



ACT REVENUE OFFICE
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Lodgment No

Transfer of Unlisted Shares or Units Lodgment Form

Complete this form when lodging documents relating to the transfer of unlisted marketable securities (unlisted shares or units)

PLEASE COMPLETE ALL UNSHADED AREAS IN BLOCK LETTERS

Client Name	Address	Contact Name	Contact Telephone No
		Client Account No	Email Details

Date of First Execution	Names of Parties	
	Transferor	Transferee

Entity in which shares or units are being transferred		
Number of Shares	Purchase Price	Market value

You must attach to this form:

- Your transfer instrument or agreement to transfer (if no documents, complete the Statement of Change in Beneficial Ownership Lodgment Form);
- The most recent audited financial statements or a certified copy of the financial management accounts of the company or trust in which the shares or units are being transferred and;
- If the company or trust has more than one class of shares or units, the articles of association outlining the rights that attach to each class of shares or units.

DECLARATION FOR ACQUISITION OF UNLISTED SHARES OR UNITS (Circle as appropriate)

To your knowledge:

1. Is any Transferor of the shares or units related^a to any Transferee? **NO** **YES**

If YES then attach an independent property valuation report^b.

^a Related person is defined in the Dictionary of the *Duties Act 1999*.

^b Unless the transfer is with respect to a deceased estate, court order, domestic relationship agreement or termination agreement.
Authorised by the ACT Parliamentary Counsel—also accessible at www.legislation.act.gov.au

2. Is the property being sold for less than its dutiable^c value?

NO

YES

If YES then attach an independent property valuation report^d.

3. Are there any other instruments or arrangements under which dutiable property including business assets or land, will be acquired as part of this transaction?

NO

YES

If YES then attach details of other dutiable transactions (and completed lodgment form required for each type of transaction).

4. Is the transaction listed above part of an arrangement under which other dutiable transactions occurred within the last 12 months with the same transferee (or associated person^e) in respect of separate items of dutiable property or separate parts or interests of dutiable property?

NO

YES

If YES then attach a statement setting out the particulars of the other transactions (date, property values, property identifiers).

5. Does the company or trust in which the shares or units are being transferred, hold any interest in ACT land?

NO

YES

If NO, then go directly to the Declaration at the end of this form and sign.

If YES then complete the ACT Land details below.

ACT Land details			
Suburb	Section	Block	Unit

6. Does this acquisition give the transferee, either alone or in combination with any other associated persons, a greater than 50% percent interest in the company or trust in which shares or units are being transferred.

NO

YES

If NO then go directly to the Declaration at the end of this form and sign.

If YES then you must provide a diagrammatic outline of the relevant holdings of associated persons before and after the relevant acquisition identified above. You must also complete the details in the Details of Acquisitions Table below

^c Dutiable value is the greater of the consideration or unencumbered value. See sections 20-22 of the *Duties Act 1999*.

^d Unless the transfer is with respect to a deceased estate, court order, domestic relationship agreement or termination agreement.

^e Associated person is defined in the Dictionary of the *Duties Act 1999*.

**Details of Acquisitions (including those by associated persons) since
1 March 1999**

Date	Total number of issued shares/units	Total number of acquired shares/units	Market value of the ACT land at the time

PRIVACY STATEMENT

- The information in this form is required by the ACT Revenue Office (ACTRO) to determine your liability for duty. All information collected is stored in hard-copy and/or electronic form in accordance with the *Territory Records Act 2002* and is protected by secrecy provisions in Acts administered by the ACTRO.
- In addition, personal information you provide to the ACTRO is protected by the *Privacy Act 1998 (Cwlth)*.
- Any information collected from you is only to be used for the purpose of the Acts administered by the ACTRO. The information you provide, is not disclosed to third parties unless authorised by law, or with your consent.

DECLARATION

I of.....

being the transferee/transferee's authorised agent (delete whichever is not applicable) declare that the above information is, to the best of my knowledge, true and correct and in conformity with the agreement between the parties.

I also acknowledge that I have read and understand the Privacy Statement.

Signed Date

**GIVING FALSE OR MISLEADING INFORMATION IS A SERIOUS OFFENCE
(Section 338 *Criminal Code 2002*)**

This form is Approved form AF2006-68 under section 139C of the *Taxation Administration Act 1999*