Australian Capital Territory

Territory Records (Records Disposal Schedule – Children, Youth and Family Support Records) Approval 2006 (No 2)

Notifiable instrument NI2006—166

made under the

Territory Records Act 2002, s 19 (Approval of schedules for the disposal of records)

1. Name of Instrument

This instrument is the Territory Records (Records Disposal Schedule – Children, Youth and Family Support Records) Approval 2006 (No 2)

2. Approval

I approve the Records Disposal Schedule – Children, Youth and Family Support Records.

3. Commencement

This instrument commences on the day after notification.

4. Revocation

I revoke Notifiable Instrument NI 2006-27 notified 2 February 2006.

David Wardle Director of Territory Records 26 April 2006



Records Disposal Schedule Children, Youth and Family Support Records

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INTRODUCTION

The Records Disposal Schedule – Children, Youth & Family Support Records is the official authority for the disposal of records relating to children, youth and family support in the ACT.

It is one of a series of Records Disposal Schedules authorised by the Director of Territory Records in accordance with the provisions of the *Territory Records Act 2002*. It is to be used in conjunction with the *Territory Administrative Records Disposal Schedule (TARDiS*).

PURPOSE

The purpose of this Records Disposal Schedule is to provide for the authorised disposal of records relating to children, youth and family support records created or maintained by ACT Government agencies.

SCOPE

This Records Disposal Schedule applies to all ACT Government agencies as defined in the *Territory Records Act 2002*.

It applies to records in any format, including electronic records.

AUTHORITY

The Director of Territory Records, in consultation with stakeholders and the Territory Records Advisory Council, has approved this Records Disposal Schedule for use. The schedule does not take effect until is has been incorporated into an agency's Records Management Program that has been signed off by the Principal Officer of the agency. Even so, officers using this Records Disposal Schedule should apply it with caution. They should be aware that the authorisations for disposal are given in terms of the *Territory Records Act 2002* only. Officers must not dispose of records in contravention of this Records Disposal Schedule or other requirements.

This Records Disposal Schedule will remain in force until a new schedule supersedes it or the Director of Territory Records withdraws it from use.

STRUCTURE AND RELATIONSHIP TO THE TERRITORY VERSION OF KEYWORD AAA

A Records Disposal Schedule generally specifies retention periods. That is, how long records are to be retained by the agency before being destroyed or retained as Territory Archives.

Retention periods set down in this schedule are minimum periods only and an agency may keep records for a longer period if considered necessary for business requirements. Reasons for longer retention could include legal requirements, administrative need or agency directives. An agency must not dispose of any records where it is aware of possible legal action for which the records may be required as evidence.

The Records Disposal Schedule – Children, Youth and Family Support Records has a hierarchical structure that reflects its arrangement according to functions and activities, rather than by subject, and its close relationship to the Territory Version of Keyword

AAA. The Records Disposal Schedule is designed to be applicable to all adoptions, child protection, children's programs, youth justice and youth programs records regardless of titling conventions used, so that records, which have not been titled using the terminology represented by this Records Disposal Schedule, may still be sentenced with relative ease.

Territory Version of Keyword AAA

The Territory Version of Keyword AAA is the general administrative thesaurus produced by the Territory Records Office. The structure and terms used in this Records Disposal Schedule are closely related to those used in the Territory Version of Keyword AAA, which is based on functional analysis of business activity. This methodology (ie. the analysis of business activity) produces a hierarchical model of an organisation's business activity. The hierarchical model also represents a classification scheme for the records that document functions, activities and transactions.

The disposal actions listed in this Records Disposal Schedule were determined through the process of appraisal in accordance with *Territory Records Office Standard for Records Management No.2 - Appraisal*. Appraisal is based upon the same type of analysis of business activity employed in the classification scheme used in the Territory Version of Keyword AAA. Essentially, appraisal involves attaching record retention periods and disposal decisions (and even records creation requirements and rules) to the same classification scheme.

GUIDELINES FOR USE

Coverage of authority

The Records Disposal Schedule – Children, Youth & Family Support Records:

- Covers all Children, Youth & Family Support records divided into the following functions:
 - ADOPTION MANAGEMENT
 - CHILD PROTECTION
 - o CHILDREN'S PROGRAM
 - o YOUTH JUSTICE
 - YOUTH PROGRAMS
- Is intended to be used in conjunction with TARDiS;
- Specifies the minimum period records should be kept (retention periods);
- Specifies whether, upon the expiry of the retention periods, the records may be destroyed or are required as Territory Archives; and
- Is applicable to records created and maintained in any format, including electronic or formats such as microfiche

Layout of the schedule

This Records Disposal Schedule begins with an introduction incorporating definitions and the business classification scheme. Then each of the functions and activity disposal sets or 'disposal classes' relating to the functions are described. These are followed by a composite list of classes designated 'Retain as Territory Archives'. The functions and activity disposal sets show the following details:

Function This is the broad level business function and is displayed in bold capital letters at the top of each page. It is the highest level in the business classification scheme. It is followed by the scope note, which provides definitions of the function and a collective view of the business activities that make that function unique.

Activity Activities are the processes or operations that make up the business function. They are set in bold and italics below the function statement. This is the second level of the business classification scheme. The scope of the activity encompasses all of the transactions that take place in relation to this activity. Activities can relate to many functions with the scope notes covering all of these relationships (eg the activity 'Policy' is linked to all of the functions. However, each function and activity set represents a unique unit.

Entry No. This is the disposal class number allocated based on the function and activity set and the class number of the record. The barcode is the same as the Entry No. and may be used in an automated recordkeeping system.

Description of Records: This is the description of the records documenting the business function, activity and transactions. The descriptions can relate to one record such as a register or a group of records documenting a particular set of transactions.

Disposal Action: This is the minimum period a record must be kept for and is the trigger event from which the disposal date can be calculated.

FORMAT OF RECORD

This Records Disposal Schedule is applicable to any record that performs the function prescribed, irrespective of format. Records may include:

- •cards,
- •registers,
- •files,
- •plans,
- ·microfilm,
- •microfiche,
- •COM (computer output microfiche),
- •electronic records, including various electronic media, and
- •any other formats.

Electronic records

Refers to records created, communicated and maintained by means of electronic equipment. Information could be maintained/stored in a number of ways - on the database (the main database, or a special archives database); on magnetic media; on optical disks; or on separate hardcopy (paper, COM).

Electronic records must be readily accessible for the length of the specified retention period. Routine treatment (such as wiping, updating, alterations or rerecording) does constitute disposal.

DESTRUCTION OF RECORDS

When the approved disposal date for the destruction of records has been reached, appropriate arrangements for their destruction should be made. It is the responsibility of each agency to ensure that its records are destroyed in a secure and appropriate manner as indicated in the agency Records Management Program.

UPDATING THE RECORDS DISPOSAL SCHEDULE

Records Disposal Schedules are reviewed and updated from time to time. For suggested amendments or alterations to this schedule please contact the Director of Territory Records.

ASSISTANCE IN USING THE RECORDS DISPOSAL SCHEDULE

Agencies requiring any assistance in the interpretation or implementation of any Records Disposal Schedule are encouraged to contact the Director of Territory Records.

RELATED LEGISLATION

The following legislation is related to the record classes covered by this Records Disposal Schedule:

Adoptions Act 1993 Children & Young Peoples Act 1999 Freedom of Information Act 1989 Health Records (Privacy and Access) Act 1997 Privacy Act 1988 Territory Records Act 2002

DEFINITIONS

Agency

The Executive, an ACT Court, the Legislative Assembly Secretariat, an administrative unit, a Board of Inquiry, a Judicial or Royal Commission, any other prescribed authority, or an entity declared under the regulations of the *Territory Records Act 2002* to be an agency.

Appraisal

The process of evaluating business activities to:

- •determine which records need to be captured;
- •how long the records need to be kept to meet business needs; and
- •meet the requirements of organisational accountability and community expectations.

Business Classification Scheme

A hierarchical scheme for identifying and defining the functions, activities and transactions an agency performs in the conduct of its business, and the relationships between them.

Principal Officer

The Chief Executive of an administrative unit, or its equivalent in other types of agencies.

Records

Information created, received, and maintained as evidence and information by an organisation or person, in pursuance of legal obligations or in the transaction of business. This recorded information must be maintained or managed by the agency to provide evidence of their business activities. Records can be in written, electronic or any other form.

Records of an Agency

Records, in writing, electronic or any other form, under the control of an agency or to which it is entitled to control, kept as a record of its activities, whether it was created or received by the agency.

Records Disposal Schedule

A document approved by the Director of Territory Records, which sets out the types of records an agency must make and how long they must be kept.

Records Management Program

A document which complies with section 16 of the *Territory Records Act 2002* by setting out the means by which an agency will manage its records, and is approved by the agency's Principal Officer.

Recordkeeping Systems

Information systems that capture, maintain and provide access to records over time. While the term is often associated with computer software, Recordkeeping Systems also encompass policies, procedures, practices and resources which are applied within an agency to ensure that full and accurate records of business activity are made and kept.

Scope Note

An explanation of terms used in describing the records and the context in which they were made and used.

Sentencing

The process of applying appraisal decisions to individual records by determining the part of a Records Disposal Schedule which applies to the record and assigning a retention period consistent with that part.

Territory Archives

Records preserved for the benefit of present and future generations.

Records Disposal Schedule -	 Children. 	. Youth & Family	v Sup	port Records	April 2006
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BUSINESS CLASSIFICATION SCHEME

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Advice

The activities associated with offering opinions by or to the agency as to an action or judgement. Includes the process of advising.

Agreements

The processes associated with the establishment, maintenance, review and negotiation of agreements.

