ombudsman
s 55 am 1994 No 38 sch 1; 1995 No 25 sch; A2004-9 amdt 1.21; [A2007-3 amdt 3.267](#)

Certificates—acknowledgment about existence of documents not required
s 58 hdg sub [A2007-3 amdt 3.268](#)
s 58 am A2007-5 s 18

Endnotes

4 Amendment history

Internal review

s 59 am 1992 No 4 s 4; 1996 No 30 s 7; 2001 No 44 amdts 1.1858-1.1860

Applications to tribunal

s 60 am 1994 No 60 sch 1; 1996 No 30 s 8

Powers of tribunal

s 62 am A2007-5 s 16, s 18

Proceedings upon exercise of powers under s 62 (4), (5) or (6)

s 63 am A2007-5 s 18; A2007-3 amdt 3.269

Hearing of certain proceedings before the tribunal

s 65 am A2007-5 s 18

Production to the tribunal of documents in relation to which a certificate has been issued

s 67 am A2007-5 s 18

Review of certain decisions about documents relating to personal information

s 69A ins A2007-5 s 17

Production of exempt documents

s 74 am A2007-5 s 18

Evidence of certificates

s 75 am A2007-5 s 18

Protection against certain actions

s 77 am A2007-3 amdt 3.270

Protection in respect of offences

s 78 am A2007-3 amdt 3.270

Reports to Legislative Assembly

s 79 am 1996 No 30 s 9; R5 LA; A2004-9 amdt 1.22

Service of documents

s 79A ins A2007-3 amdt 3.271

Determination of fees and charges

s 80 sub 2001 No 44 amdt 1.1861

Approved forms

s 81 sub 2001 No 44 amdt 1.1861
am A2007-3 amdt 3.272

Regulation-making power

s 82 ins 2001 No 44 amdt 1.1861

Dictionary

dict

ins A2007-3 amdt 3.273def **taking of action** ins A2007-3 amdt 3.273**5 Earlier republications**

Since 12 September 2001 every authorised republication has been published in electronic pdf format on the ACT legislation register. A selection of authorised republications have also been published in printed format. These republications are marked with an asterisk (*) in column 1. Electronic and printed versions of an authorised republication are identical.

Republication No	Amendments to	Republication date
1	Act 1991 No 3	31 August 1991
2	Act 1992 No 4	31 August 1993
3	Act 1994 No 60	31 January 1995
4	Act 1996 No 30	1 July 1996
5	Act 2001 No 44	22 November 2001
6	A2002-18	2 July 2003
7	A2004-9	13 April 2004
8	A2006-3	1 November 2006
9	A2006-3	2 December 2006

6 Uncommenced amendments

The following amendments have not been included in this republication because they were uncommenced at the republication date:

Statute Law Amendment Act 2007 A2007-3 sch 3 pt 3.48

Part 3.48 Freedom of Information Act 1989**[3.249] Section 1***substitute*

Endnotes

6 Uncommenced amendments

1 Name of Act

This Act is the *Freedom of Information Act 1989*.

Explanatory note

This amendment brings the naming section into line with current drafting practice.

[3.250] Section 3

renumber as section 2

Explanatory note

This amendment renumbers a section.

[3.251] Section 4 (1), definition of Australian archives

substitute

Australian archives means the National Archives of Australia established under the *Archives Act 1983* (Cwlth).

Explanatory note

This amendment updates the name of the archives.

[3.252] Section 4 (1), definition of prescribed authority, paragraph (a) (ii)

omit

subsection (2)

substitute

section 4 (1) (Entities not necessarily prescribed authorities)

Explanatory note

This amendment is consequential on the remaking of existing section 4 (2) as new section 4 (1) by another amendment.

[3.253] Section 4 (1), definition of prescribed authority, paragraph (c)

omit

subsection (3)

substitute

section 4 (2)

Explanatory note

This amendment is consequential on the remaking of existing section 4 (3) as new section 4 (2) by another amendment.

[3.254] Section 4 (1), definition of State

omit

Explanatory note

This amendment omits an unnecessary definition. *State* is defined in the Legislation Act, dictionary, part 1 to include the Northern Territory.

[3.255] Section 4 (1), definitions (as amended)

relocate to dictionary

Explanatory note

This amendment relocates the definitions to a new dictionary that is inserted by another amendment.

[3.256] Section 4, remainder

substitute

3 Dictionary

The dictionary at the end of this Act is part of this Act.

Note 1 The dictionary at the end of this Act defines certain terms used in this Act.

Note 2 A definition in the dictionary applies to the entire Act unless the definition, or another provision of the Act, provides otherwise or the contrary intention otherwise appears (see Legislation Act, s 155 and s 156 (1)).

3A Notes

A note included in this Act is explanatory and is not part of this Act.

Note See the Legislation Act, s 127 (1), (4) and (5) for the legal status of notes.

4 Entities not necessarily prescribed authorities

- (1) For this Act, an unincorporated body established under an enactment for the purpose of assisting, or exercising functions connected with, a prescribed authority is not taken to be a prescribed authority but is taken to be part of the prescribed authority.

Examples of bodies

A board, council and committee

Note 1 **Body** includes any group of people joined together for a common purpose (see Legislation Act, dict, pt 1).

Note 2 An example is part of the Act, is not exhaustive and may extend, but does not limit, the meaning of the provision in which it appears (see Legislation Act, s 126 and s 132).

- (2) For this Act, a person is not taken to be a prescribed authority only because the person holds or performs the duties of any of the following offices:
- (a) an office the duties of which the person performs as employment duties as an officer of an agency;
 - (b) an office of a member of a body;
 - (c) an office established by an enactment for a prescribed authority;
 - (d) an office prescribed by regulation.

4A Abolished agencies

- (1) This section applies if an agency is abolished.

-
- (2) If the abolished agency's functions are acquired by another agency—
- (a) any request made to the abolished agency is taken to have been made to the other agency; and
 - (b) any decision made by the abolished agency in relation to a request made to it is taken to have been made by the other agency.
- (3) If the abolished agency's functions are acquired by more than 1 other agency—
- (a) any request made to the abolished agency is taken to have been made to whichever of the other agencies has acquired the functions of the abolished agency that are most clearly related to the subject matter of the request (the *relevant acquiring agency*); and
 - (b) any decision made by the abolished agency in relation to a request made to it is taken to have been made by the relevant acquiring agency.
- (4) If the documents of the abolished agency are held by the Australian archives on behalf of the Territory—
- (a) any request made to the abolished agency is taken to have been made to the agency that has functions that are most clearly related to the subject matter of the request (the *relevant agency*); and
 - (b) any decision made by the abolished agency in relation to a request made to it is taken to have been made by the relevant agency.
- (5) If the agency to which a request is taken to have been made, or by which a decision on a request is taken to have been made, under subsection (2), (3) or (4) was not itself in existence when the request or decision was taken to have been made, then, for the purposes

Endnotes

6 Uncommenced amendments

only of dealing with the request or decision under this Act, that agency is taken to have been in existence at that time.

Explanatory note

This amendment inserts standard dictionary and notes provisions consequent on the insertion of a new dictionary by another amendment. It also remakes existing section 4 (2) and (3) as new section 4 and existing section 4 (4) and (5) as new section 4A. Existing section 4 (6) is remade by another amendment as new section 79A.

[3.257] Section 7 (1) (a)

omit

the commencement of this Act but not later than 12 months after that commencement

substitute

the agency comes into existence but no later than 12 months after the agency came into existence

Explanatory note

Existing section 7 (1) (a) requires the responsible Minister of an agency to cause to be published, as soon as practicable after the commencement of the Act but not later than 12 months after the commencement, certain information about the agency's functions and documents.

Existing section 7 (4) imposes the same requirement on an agency that comes into existence after the commencement of the Act.

This amendment omits the redundant requirement in section 7 (1) (a) in relation to the 12-month period after the commencement of the Act and substitutes a requirement in relation to when an agency comes into existence. Section 7 (4) is consequentially repealed by the next amendment.

[3.258] Section 7 (4)

omit

Explanatory note

This amendment is consequential on the previous amendment.

[3.259] Section 8 (1) (a)

omit

, but without limiting the generality of the foregoing,

Explanatory note

This amendment omits unnecessary words.

[3.260] Section 8 (2) (b)

omit

within 12 months after the commencement of this Act,

Explanatory note

Section 8 requires certain documents about agency processes and decisions to be made available. This amendment omits redundant text relating to the 12-month period after commencement of the Act.