Arrangements

The activities involved in arranging for a journey or trip. Includes preparing travel itineraries, authorisations, entitlements etc. Also includes arrangements made for the delivery of equipment or goods and the usage made of facilities, vehicles, equipment and space.

Cases

This activity descriptor should be used where there is a need to keep a number of activities together on one file, for legislative or operational reasons. Case files relate to particular incidents, persons, organisations or clients.

Committees

The activities associated with the managing of committees and task forces. Includes the committee's establishment, appointment of members, terms of reference, proceedings, minutes of meetings, reports, agenda etc.

Compliance

The activities associated with complying with mandatory or optional accountability, fiscal, legal, regulatory or quality standards or requirements to which the agency is subject. Includes compliance with legislation and with national and international standards, such as the ISO 9000 series.

Conferences

The activities involved in arranging or attending conferences held either by the agency or by other agencies. Includes registrations, publicity, and reports of participants etc.

Control

The activities associated with creating, maintaining and evaluating control mechanisms. Includes classification, indexing, registration, forms design etc to ensure maximum control over records and recordkeeping systems. Also includes control mechanisms for other information resources and systems.

Enquiries

The activities associated with handling requests for information about the agency and its services by the general public, agency employees or another agency.

Inquiries

The activities associated with liaising with bodies carrying out inquiries and participating in them. Inquiries are investigations carried out by people or bodies who have been empowered to inquire and report on a subject, such as Royal Commissions, Judicial Commissions, Boards of Inquiry, Legislative Assembly and Ombudsman's inquiries. Includes the agency's participation in the inquiry by providing evidence in the form of records, submissions or staff.

Meetings

The activities associated with adhoc gatherings held to formulate, discuss, update or resolve issues and matters pertaining to managing the section, department, or agency as a whole. Includes arrangements, agenda, taking of minutes etc. Excludes committee meetings.

Planning

The process of formulating ways in which objectives can be achieved. Includes determination of services, needs and solutions to those needs.

Policy

The activities associated with developing and establishing decisions, directions and precedents which act as a reference for future decision making, as the basis from which the agency's operating procedures are determined.

Procedures

Standard methods of operating laid down by an agency according to formulated policy.

Public Reaction

The process of handling public reaction to an organisation's policies or services. Includes anonymous letters, letters of complaint and letters of congratulations or appreciation received from the public.

Reporting

The processes associated with initiating or providing a formal response to a situation or request (either internal, external or as a requirement of corporate policies), and to provide formal statements or findings of the results of the examination or investigation. Includes agenda, briefing, business, discussion papers, proposals, reports, reviews and returns.

Representations

The activities involved in preparing responses to questions raised in the Legislative Assembly by Members of Legislative Assembly on behalf of their constituents. Also includes community-based representations and representations directed to the Minister seeking a formal response.

Research

The activities involved in investigating or enquiring into a subject or area of interest in order to discover facts, principles etc. Used to support development of projects, standards, guidelines etc and the business activities of the agency in general. Includes following up enquiries relating to organisational programs, projects, working papers, literature searches etc.

Reviewing

The activities involved in re-evaluating or re-examining products, processes, procedures, standards and systems. Includes recommendations and advice resulting from these activities. Use Evaluation for initial evaluation and monitoring.

Submissions

The preparation and submission of a formal statement (report, statistics etc) supporting a case or opinion held by the agency which is submitted to another agency, or within the agency, for the purpose of either gain or support.

CHILD PROTECTION

The function of providing case management for children who are in need of support due to abuse and/or neglect. Includes providing support for the family to enable them to continue to provide adequate care for the child, removal of the child from parental care when the child needs protection to either other family members or foster care and making prompt decisions about permanent living arrangements

The activities associated with this function are:

Advice

The activities associated with offering opinions by or to the agency as to an action or judgement. Includes the process of advising.

Agreements

The processes associated with the establishment, maintenance, review and negotiation of agreements.

Arrangements

The activities involved in arranging for a journey or trip. Includes preparing travel itineraries, authorisations, entitlements etc. Also includes arrangements made for the delivery of equipment or goods and the usage made of facilities, vehicles, equipment and space.

Cases

This activity descriptor should be used where there is a need to keep a number of activities together on one file, for legislative or operational reasons. Case files relate to particular incidents, persons, organisations or clients.

Ceremonies

The activities associated with arranging and managing a formal act performed for a special occasion.

Committees

The activities associated with the managing of committees and task forces. Includes the committee's establishment, appointment of members, terms of reference, proceedings, minutes of meetings, reports, agenda etc.

Compliance

The activities associated with complying with mandatory or optional accountability, fiscal, legal, regulatory or quality standards or requirements to which the agency is subject. Includes compliance with legislation and with national and international standards, such as the ISO 9000 series.

Conferences

The activities involved in arranging or attending conferences held either by the agency or by other agencies. Includes registrations, publicity, and reports of participants etc.

Control

The activities associated with creating, maintaining and evaluating control mechanisms. Includes classification, indexing, registration, forms design etc to ensure maximum control over records and recordkeeping systems. Also includes control mechanisms for other information resources and systems.

Enquiries

The activities associated with handling requests for information about the agency and its services by the general public, agency employees or another agency.

Inquiries

The activities associated with liaising with bodies carrying out inquiries and participating in them. Inquiries are investigations carried out by people or bodies who have been empowered to inquire and report on a subject, such as Royal Commissions, Judicial Commissions, Boards of Inquiry, Legislative Assembly and Ombudsman's inquiries. Includes the agency's participation in the inquiry by providing evidence in the form of records, submissions or staff.

Meetings

The activities associated with adhoc gatherings held to formulate, discuss, update or resolve issues and matters pertaining to managing the section, department, or agency as a whole. Includes arrangements, agenda, taking of minutes etc. Excludes committee meetings.

Planning

The process of formulating ways in which objectives can be achieved. Includes determination of services, needs and solutions to those needs.

Policy

The activities associated with developing and establishing decisions, directions and precedents which act as a reference for future decision making, as the basis from which the agency's operating procedures are determined.

Procedures

Standard methods of operating laid down by an agency according to formulated policy.

Public Reaction

The process of handling public reaction to an organisation's policies or services. Includes anonymous letters, letters of complaint and letters of congratulations or appreciation received from the public.

Reporting

The processes associated with initiating or providing a formal response to a situation or request (either internal, external or as a requirement of corporate policies), and to provide formal statements or findings of the results of the examination or investigation. Includes agenda, briefing, business, discussion papers, proposals, reports, reviews and returns.

Representations

The activities involved in preparing responses to questions raised in the Legislative Assembly by Members of Legislative Assembly on behalf of their constituents. Also includes community-based representations and representations directed to the Minister seeking a formal response.

Research

The activities involved in investigating or enquiring into a subject or area of interest in order to discover facts, principles etc. Used to support development of projects, standards, guidelines etc and the business activities of the agency in general. Includes following up enquiries relating to organisational programs, projects, working papers, literature searches etc.

Reviewing

The activities involved in re-evaluating or re-examining products, processes, procedures, standards and systems. Includes recommendations and advice resulting from these activities.

Submissions

The preparation and submission of a formal statement (e.g. report, statistics, etc) supporting a case or opinion held by the agency which is submitted to another agency, or within the agency, for the purpose of either gain or support.

CHILDREN'S PROGRAMS

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Advice

The activities associated with offering opinions by or to the agency as to an action or judgement. Includes the process of advising.

Agreements

The processes associated with the establishment, maintenance, review and negotiation of agreements.

Arrangements

The activities involved in arranging for a journey or trip. Includes preparing travel itineraries, authorisations, entitlements etc. Also includes arrangements made for the delivery of equipment or goods and the usage made of facilities, vehicles, equipment and space.

Cases

This activity descriptor should be used where there is a need to keep a number of activities together on one file, for legislative or operational reasons. Case files relate to particular incidents, persons, organisations or clients.

Committees

The activities associated with the managing of committees and task forces. Includes the committee's establishment, appointment of members, terms of reference, proceedings, minutes of meetings, reports, agenda etc.

Compliance

The activities associated with complying with mandatory or optional accountability, fiscal, legal, regulatory or quality standards or requirements to which the agency is subject. Includes compliance with legislation and with national and international standards, such as the ISO 9000 series.

Conferences

The activities involved in arranging or attending conferences held either by the agency or by other agencies. Includes registrations, publicity, and reports of participants etc.

Enquiries

The activities associated with handling requests for information about the agency and its services by the general public, agency employees or another agency.

Inquiries

The activities associated with liaising with bodies carrying out inquiries and participating in them. Inquiries are investigations carried out by people or bodies who have been empowered to inquire and report on a subject, such as Royal Commissions, Judicial Commissions, Boards of Inquiry, Legislative Assembly and Ombudsman's inquiries. Includes the agency's participation in the inquiry by providing evidence in the form of records, submissions or staff.

Meetings

The activities associated with adhoc gatherings held to formulate, discuss, update or resolve issues and matters pertaining to managing the section, department, or agency as a whole. Includes arrangements, agenda, taking of minutes etc. Excludes committee meetings.

Planning

The process of formulating ways in which objectives can be achieved. Includes determination of services, needs and solutions to those needs.

Policy

The activities associated with developing and establishing decisions, directions and precedents which act as a reference for future decision making, as the basis from which the agency's operating procedures are determined.

Procedures

Standard methods of operating laid down by an agency according to formulated policy.

Public Reaction

The process of handling public reaction to an organisation's policies or services. Includes anonymous letters, letters of complaint and letters of congratulations or appreciation received from the public.

Reporting

The processes associated with initiating or providing a formal response to a situation or request (either internal, external or as a requirement of corporate policies), and to provide formal statements or findings of the results of the examination or investigation. Includes agenda, briefing, business, discussion papers, proposals, reports, reviews and returns.

Representations

The activities involved in preparing responses to questions raised in the Legislative Assembly by Members of Legislative Assembly on behalf of their constituents. Also includes community-based representations and representations directed to the Minister seeking a formal response.

Research

The activities involved in investigating or enquiring into a subject or area of interest in order to discover facts, principles etc. Used to support development of projects, standards, guidelines etc and the business activities of the agency in general. Includes following up enquiries relating to organisational programs, projects, working papers, literature searches etc.

Reviewing

The activities involved in re-evaluating or re-examining products, processes, procedures, standards and systems. Includes recommendations and advice resulting from these activities.

Submissions

The preparation and submission of a formal statement (e.g. report, statistics, etc) supporting a case or opinion held by the agency which is submitted to another agency, or within the agency, for the purpose of either gain or support.

YOUTH JUSTICE

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation

Advice

The activities associated with offering opinions by or to the agency as to an action or judgement. Includes the process of advising.

Agreements

The processes associated with the establishment, maintenance, review and negotiation of agreements.

Arrangements

The activities involved in arranging for a journey or trip. Includes preparing travel itineraries, authorisations, entitlements etc. Also includes arrangements made for the delivery of equipment or goods and the usage made of facilities, vehicles, equipment and space.

Cases

This activity descriptor should be used where there is a need to keep a number of activities together on one file, for legislative or operational reasons. Case files relate to particular incidents, persons, organisations or clients.

Committees

The activities associated with the managing of committees and task forces. Includes the committee's establishment, appointment of members, terms of reference, proceedings, minutes of meetings, reports, agenda etc.

Compliance

The activities associated with complying with mandatory or optional accountability, fiscal, legal, regulatory or quality standards or requirements to which the agency is subject. Includes compliance with legislation and with national and international standards, such as the ISO 9000 series.

Conferences

The activities involved in arranging or attending conferences held either by the agency or by other agencies. Includes registrations, publicity, and reports of participants etc.

Control

The activities associated with creating, maintaining and evaluating control mechanisms. Includes classification, indexing, registration, forms design etc to ensure maximum control over records and recordkeeping systems. Also includes control mechanisms for other information resources and systems.

Enquiries

The activities associated with handling requests for information about the agency and its services by the general public, agency employees or another agency.

Inquiries

The activities associated with liaising with bodies carrying out inquiries and participating in them. Inquiries are investigations carried out by people or bodies who have been empowered to inquire and report on a subject, such as Royal Commissions, Judicial Commissions, Boards of Inquiry, Legislative Assembly and Ombudsman's inquiries. Includes the agency's participation in the inquiry by providing evidence in the form of records, submissions or staff.

Meetings

The activities associated with adhoc gatherings held to formulate, discuss, update or resolve issues and matters pertaining to managing the section, department, or agency as a whole. Includes arrangements, agenda, taking of minutes etc. Excludes committee meetings.

Planning

The process of formulating ways in which objectives can be achieved. Includes determination of services, needs and solutions to those needs.

Policy

The activities associated with developing and establishing decisions, directions and precedents which act as a reference for future decision making, as the basis from which the agency's operating procedures are determined.

Procedures

Standard methods of operating laid down by an agency according to formulated policy.

Public Reaction

The process of handling public reaction to an organisation's policies or services. Includes anonymous letters, letters of complaint and letters of congratulations or appreciation received from the public.

Reporting

The processes associated with initiating or providing a formal response to a situation or request (either internal, external or as a requirement of corporate policies), and to provide formal statements or findings of the results of the examination or investigation. Includes agenda, briefing, business, discussion papers, proposals, reports, reviews and returns.

Representations

The activities involved in preparing responses to questions raised in the Legislative Assembly by Members of Legislative Assembly on behalf of their constituents. Also includes community-based representations and representations directed to the Minister seeking a formal response.

Research

The activities involved in investigating or enquiring into a subject or area of interest in order to discover facts, principles etc. Used to support development of projects, standards, guidelines etc and the business activities of the agency in general. Includes following up enquiries relating to organisational programs, projects, working papers, literature searches etc.

Reviewing

The activities involved in re-evaluating or re-examining products, processes, procedures, standards and systems. Includes recommendations and advice resulting from these activities.

Security

The activities associated with measures taken to protect people, premises, equipment or information from accidental or intentional damage or from unauthorised access. Includes the security classification of personnel and criminal record checks.

Submissions

The preparation and submission of a formal statement (e.g. report, statistics, etc) supporting a case or opinion held by the agency which is submitted to another agency, or within the agency, for the purpose of either gain or support.

YOUTH PROGRAMS

The function of providing support, development and activities, from early intervention to tertiary service provisions, for young people aged between twelve to twenty five. Includes programs that aim to enhance young people's abilities to make informed decisions, to strengthen their support network with peers, families and the broader community. Also be able to more effectively participate in the social and economic structure of society and government decision making.

Advice

The activities associated with offering opinions by or to the agency as to an action or judgement. Includes the process of advising.

Agreements

The processes associated with the establishment, maintenance, review and negotiation of agreements.

Arrangements

The activities involved in arranging for a journey or trip. Includes preparing travel itineraries, authorisations, entitlements etc. Also includes arrangements made for the delivery of equipment or goods and the usage made of facilities, vehicles, equipment and space.

Cases

This activity descriptor should be used where there is a need to keep a number of activities together on one file, for legislative or operational reasons. Case files relate to particular incidents, persons, organisations or clients.

Committees

The activities associated with the managing of committees and task forces. Includes the committee's establishment, appointment of members, terms of reference, proceedings, minutes of meetings, reports, agenda etc.

Compliance

The activities associated with complying with mandatory or optional accountability, fiscal, legal, regulatory or quality standards or requirements to which the agency is subject. Includes compliance with legislation and with national and international standards, such as the ISO 9000 series.

Conferences

The activities involved in arranging or attending conferences held either by the agency or by other agencies. Includes registrations, publicity, and reports of participants etc.

Enquiries

The activities associated with handling requests for information about the agency and its services by the general public, agency employees or another agency.

Inquiries

The activities associated with liaising with bodies carrying out inquiries and participating in them. Inquiries are investigations carried out by people or bodies who have been empowered to inquire and report on a subject, such as Royal Commissions, Judicial Commissions, Boards of Inquiry, Legislative Assembly and Ombudsman's inquiries. Includes the agency's participation in the inquiry by providing evidence in the form of records, submissions or staff.

Meetings

The activities associated with ad hoc gatherings held to formulate, discuss, update or resolve issues and matters pertaining to managing the section, department, or agency as a whole. Includes arrangements, agenda, taking of minutes etc. Excludes committee meetings.

Planning

The process of formulating ways in which objectives can be achieved. Includes determination of services, needs and solutions to those needs.

Policy

The activities associated with developing and establishing decisions, directions and precedents which act as a reference for future decision making, as the basis from which the agency's operating procedures are determined.

Procedures

Standard methods of operating laid down by an agency according to formulated policy.

Public Reaction

The process of handling public reaction to an organisation's policies or services. Includes anonymous letters, letters of complaint and letters of congratulations or appreciation received from the public.

Reporting

The processes associated with initiating or providing a formal response to a situation or request (either internal, external or as a requirement of corporate policies), and to provide formal statements or findings of the results of the examination or investigation. Includes agenda, briefing, business, discussion papers, proposals, reports, reviews and returns.

Representations

The activities involved in preparing responses to questions raised in the Legislative Assembly by Members of Legislative Assembly on behalf of their constituents. Also includes community-based representations and representations directed to the Minister seeking a formal response.

Research

The activities involved in investigating or enquiring into a subject or area of interest in order to discover facts, principles etc. Used to support development of projects, standards, guidelines etc and the business activities of the agency in general. Includes following up enquiries relating to organisational programs, projects, working papers, literature searches etc.

Reviewing

The activities involved in re-evaluating or re-examining products, processes, procedures, standards and systems. Includes recommendations and advice resulting from these activities.

Submissions

The preparation and submission of a formal statement (e.g. report, statistics, etc) supporting a case or opinion held by the agency which is submitted to another agency, or within the agency, for the purpose of either gain or support.

RECORDS DISPOSAL SCHEDULE

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Advice

The activities associated with offering opinions by or to the agency as to an action or judgement. Includes the process of advising.

Entry	Description of Records	Disposal Action
1.1.1	Receipt of advice that resulted in major changes to the organisation or management. Includes advice provided by consultants.	Retain as Territory Archives
1.1.2	Receipt and provision of all other advice on adoption management. Includes advice provided by consultants.	Destroy 5 years after action completed
1.1.3	Working papers documenting the development of advice.	Destroy 2 years after action completed

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Agreements

The processes associated with the establishment, maintenance, review and negotiation of agreements.

Entry	Description of Records	Disposal Action
1.2.1	Final version of significant agreements with government bodies or private organisations with implications for major liabilities or obligations.	Retain as Territory Archives
1.2.2	Negotiations, establishment, maintenance and review of significant agreements.	Retain as Territory Archives
1.2.3	Final version of other agreements.	Destroy 7 years after completion or other termination of agreement or contract
1.2.4	Negotiations, establishment, maintenance and review of other agreements.	Destroy 7 years after signing of agreement

Arrangements

The activities involved in arranging for a journey or trip. Includes preparing travel itineraries, authorisations, entitlements etc Also includes arrangements made for the delivery of equipment or goods and the usage made of facilities, vehicles, equipment and space.

Entry	Description of Records	Disposal Action
1.3.1	Travel and accommodation arrangements.	Destroy 1 year after action completed.

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Cases

This activity descriptor should be used where there is a need to keep a number of activities together on one file, for legislative or operational reasons. Case files relate to incidents, persons, organisations or clients.