[3.261] Section 8 (3)

omit

after the commencement of this Act

substitute

after the agency comes into existence

Explanatory note

Existing section 8 (3) provides that the principal officer of an agency is not required to comply fully with the requirements of section 8 (2) (a) (which is about certain documents to be made available for inspection and purchase by members of the public) within the period of 12 months after the commencement of the Act.

Existing section 8 (7) imposes the same requirement on an agency that comes into existence after the commencement of the Act.

This amendment omits the redundant requirement in section 8 (3) in relation to the 12-month period after the commencement of the Act and substitutes a requirement in relation to when an agency comes into existence. Section 8 (7) is consequentially repealed by the next amendment.

Endnotes

6 Uncommenced amendments

[3.262] Section 8 (7)

omit

Explanatory note

This amendment is consequential on the previous amendment.

[3.263] Section 9 (2)

substitute

- (2) The reference in subsection (1) to the time at which a person did, or omitted to do, any act or thing relevant to the exercise in relation to the person of a function of an agency does not include a reference to a time before the end of the period of 12 months after the day on which the agency came into existence.

Explanatory note

This amendment updates the subsection by omitting a reference to the 12-month period after the commencement of the Act consequent on the amendment of section 8 (2) (b) by another amendment.

[3.264] Section 32 heading

substitute

32 Exemptions do not limit each other

Explanatory note

This amendment substitutes a more meaningful heading.

[3.265] Section 41 (3)

omit

medical practitioner

substitute

doctor

Explanatory note

This amendment updates language.

[3.266] Section 53

substitute

53 Taking of action—pt 6

(1) In this part:

taking of action—see the *Ombudsman Act 1989*, dictionary.

(2) For this part, action is to be treated as having been taken by an agency in the circumstances in which it would be treated as having been taken by the agency for the *Ombudsman Act 1989*.

Explanatory note

This amendment brings the section into line with current drafting practice and is also consequential on the insertion of a new dictionary into the *Ombudsman Act 1989* by another amendment.

[3.267] Sections 54 and 55

omit

exercise of powers or the performance of functions

substitute

exercise of functions

Explanatory note

This amendment updates language. *Exercise* a function is defined in the Legislation Act, dictionary, part 1 to include perform the function. It is the drafting term that is now used in relation to functions. *Function* is defined in the Legislation Act, dictionary, part 1 to include authority, duty and power.

Endnotes

6 Uncommenced amendments

[3.268] Section 58 heading

substitute

58 Certificates—acknowledgment about existence of documents not required

Explanatory note

This amendment substitutes a more meaningful heading.

[3.269] Section 63 (3) (b)

omit

laid before

substitute

presented to

Explanatory note

This amendment updates language.

[3.270] Sections 77 (1) (b) and 78 (b)

omit

bona fide

substitute

honest

Explanatory note

This amendment updates the term to ‘honest’, which is the current drafting term.

[3.271] New section 79A

insert

79A Service of documents

If a person nominates an address in Australia where documents may be served on the person, a document or statement required by this Act to be given to the person may be sent to the person at that address.

Explanatory note

This amendment remakes as a new section existing section 3 (6) which is omitted by another amendment.

[3.272] Section 81 (3), new note

insert

Note For other provisions about forms, see the Legislation Act, s 255.

Explanatory note

This amendment inserts a standard note to assist users of the legislation.

[3.273] New dictionary

insert

Dictionary

(see s 3)

Note 1 The Legislation Act contains definitions and other provisions relevant to this Act.

Note 2 For example, the Legislation Act, dict, pt 1, defines the following terms:

- ACT
- administrative unit
- Australia
- chief executive (see s 163)
- commissioner for health complaints
- doctor
- exercise
- Minister (see s 162)

Endnotes

6 Uncommenced amendments

- public servant
- sitting day
- State.

taking of action, for part 6 (Role of Ombudsman)—see section 53.

Explanatory note

This amendment inserts a new dictionary in accordance with current drafting practice. It includes a signpost definition for a term that is not included in the existing interpretation section.

[3.274] Further amendments, references to performance

omit

performance

substitute

exercise

in

- section 9 (1)
- section 19 (3) (a)
- section 23 (1) (b)
- section 45 (2) (c)

Explanatory note

This amendment updates language. *Exercise* a function is defined in the Legislation Act, dictionary, part 1 to include perform the function. It is the drafting term that is now used in relation to functions.

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