Entry Description of Records

Disposal Action



[Use this activity descriptor when there is a need to keep records relating to a number of activities together on one file].

Retain as Archives

Retain as Territory Archives

To be used where it is considered necessary to keep records relating to adoption activities in the name of the prospective adoptive parents including:

- Permanent care orders;
- Special needs adoptions;
- Intercountry adoptions; and
- Enquires and applications that do not proceed.

To be used where it is considered necessary to keep records relating to adoption activities in the name of the adoptee including:

- Research into family history;
- Information on the local community and custodians;
- Local family names; and
- Other relevant cultural issues and geno mapping.

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Committees

The activities associated with the managing of committees and task forces. Includes the committee's establishment, appointment of members, terms of reference, proceedings, minutes of meetings, reports, agenda etc. Use STRATEGIC MANAGEMENT - Committees for audit committees.

Description of Records Entry Disposal Action 1.5.1 External committees formed to manage or Retain as Territory advise. Includes finals of documents: Archives • Establishing the committee • Terms of reference • Appointment of members Minutes • Reports Recommendations • Supporting documents such as briefing papers and discussion papers. 1.5.2 Internal committees formed to manage or Destroy 7 years after last advise. Includes final of documents: action • Establishing the committee • Terms of reference • Appointment of members

1.5.3

Working papers and administration of committees. Includes:

papers and discussion papers.

• Supporting documents such as briefing

Agenda

• Minutes • Reports

• Notices of meetings

• Recommendations

- Draft minutes
- Room bookings

Destroy when reference ceases

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Compliance

The activities associated with complying with mandatory or optional accountability, fiscal, legal, regulatory or quality standards or requirements to which the agency is subject. Includes compliance with legislation and with national and international standards, such as the ISO 9000 series.

Entry	Description of Records	Disposal Action
1.6.1	Compliance with mandatory or optional standards or with statutory requirements.	Destroy 7 years after action completed

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Conferences

The activities involved in arranging or attending conferences held either by the agency or by other agencies. Includes registrations, publicity, and reports of participants etc.

Entry	Description of Records	Disposal Action
1.7.1	Conferences arranged by agency. Includes: • Program development; • Invitations to speakers; • Promotion activities; • Registrations; • Venue bookings; • Copies of financial statements.	Destroy 3 years after action completed
1.7.2	Reports commenting on and assessing conferences.	Destroy 3 years after action completed
1.7.3	Master of unpublished proceedings and reports, speeches and papers from conferences.	Destroy 3 years after action completed
1.7.4	Published proceedings from conferences.	Destroy when reference ceases
1.7.5	Attendance of staff at conferences arranged by other organisations. Includes conference promotion material, programs and registration forms. [For travel and accommodation arrangements made for staff to attend conferences, use Adoption Management Arrangements.]	Destroy when reference ceases

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Control

The activities associated with creating, maintaining and evaluating control mechanisms. Includes classification, indexing, registration, forms design etc to ensure maximum control over records and recordkeeping systems. Also includes control mechanisms for other information resources and systems.

Entry	Description of Records	Disposal Action
	Control records include indexes which record the name, date of birth, address and/or other related client details	Retain as Territory Archives

Enquiries

The activities associated with the handling of requests for information about the agency and its services by the general public, agency employees or another agency.

Entry	Description of Records	Disposal Action
1.9.1	Records documenting the management of discovery orders and subpoenas. Includes detailed lists and copies of records found.	Destroy 3 years after action completed
1.9.2	From the public about the agency and its programs, products and services	Destroy 2 years after action completed
1.9.3	Records documenting the handling of enquiries from agency employees by another government organisation covering the adoption management function	Destroy 1 year after action completed

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Inquiries

The activities associated with liaising with bodies carrying out inquiries and participating in them. Inquiries are investigations carried out by people or bodies who have been empowered to inquire and report on a subject, such as Royal Commissions, Judicial Commissions, Boards of Inquiry, Legislative Assembly and Ombudsman's inquiries. Includes the agency's participation in the inquiry by providing evidence in the form of records, submissions or staff.

Entry	Description of Records	Disposal Action
1.10.1	Inquiry into the agency's performance	Destroy 10 years after action completed
1.10.2	Inquiries with no direct relation to the agency's function where the agency made a substantial contribution. Includes: - Agency statements and submissions - Responses to final reports - Transcripts of oral evidence given by agency officers	Destroy 7 years after final of inquiry is released
1.10.3	Records documenting legal support given to the agency either conducting an inquiry or participating in an inquiry.	Destroy 3 years after final of inquiry is released
1.10.4	Records documenting inquiries with no direct relation to the agency's function where the agency made little or no contribution.	Destroy 2 years after final of inquiry is released
1.10.5	Working papers documenting the agency's contribution and involvement in an inquiry with no direct relation to the agency's function.	Destroy when reference ceases

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Meetings

The activities associated with adhoc gatherings held to formulate, discuss, update or resolve issues and matters pertaining to managing the section, department, or agency as a whole. Includes arrangements, agenda, taking of minutes etc. Excludes committee meetings.

Entry	Description of Records	Disposal Action
	Final version of minutes and supporting documents tabled at meetings. Includes meetings with external agencies.	Destroy 3 years after action completed
1.11.2	Working papers documenting the conduct and administration of meetings. Includes agenda, notices of meetings and draft minutes.	Destroy when reference ceases

Planning

The process of formulating ways in which objectives can be achieved. Includes determination of services, needs and solutions to those needs.

Entry	Description of Records	Disposal Action
1.12.1	Final version of agency wide adoption management plans.	Retain as Territory Archives
1.12.2	Working papers used to develop all adoption management plans. Includes draft plans, reports, analysing issues and comments on draft plans	Destroy 1 year after adoption of the final plan

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Policy

The activities associated with developing and establishing decisions, directions and precedents which act as a reference for future decision making, as the basis from which the agency's operating procedures are determined.

Entry	Description of Records	Disposal Action
1.13.1	Final version of policies.	Retain as Territory Archives
1.13.2	Development and establishment of agency's policies. Includes: • Policy proposals • Research papers • Results of consultations • Supporting reports • Major drafts.	Destroy 5 years after policy is superseded
1.13.3	Comments made on the development of policies.	Destroy 2 years after the promulgation of the new policy
1.13.4	Working papers documenting the development of policies.	Destroy 2 years after the promulgation of the new policy

Procedures

Standard methods of operating laid down by an agency according to formulated policy.

Entry	Description of Records	Disposal Action
1.14.1	Master set of agency manuals, handbooks, directives etc detailing procedures.	Destroy 7 years after procedures are superseded
1.14.2	Development of agency procedures.	Destroy 2 years after procedures are superseded

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Public Reaction

The process of handling public reaction to an agency's policies or services. Includes anonymous letters, letters of complaint and letters of congratulations or appreciation received from the public.

Entry	Description of Records	Disposal Action
1.15.1	Public reaction and oversight agency response, such as the Ombudsman, OCA or Official Visitor.	Retain as Territory Archives.
1.15.2	Public reaction and agency responses.	Destroy 6 months after action completed.

Reporting

The processes associated with initiating or providing a formal response to a situation or request (either internal, external or as a requirement of corporate policies), and to provide formal statements or findings of the results of the examination or investigation. Includes agenda, briefing, business, discussion papers, proposals, reports, reviews and returns.

Entry	Description of Records	Disposal Action
1.16.1	Final version of internal formal reports and reports made to external agencies.	Retain as Territory Archives
1.16.2	Final versions of periodic internal reports used to monitor and document recurring activities. Includes the collection and reporting of statistical information.	Destroy 7 years after action completed
1.16.3	Working papers documenting the development of all reports. Includes drafts and comments received.	Destroy 2 years after action completed
1.16.4	Responses to surveys.	Destroy 2 years after action completed

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Representations

The activities involved in preparing responses to questions raised in the Legislative Assembly by Members of Legislative Assembly on behalf of their constituents. Also includes community-based representations and representations directed to the Minister seeking a formal response.

Entry Description of Records Disposal Action Retain as Territory 1.17.1 Records documenting responses to approaches received by the minister Archives (*Ministerials*) from peak industry bodies, leading community interest groups, recognised influential stakeholders and individuals concerning issues of a contentious nature which are of major significance to the agency and/or the community at large. Includes: - copies of letters received - draft responses - minutes providing background details for the Minister - requests from the Minister's office for changes - final response. 1.17.2 Records documenting the preparation of Destroy 5 years after Ministerial responses to questions raised in action completed

the Legislative Assembly.

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Representations (Continued)

The activities involved in preparing responses to questions raised in the Legislative Assembly by Members of Legislative Assembly on behalf of their constituents. Also includes community-based representations and representations directed to the Minister seeking a formal response.

1.17.3

Records documenting responses to other approaches received by the minister (*Ministerials*) from the public of a routine nature concerning issues which are of no major significance to the agency and/or the community at large. Includes:

Destroy 2 years after action completed

- copies of letters received
- draft responses
- minutes providing background details for the Minister
- requests from the Minister's office for changes
- final response.

1.17.4

Records documenting the management of communications sent to the Minister. Includes Ministerial directives (project worksheets) and background material, statistics and weekly reports on representations.

Destroy 1 year after action completed

1.17.5

Reference set of all responses to representations 'Ministerials' kept by the coordinating area.

Destroy when reference ceases.

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Research

The activities involved in investigating or enquiring into a subject or area of interest in order to discover facts, principles etc. Used to support development of projects, standards, guidelines etc and the business activities of the agency in general. Includes following up enquiries relating to organisational programs, projects, working papers, literature searches etc.

Entry	Description of Records	Disposal Action
1.18.1	Records documenting detailed or significant research.	Retain as Territory Archives
1.18.2	Records documenting routine research.	Destroy 1 year after last action

Reviewing

The activities involved in re-evaluating or re-examining products, processes, procedures, standards and systems. Includes recommendations and advice resulting from these activities.

<i>Entry</i> 1.19.1	Description of Records Final report of review of agency programs and operations.	Disposal Action Retain as Territory Archives
1.19.2	Other records documenting a review of agency programs and operations. Includes documents establishing the review, action plan, etc.	Destroy 3 years after action completed
1.19.3	Working papers documenting a review of agency programs and operations.	Destroy 1 year after action completed

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Submissions

The preparation and submission of a formal statement (e.g. report, statistics, etc) supporting a case or opinion held by the agency which is submitted to another agency, or within the agency, for the purpose of either gain or support.

Entry	Description of Records	Disposal Action
1.20.1	Development of submissions (other than Cabinet Submissions) relating to controversial issues.	Retain as Territory Archives
1.20.2	Working papers documenting the development of Cabinet Submissions.	Retain as Territory Archives
1.20.3	Submissions (other than Cabinet Submissions) made to the Chief Minister, Minister, and government bodies on issues directly relating to the agency's functions, concerning controversial issues with far reaching social, economic and international implications relating to the functional responsibilities of the agency.	Destroy 10 years after action completed.
1.20.4	Submissions (other than Cabinet Submissions) made to the Chief Minister, Minister, and government bodies on issues directly relating to the agency's functions, concerning other matters of lesser importance with no far reaching impact on the social, economic and international standing of the country. Includes submissions concerning administrative matters.	Destroy 10 years after action completed.
1.20.5	Working papers documenting the development of submissions (other than Cabinet Submissions) relating to other matters of lesser importance.	Destroy 10 years after action completed.

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Submissions (Continued)

The preparation and submission of a formal statement (e.g. report, statistics, etc) supporting a case or opinion held by the agency which is submitted to another agency, or within the agency, for the purpose of either gain or support.

1.20.6	Final successful agency submissions made to community organisations.	Destroy 7 years after action completed.
1.20.7	Final unsuccessful agency submissions made to community organisations.	Destroy 7 years after action completed.
1.20.8	Working papers documenting the development of Cabinet Submissions. Includes background and briefing material, drafts and comments received.	Destroy in accordance with Cabinet Handbook instructions

The function of providing case management for children who are in need of support due to abuse and/or neglect. Includes providing support for the family to enable them to continue to provide adequate care for the child, removal of the child from parental care when the child needs protection to either other family members or foster care and making prompt decisions about permanent living arrangements

Advice

The activities associated with offering opinions by or to the agency as to an action or judgement. Includes the process of advising.

Entry	Description of Records	Disposal Action
2.1.1	Receipt of advice that resulted in major changes to the organisation or management. Includes advice provided by consultants.	Retain as Territory Archives
2.1.2	Receipt and provision of all other advice on child protection. Includes advice provided by consultants.	Destroy 5 years after action completed
2.1.3	Working papers documenting the development of advice.	Destroy 2 years after action completed

Agreements

The processes associated with the establishment, maintenance, review and negotiation of agreements.

Entry	Description of Records	Disposal Action
2.2.1	Final version of significant agreements with government bodies or private organisations with implications for major liabilities or obligations.	Retain as Territory Archives
2.2.2	Negotiations, establishment, maintenance and review of significant agreements.	Retain as Territory Archives
2.2.3	Final version of other agreements.	Destroy 7 years after completion or other termination of agreement or contract
2.2.4	Negotiations, establishment, maintenance and review of other agreements.	Destroy 7 years after signing of agreement

The function of providing case management for children who are in need of support due to abuse and/or neglect. Includes providing support for the family to enable them to continue to provide adequate care for the child, removal of the child from parental care when the child needs protection to either other family members or foster care and making prompt decisions about permanent living arrangements

Arrangements

The activities involved in arranging for a journey or trip. Includes preparing travel itineraries, authorisations, entitlements etc Also includes arrangements made for the delivery of equipment or goods and the usage made of facilities, vehicles, equipment and space.

Entry	Description of Records	Disposal Action
2.3.1	Travel and accommodation arrangements.	Destroy 1 year after action completed.

Cases

This activity descriptor should be used where there is a need to keep a number of activities together on one file, for legislative or operational reasons. Case files relate to incidents, persons, organisations or clients.

Entry	Description of Records	Disposal Action
2.4.1	[Use this activity descriptor when here is a need to keep records relating to a number of activities together on one file]. Can be used where it is considered necessary to keep case files and records on child protection matters relating to: - particular incidents;	Destroy 100 years after last action

- persons; or

- organisations or clients.

The function of providing case management for children who are in need of support due to abuse and/or neglect. Includes providing support for the family to enable them to continue to provide adequate care for the child, removal of the child from parental care when the child needs protection to either other family members or foster care and making prompt decisions about permanent living arrangements

Committees

2.5.1

The activities associated with the managing of committees and task forces. Includes the committee's establishment, appointment of members, terms of reference, proceedings, minutes of meetings, reports, agenda etc. Use STRATEGIC MANAGEMENT - Committees for audit committees.

Entry Description of Records

External committees formed to manage or advise. Includes finals of documents:

- establishing the committee
- terms of reference
- appointment of members
- minutes
- reports
- recommendations
- supporting documents such as briefing papers and discussion papers.

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Internal committees formed to manage or advise. Includes final of documents:

- establishing the committee
- terms of reference
- appointment of members
- minutes
- reports
- recommendations
- supporting documents such as briefing papers and discussion papers.

2.5.3

Working papers and administration of committees. Includes:

- agenda
- notices of meetings
- draft minutes
- room bookings

Disposal Action

Retain as Territory Archives

Destroy 7 years after last action

Destroy when reference ceases

The function of providing case management for children who are in need of support due to abuse and/or neglect. Includes providing support for the family to enable them to continue to provide adequate care for the child, removal of the child from parental care when the child needs protection to either other family members or foster care and making prompt decisions about permanent living arrangements

Compliance

The activities associated with complying with mandatory or optional accountability, fiscal, legal, regulatory or quality standards or requirements to which the agency is subject. Includes compliance with legislation and with national and international standards, such as the ISO 9000 series.

Entry	Description of Records	Disposal Action
2.6.1	Compliance with mandatory or optional standards or with statutory requirements.	Destroy 8 years after action completed

Conferences

The activities involved in arranging or attending conferences held either by the agency or by other agencies. Includes registrations, publicity, and reports of participants etc.

Description of Records	Disposal Action
Conferences arranged by agency. Includes: program development; invitations to speakers; promotion activities; registrations; venue bookings; copies of financial statements.	Destroy 3 years after action completed
Reports commenting on and assessing conferences.	Destroy 3 years after action completed
Master of unpublished proceedings and reports, speeches and papers from conferences.	Destroy 3 years after action completed
Published proceedings from conferences. Attendance of staff at conferences arranged by other organisations. Includes conference promotion material, programs and registration forms. [For travel and accommodation arrangements made for staff to attend conferences, use Child Protection Arrangements 1	Destroy when reference ceases Destroy when reference ceases
	Conferences arranged by agency. Includes: program development; invitations to speakers; promotion activities; registrations; venue bookings; copies of financial statements. Reports commenting on and assessing conferences. Master of unpublished proceedings and reports, speeches and papers from conferences. Published proceedings from conferences. Attendance of staff at conferences arranged by other organisations. Includes conference promotion material, programs and registration forms. [For travel and accommodation arrangements made for

The function of providing case management for children who are in need of support due to abuse and/or neglect. Includes providing support for the family to enable them to continue to provide adequate care for the child, removal of the child from parental care when the child needs protection to either other family members or foster care and making prompt decisions about permanent living arrangements

Control

The activities associated with creating, maintaining and evaluating control mechanisms. Includes classification, indexing, registration, forms design etc to ensure maximum control over records and recordkeeping systems. Also includes control mechanisms for other information resources and systems.

Entry	Description of Records	Disposal Action
2.8.1	Control records include indexes which record the name, date of birth, address and/or other related client details	Retain as Territory Archives

Enquiries

The activities associated with the handling of requests for information about the agency and its services by the general public, agency employees or another agency.

Entry 2.9.1	Description of Records Records documenting the management of discovery orders and subpoenas. Includes detailed lists and copies of records found.	Disposal Action Destroy 3 years after action completed
2.9.2	From the public about the agency and its programs, products and services	Destroy 2 years after action completed
2.9.3	Records documenting the handling of enquiries from agency employees by another government organisation covering the child protection function	Destroy 1 year after action completed

The function of providing case management for children who are in need of support due to abuse and/or neglect. Includes providing support for the family to enable them to continue to provide adequate care for the child, removal of the child from parental care when the child needs protection to either other family members or foster care and making prompt decisions about permanent living arrangements

Inquiries

The activities associated with liaising with bodies carrying out inquiries and participating in them. Inquiries are investigations carried out by people or bodies who have been empowered to inquire and report on a subject, such as Royal Commissions, Judicial Commissions, Boards of Inquiry, Legislative Assembly and Ombudsman's inquiries. Includes the agency's participation in the inquiry by providing evidence in the form of records, submissions or staff.

Entry 2.10.1	Description of Records Inquiry into the agency's performance	Disposal Action Destroy 10 years after action completed
2.10.2	Inquiries with no direct relation to the agency's function where the agency made a substantial contribution. Includes: - agency statements and submissions - responses to final reports - transcripts of oral evidence given by agency officers	Destroy 7 years after final of inquiry is released
2.10.3	Records documenting legal support given to the agency either conducting an inquiry or participating in an inquiry.	Destroy 3 years after final of inquiry is released
2.10.4	Records documenting inquiries with no direct relation to the agency's function where the agency made little or no contribution.	Destroy 2 years after final of inquiry is released
2.10.5	Working papers documenting the agency's contribution and involvement in an inquiry with no direct relation to the agency's function.	Destroy when reference ceases

The function of providing case management for children who are in need of support due to abuse and/or neglect. Includes providing support for the family to enable them to continue to provide adequate care for the child, removal of the child from parental care when the child needs protection to either other family members or foster care and making prompt decisions about permanent living arrangements

Meetings

The activities associated with adhoc gatherings held to formulate, discuss, update or resolve issues and matters pertaining to managing the section, department, or agency as a whole. Includes arrangements, agenda, taking of minutes etc. Excludes committee meetings.

Entry	Description of Records	Disposal Action
2.11.1	Final version of minutes and supporting documents tabled at meetings. Includes meetings with external agencies.	Destroy 3 years after action completed
2.11.2	Working papers documenting the conduct and administration of meetings. Includes agenda, notices of meetings and draft minutes.	Destroy when reference ceases

Planning

The process of formulating ways in which objectives can be achieved. Includes determination of services, needs and solutions to those needs.

Entry	Description of Records	Disposal Action
2.12.1	Final version of agency wide child protection plans.	Retain as Territory Archives
2.12.2	Working papers used to develop all child protection plans. Includes draft plans, reports, analysing issues and comments on draft plans	Destroy 1 year after adoption of the final plan

The function of providing case management for children who are in need of support due to abuse and/or neglect. Includes providing support for the family to enable them to continue to provide adequate care for the child, removal of the child from parental care when the child needs protection to either other family members or foster care and making prompt decisions about permanent living arrangements

Policy

The activities associated with developing and establishing decisions, directions and precedents which act as a reference for future decision making, as the basis from which the agency's operating procedures are determined.

Entry	Description of Records	Disposal Action
2.13.1	Final version of policies.	Retain as Territory Archives
2.13.2	Development and establishment of agency's policies. Includes: • policy proposals • research papers • results of consultations • supporting reports • major drafts.	Destroy 5 years after policy is superseded
2.13.3	Comments made on the development of policies.	Destroy 2 years after the promulgation of the new policy
2.13.4	Working papers documenting the development of policies.	Destroy 2 years after the promulgation of the new policy

Procedures

Standard methods of operating laid down by an agency according to formulated policy.

Entry	Description of Records	Disposal Action
2.14.1	Master set of agency manuals, handbooks, directives etc detailing procedures.	Destroy 7 years after procedures are superseded
2.14.2	Development of agency procedures.	Destroy 2 years after procedures are superseded

The function of providing case management for children who are in need of support due to abuse and/or neglect. Includes providing support for the family to enable them to continue to provide adequate care for the child, removal of the child from parental care when the child needs protection to either other family members or foster care and making prompt decisions about permanent living arrangements

Public Reaction

The process of handling public reaction to an agency's policies or services. Includes anonymous letters, letters of complaint and letters of congratulations or appreciation received from the public.

Entry	Description of Records	Disposal Action
2.15.1	Public reaction and oversight agency response, such as the Ombudsman, OCA or Official Visitor.	Retain as Territory Archives.
2.15.2	Public reaction and agency responses.	Destroy 6 years after action completed.

Reporting

The processes associated with initiating or providing a formal response to a situation or request (either internal, external or as a requirement of corporate policies), and to provide formal statements or findings of the results of the examination or investigation. Includes agenda, briefing, business, discussion papers, proposals, reports, reviews and returns.

Entry	Description of Records	Disposal Action
2.16.1	Final version of internal formal reports and reports made to external agencies.	Retain as Territory Archives
2.16.2	Final versions of periodic internal reports used to monitor and document recurring activities. Includes the collection and reporting of statistical information.	Destroy 7 years after action completed
2.16.3	Working papers documenting the development of all reports. Includes drafts and comments received.	Destroy 2 years after action completed
2.16.4	Responses to surveys.	Destroy 2 years after action completed

The function of providing case management for children who are in need of support due to abuse and/or neglect. Includes providing support for the family to enable them to continue to provide adequate care for the child, removal of the child from parental care when the child needs protection to either other family members or foster care and making prompt decisions about permanent living arrangements

Representations

The activities involved in preparing responses to questions raised in the Legislative Assembly by Members of Legislative Assembly on behalf of their constituents. Also includes community-based representations and representations directed to the Minister seeking a formal response.

Entry

2.17.1

Description of Records

Records documenting responses to approaches received by the minister (*Ministerials*) from peak industry bodies, leading community interest groups, recognised influential stakeholders and individuals concerning issues of a contentious nature which are of major significance to the agency and/or the community at large. Includes:

- copies of letters received
- draft responses
- minutes providing background details for the Minister
- requests from the Minister's office for changes
- final response.

2.17.2 Records documenting the preparation of Ministerial responses to questions raised in the Legislative Assembly.

Destroy 5 years after action completed

2.17.3 Records documenting responses to other approaches received by the minister (*Ministerials*) from the public of a routine nature concerning issues which are of no major significance to the agency and/or the community at large. Includes:

- copies of letters received
- draft responses
- minutes providing background details for the Minister
- requests from the Minister's office for changes
- final response.

Disposal Action

Retain as Territory **Archives**

Destroy 7 years after action completed

The function of providing case management for children who are in need of support due to abuse and/or neglect. Includes providing support for the family to enable them to continue to provide adequate care for the child, removal of the child from parental care when the child needs protection to either other family members or foster care and making prompt decisions about permanent living arrangements

Representations (continued)

The activities involved in preparing responses to questions raised in the Legislative Assembly by Members of Legislative Assembly on behalf of their constituents. Also includes community-based representations and representations directed to the Minister seeking a formal response.

2.17.4	Records documenting the management of communications sent to the Minister. Includes Ministerial directives (project worksheets) and background material, statistics and weekly reports on representations.	Destroy 1 year after action completed
2.17.5	Reference set of all responses to representations 'Ministerials' kept by the coordinating area.	Destroy when reference ceases.

Research

The activities involved in investigating or enquiring into a subject or area of interest in order to discover facts, principles etc. Used to support development of projects, standards, guidelines etc and the business activities of the agency in general. Includes following up enquiries relating to organisational programs, projects, working papers, literature searches etc.

Entry	Description of Records	Disposal Action
2.18.1	Records documenting detailed research.	Destroy 7 years after last action
2.18.2	Records documenting routine research.	Destroy 1 year after last action

The function of providing case management for children who are in need of support due to abuse and/or neglect. Includes providing support for the family to enable them to continue to provide adequate care for the child, removal of the child from parental care when the child needs protection to either other family members or foster care and making prompt decisions about permanent living arrangements

Reviewing

The activities involved in re-evaluating or re-examining products, processes, procedures, standards and systems. Includes recommendations and advice resulting from these activities.

<i>Entry</i> 2.19.1	Description of Records Final report of review of agency programs and operations.	Disposal Action Retain as Territory Archives
2.19.2	Other records documenting a review of agency programs and operations. Includes documents establishing the review, action plan, etc.	Destroy 3 years after action completed
2.19.3	Working papers documenting a review of agency programs and operations.	Destroy 1 year after action completed

Submissions

The preparation and submission of a formal statement (e.g. report, statistics, etc) supporting a case or opinion held by the agency which is submitted to another agency, or within the agency, for the purpose of either gain or support.

Entry	Description of Records	Disposal Action
2.20.1	Development of submissions (other than Cabinet Submissions) relating to controversial issues.	Retain as Territory Archives
2.20.2	Working papers documenting the development of Cabinet.	Retain as Territory Archives.
2.20.3	Submissions (other than Cabinet Submissions) made to the Chief Minister, Minister, and government bodies on issues directly relating to the agency's functions, concerning controversial issues with far reaching social, economic and international implications relating to the functional responsibilities of the agency.	Destroy 10 years after action completed.

The function of providing case management for children who are in need of support due to abuse and/or neglect. Includes providing support for the family to enable them to continue to provide adequate care for the child, removal of the child from parental care when the child needs protection to either other family members or foster care and making prompt decisions about permanent living arrangements

Submissions (continued)

The preparation and submission of a formal statement (e.g. report, statistics, etc) supporting a case or opinion held by the agency which is submitted to another agency, or within the agency, for the purpose of either gain or support.

Entry	Description of Records	Disposal Action
2.20.4	Submissions (other than Cabinet Submissions) made to the Chief Minister, Minister, and government bodies on issues directly relating to the agency's functions, concerning other matters of lesser importance with no far reaching impact on the social, economic and international standing of the country. Includes submissions concerning administrative matters.	Destroy 10 years after action completed.
2.20.5	Working papers documenting the development of submissions (other than Cabinet Submissions) relating to other matters of lesser importance.	Destroy 10 years after action completed.
2.20.6	Final successful agency submissions made to community organisations.	Destroy 7 years after action completed.
2.20.7	Final unsuccessful agency submissions made to community organisations.	Destroy 7 years after action completed.
2.20.8	Working papers documenting the development of Cabinet Submissions. Includes background and briefing material, drafts and comments received.	Destroy in accordance with Cabinet Handbook instructions

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Advice

The activities associated with offering opinions by or to the agency as to an action or judgement. Includes the process of advising.

Entry	Description of Records	Disposal Action
3.1.1	Receipt of advice that resulted in major changes to the organisation or management. Includes advice provided by consultants.	Retain as Territory Archives
3.1.2	Receipt and provision of all other advice on children's program management. Includes advice provided by consultants.	Destroy 5 years after action completed
3.1.3	Working papers documenting the development of advice.	Destroy 2 years after action completed

Agreements

The processes associated with the establishment, maintenance, review and negotiation of agreements.

Entry	Description of Records	Disposal Action
3.2.1	Final version of significant agreements with government bodies or private organisations with implications for major liabilities or obligations.	Retain as Territory Archives
3.2.2	Negotiations, establishment, maintenance and review of significant agreements.	Retain as Territory Archives
3.2.3	Final version of other agreements.	Destroy 7 years after completion or other termination of agreement or contract
3.2.4	Negotiations, establishment, maintenance and review of other agreements.	Destroy 7 years after signing of agreement

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Arrangements

The activities involved in arranging for a journey or trip. Includes preparing travel itineraries, authorisations, entitlements etc Also includes arrangements made for the delivery of equipment or goods and the usage made of facilities, vehicles, equipment and space.

Entry	Description of Records	Disposal Action
3.3.1	Travel and accommodation arrangements.	Destroy 1 year after action completed.

Cases

This activity descriptor should be used where there is a need to keep a number of activities together on one file, for legislative or operational reasons. Case files relate to incidents, persons, organisations or clients.

Entry	Description of Records	Disposal Action
3.4.1	[Use this activity descriptor when there is a need to keep records relating to a number of activities together on one file]. Can be used where it is considered necessary to keep case files and records on children's programs.	Destroy 100 years after last action
3.4.2	Records relating to individual child care service providers. Information held on case files would include: • licensing provisions and compliance • advice provided to service providers by children's services advisers • reports of visits to centres by children's services advisers.	Destroy 20 years after last action

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Committees

The activities associated with the managing of committees and task forces. Includes the committee's establishment, appointment of members, terms of reference, proceedings, minutes of meetings, reports, agenda etc. Use STRATEGIC MANAGEMENT - Committees for audit committees.

Entry Description of Records Disposal Action 3.5.1 External committees formed to manage or Retain as Territory advise. Includes finals of documents: Archives • establishing the committee • terms of reference • appointment of members • minutes • reports • recommendations • supporting documents such as briefing papers and discussion papers. 3 5 2 Internal committees formed to manage or Destroy 7 years after last advise. Includes final of documents: action • establishing the committee • terms of reference • appointment of members • minutes • reports recommendations • supporting documents such as briefing papers and discussion papers. 3.5.3 Working papers and administration of Destroy when reference committees. Includes: ceases agenda • notices of meetings draft minutes room bookings

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Compliance

The activities associated with complying with mandatory or optional accountability, fiscal, legal, regulatory or quality standards or requirements to which the agency is subject. Includes compliance with legislation and with national and international standards, such as the ISO 9000 series.

Entry	Description of Records	Disposal Action
3.6.1	Compliance with mandatory or optional standards or with statutory requirements.	Destroy 9 years after action completed

Conferences

The activities involved in arranging or attending conferences held either by the agency or by other

agencies. Includes registrations, publicity, and reports of participants etc.

Entry	Description of Records	Disposal Action
3.7.1	Conferences arranged by agency. Includes: program development; invitations to speakers; promotion activities; registrations; venue bookings; copies of financial statements.	Destroy 3 years after action completed
3.7.2	Reports commenting on and assessing conferences.	Destroy 3 years after action completed
3.7.3	Master of unpublished proceedings and reports, speeches and papers from conferences.	Destroy 3 years after action completed
3.7.4	Published proceedings from conferences.	Destroy when reference ceases

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Conferences (continued)

Arrangements.]

The activities involved in arranging or attending conferences held either by the agency or by other agencies. Includes registrations, publicity, and reports of participants etc.

3.7.5

Attendance of staff at conferences arranged by other organisations. Includes conference promotion material, programs and registration forms.

[For travel and accommodation arrangements made for staff to attend conferences, use Children's Programs

Destroy when reference ceases

Enquiries

The activities associated with the handling of requests for information about the agency and its services by the general public, agency employees or another agency.

Entry 3.8.1	Description of Records Records documenting the management of discovery orders and subpoenas. Includes detailed lists and copies of records found.	Disposal Action Destroy 3 years after action completed
3.8.2	From the public about the agency and its programs, products and services	Destroy 2 years after action completed
3.8.3	Records documenting the handling of enquiries from agency employees by another government organisation covering the children's programs function	Destroy 1 year after action completed

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Inquiries

The activities associated with liaising with bodies carrying out inquiries and participating in them. Inquiries are investigations carried out by people or bodies who have been empowered to inquire and report on a subject, such as Royal Commissions, Judicial Commissions, Boards of Inquiry, Legislative Assembly and Ombudsman's inquiries. Includes the agency's participation in the inquiry by providing evidence in the form of records, submissions or staff.

Entry 3.9.1	Description of Records Inquiry into the agency's performance	Disposal Action Destroy 10 years after action completed
3.9.2	Inquiries with no direct relation to the agency's function where the agency made a substantial contribution. Includes: - agency statements and submissions - responses to final reports - transcripts of oral evidence given by agency officers	Destroy 7 years after final of inquiry is released
3.9.3	Records documenting legal support given to the agency either conducting an inquiry or participating in an inquiry.	Destroy 3 years after final of inquiry is released
3.9.4	Records documenting inquiries with no direct relation to the agency's function where the agency made little or no contribution.	Destroy 2 years after final of inquiry is released
3.9.5	Working papers documenting the agency's contribution and involvement in an inquiry with no direct relation to the agency's function.	Destroy when reference ceases

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Meetings

The activities associated with adhoc gatherings held to formulate, discuss, update or resolve issues and matters pertaining to managing the section, department, or agency as a whole. Includes arrangements, agenda, taking of minutes etc. Excludes committee meetings.

Entry	Description of Records	Disposal Action
3.10.1	Final version of minutes and supporting documents tabled at meetings. Includes meetings with external agencies.	Destroy 3 years after action completed
3.10.2	Working papers documenting the conduct and administration of meetings. Includes agenda, notices of meetings and draft minutes.	Destroy when reference ceases

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Planning

The process of formulating ways in which objectives can be achieved. Includes determination of services, needs and solutions to those needs.

Entry	Description of Records	Disposal Action
3.11.1	Final version of agency wide children's programs plans.	Retain as Territory Archives
3.11.2	Working papers used to develop all children's programs plans. Includes draft plans, reports, analysing issues and comments on draft plans	Destroy 1 year after adoption of the final plan

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Policy

The activities associated with developing and establishing decisions, directions and precedents which act as a reference for future decision making, as the basis from which the agency's operating procedures are determined.

Entry	Description of Records	Disposal Action
3.12.1	Final version of policies.	Retain as Territory Archives
3.12.2	Development and establishment of agency's policies. Includes: • policy proposals • research papers • results of consultations • supporting reports • major drafts.	Destroy 5 years after policy is superseded
3.12.3	Comments made on the development of policies.	Destroy 2 years after the promulgation of the new policy
3.12.4	Working papers documenting the development of policies.	Destroy 2 years after the promulgation of the new policy

Procedures

Standard methods of operating laid down by an agency according to formulated policy.

Entry	Description of Records	Disposal Action
3.13.1	Master set of agency manuals, handbooks, directives etc detailing procedures.	Destroy 7 years after procedures are superseded
3.13.2	Development of agency procedures.	Destroy 2 years after procedures are superseded

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Public Reaction

The process of handling public reaction to an agency's policies or services. Includes anonymous letters, letters of complaint and letters of congratulations or appreciation received from the public.

Entry	Description of Records	Disposal Action
3.14.1	Public reaction and oversight agency response, such as the Ombudsman, OCA or Official Visitor.	Retain as Territory Archives.
3.14.2	Public reaction and agency responses.	Destroy 6 years after action completed.

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Reporting

The processes associated with initiating or providing a formal response to a situation or request (either internal, external or as a requirement of corporate policies), and to provide formal statements or findings of the results of the examination or investigation. Includes agenda, briefing, business, discussion papers, proposals, reports, reviews and returns.

Entry	Description of Records	Disposal Action
3.15.1	Final version of internal formal reports and reports made to external agencies.	Retain as Territory Archives
3.15.2	Final versions of periodic internal reports used to monitor and document recurring activities. Includes the collection and reporting of statistical information.	Destroy 7 years after action completed
3.15.3	Working papers documenting the development of all reports. Includes drafts and comments received.	Destroy 2 years after action completed
3.15.4	Responses to surveys.	Destroy 2 years after action completed

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Representations

The activities involved in preparing responses to questions raised in the Legislative Assembly by Members of Legislative Assembly on behalf of their constituents. Also includes community-based representations and representations directed to the Minister seeking a formal response.

Entry Description of Records Disposal Action

3.16.1

Records documenting responses to approaches received by the minister (*Ministerials*) from peak industry bodies, leading community interest groups, recognised influential stakeholders and individuals concerning issues of a contentious nature which are of major significance to the agency and/or the community at large. Includes:

- copies of letters received
- draft responses
- minutes providing background details for the Minister
- requests from the Minister's office for changes
- final response.

3.16.2

Records documenting the preparation of Ministerial responses to questions raised in the Legislative Assembly.

Retain as Territory Archives

Destroy 5 years after action completed

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Representations (continued)

The activities involved in preparing responses to questions raised in the Legislative Assembly by Members of Legislative Assembly on behalf of their constituents. Also includes community-based representations and representations directed to the Minister seeking a formal response.

3.16.3

Records documenting responses to other approaches received by the minister (*Ministerials*) from the public of a routine nature concerning issues which are of no major significance to the agency and/or the community at large. Includes:

Destroy 2 years after action completed

- copies of letters received
- draft responses
- minutes providing background details for the Minister
- requests from the Minister's office for changes
- final response.

3.16.4

Records documenting the management of communications sent to the Minister. Includes Ministerial directives (project worksheets) and background material, statistics and weekly reports on representations.

Destroy 1 year after action completed

3.16.5

Reference set of all responses to representations 'Ministerials' kept by the coordinating area.

Destroy when reference ceases.

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Research

The activities involved in investigating or enquiring into a subject or area of interest in order to discover facts, principles etc. Used to support development of projects, standards, guidelines etc and the business activities of the agency in general. Includes following up enquiries relating to organisational programs, projects, working papers, literature searches etc.

Entry	Description of Records	Disposal Action
3.17.1	Records documenting detailed research.	Destroy 7 years after last action
3.17.2	Records documenting routine research.	Destroy 1 year after last action

Reviewing

The activities involved in re-evaluating or re-examining products, processes, procedures, standards and systems. Includes recommendations and advice resulting from these activities.

<i>Entry</i> 3.18.1	Description of Records Final report of review of agency programs and operations.	Disposal Action Retain as Territory Archives
3.18.2	Other records documenting a review of agency programs and operations. Includes documents establishing the review, action plan, etc.	Destroy 3 years after action completed
3.18.3	Working papers documenting a review of agency programs and operations.	Destroy 1 year after action completed

CHILDREN'S PROGRAMS

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Submissions

The preparation and submission of a formal statement (e.g. report, statistics, etc) supporting a case or opinion held by the agency which is submitted to another agency, or within the agency, for the purpose of either gain or support.

Entry	Description of Records	Disposal Action
3.19.1	Development of submissions (other than Cabinet Submissions) relating to controversial issues.	Retain as Territory Archives
3.19.2	Working papers documenting the development of Cabinet Submissions.	Retain as Territory Archives.
3.19.3	Submissions (other than Cabinet Submissions) made to the Chief Minister, Minister, and government bodies on issues directly relating to the agency's functions, concerning controversial issues with far reaching social, economic and international implications relating to the functional responsibilities of the agency.	Destroy 10 years after action completed.
3.19.4	Submissions (other than Cabinet Submissions) made to the Chief Minister, Minister, and government bodies on issues directly relating to the agency's functions, concerning other matters of lesser importance with no far reaching impact on the social, economic and international standing of the country. Includes submissions concerning administrative matters.	Destroy 10 years after action completed.
3.19.5	Working papers documenting the development of submissions (other than Cabinet Submissions) relating to other matters of lesser importance.	Destroy 10 years after action completed.

CHILDREN'S PROGRAMS

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Submissions (continued)

The preparation and submission of a formal statement (e.g. report, statistics, etc) supporting a case or opinion held by the agency which is submitted to another agency, or within the agency, for the purpose of either gain or support.

3.19.6	Final successful agency submissions made to community organisations.	Destroy 7 years after action completed.
3.19.7	Final unsuccessful agency submissions made to community organisations.	Destroy 7 years after action completed.
3.19.8	Working papers documenting the development of Cabinet Submissions. Includes background and briefing material, drafts and comments received.	Destroy in accordance with Cabinet Handbook instructions

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Advice

The activities associated with offering opinions by or to the agency as to an action or judgement. Includes the process of advising.

Entry	Description of Records	Disposal Action
4.1.1	Receipt of advice that resulted in major changes to the organisation or management. Includes advice provided by consultants.	Retain as Territory Archives
4.1.2	Receipt and provision of all other advice on youth justice management. Includes advice provided by consultants.	Destroy 5 years after action completed
4.1.3	Working papers documenting the development of advice.	Destroy 2 years after action completed

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Agreements

The processes associated with the establishment, maintenance, review and negotiation of agreements.

Entry	Description of Records	Disposal Action
4.2.1	Final version of significant agreements with government bodies or private organisations with implications for major liabilities or obligations.	Retain as Territory Archives
4.2.2	Negotiations, establishment, maintenance and review of significant agreements.	Retain as Territory Archives
4.2.3	Final version of other agreements.	Destroy 7 years after completion or other termination of agreement or contract
4.2.4	Negotiations, establishment, maintenance and review of other agreements.	Destroy 7 years after signing of agreement

Arrangements

The activities involved in arranging for a journey or trip. Includes preparing travel itineraries, authorisations, entitlements etc Also includes arrangements made for the delivery of equipment or goods and the usage made of facilities, vehicles, equipment and space.

Entry	Description of Records	Disposal Action
4.3.1	Travel and accommodation arrangements.	Destroy 1 year after action completed.

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Cases

This activity descriptor should be used where there is a need to keep a number of activities together on one file, for legislative or operational reasons. Case files relate to incidents, persons, organisations or clients.

Entry

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Description of Records

[Use this activity descriptor when here is a need to keep records relating to a number of activities together on one file]. Can be used where it is considered necessary to keep case files and records on youth justice matters relating to:

- particular incidents;
- persons; or
- organisations or clients.

Disposal Action

Destroy 100 years after last action

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Committees

The activities associated with the managing of committees and task forces. Includes the committee's establishment, appointment of members, terms of reference, proceedings, minutes of meetings, reports, agenda etc. Use STRATEGIC MANAGEMENT - Committees for audit committees.

Entry Description of Records

Disposal Action

4.5.1

External committees formed to manage or advise. Includes finals of documents:

- advise. Includes finals of documents: Arestablishing the committee
- terms of reference
- appointment of members
- minutes
- reports
- recommendations
- supporting documents such as briefing papers and discussion papers.

Retain as Territory Archives



Internal committees formed to manage or advise. Includes final of documents:

- establishing the committee
- terms of reference
- appointment of members
- minutes
- reports
- recommendations
- supporting documents such as briefing papers and discussion papers.

Destroy 7 years after last action

4.5.3

Working papers and administration of committees. Includes:

- agenda
- notices of meetings
- draft minutes
- room bookings

Destroy when reference ceases

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Compliance

The activities associated with complying with mandatory or optional accountability, fiscal, legal, regulatory or quality standards or requirements to which the agency is subject. Includes compliance with legislation and with national and international standards, such as the ISO 9000 series.

Entry	Description of Records	Disposal Action
4.6.1	Compliance with mandatory or optional standards or with statutory requirements.	Destroy 10 years after action completed

Conferences

The activities involved in arranging or attending conferences held either by the agency or by other agencies. Includes registrations, publicity, and reports of participants etc.

Entry	Description of Records	Disposal Action
4.7.1	Conferences arranged by agency. Includes: program development; invitations to speakers; promotion activities; registrations; venue bookings; copies of financial statements.	Destroy 3 years after action completed
4.7.2	Reports commenting on and assessing conferences.	Destroy 3 years after action completed
4.7.3	Master of unpublished proceedings and reports, speeches and papers from conferences.	Destroy 3 years after action completed
4.7.4	Published proceedings from conferences.	Destroy when reference ceases

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Conferences (continued)

The activities involved in arranging or attending conferences held either by the agency or by other agencies. Includes registrations, publicity, and reports of participants etc.

4.7.5

Attendance of staff at conferences arranged by other organisations. Includes conference promotion material, programs and registration forms.

[For travel and accommodation arrangements made for staff to attend conferences, use Youth Justice Arrangements.]

Destroy when reference ceases

Control

The activities associated with creating, maintaining and evaluating control mechanisms. Includes classification, indexing, registration, forms design etc to ensure maximum control over records and recordkeeping systems. Also includes control mechanisms for other information resources and systems.

Entry	Description of Records	Disposal Action
4.8.1	Control records include indexes which record the name, date of birth, address and/or other related client details	Retain as Territory Archives

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Enquiries

The activities associated with the handling of requests for information about the agency and its services by the general public, agency employees or another agency.

Entry 4.9.1	Description of Records Records documenting the management of discovery orders and subpoenas. Includes detailed lists and copies of records found.	Disposal Action Destroy 3 years after action completed
4.9.2	From the public about the agency and its programs, products and services	Destroy 2 years after action completed
4.9.3	Records documenting the handling of enquiries from agency employees by another government organisation covering the youth justice function	Destroy 1 year after action completed

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Inquiries

The activities associated with liaising with bodies carrying out inquiries and participating in them. Inquiries are investigations carried out by people or bodies who have been empowered to inquire and report on a subject, such as Royal Commissions, Judicial Commissions, Boards of Inquiry, Legislative Assembly and Ombudsman's inquiries. Includes the agency's participation in the inquiry by providing evidence in the form of records, submissions or staff.

<i>Entry</i> 4.10.1	Description of Records Inquiry into the agency's performance	Disposal Action Destroy 20 years after action completed
4.10.2	Inquiries with no direct relation to the agency's function where the agency made a substantial contribution. Includes: - agency statements and submissions - responses to final reports - transcripts of oral evidence given by agency officers	Destroy 7 years after final of inquiry is released
4.10.3	Records documenting legal support given to the agency either conducting an inquiry or participating in an inquiry.	Destroy 7 years after final of inquiry is released
4.10.4	Records documenting inquiries with no direct relation to the agency's function where the agency made little or no contribution.	Destroy 2 years after final of inquiry is released
4.10.5	Working papers documenting the agency's contribution and involvement in an inquiry with no direct relation to the agency's function.	Destroy when reference ceases

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Meetings

The activities associated with adhoc gatherings held to formulate, discuss, update or resolve issues and matters pertaining to managing the section, department, or agency as a whole. Includes arrangements, agenda, taking of minutes etc. Excludes committee meetings.

Entry	Description of Records	Disposal Action
4.11.1	Final version of minutes and supporting documents tabled at meetings. Includes meetings with external agencies.	Destroy 3 years after action completed
4.11.2	Working papers documenting the conduct and administration of meetings. Includes agenda, notices of meetings and draft minutes.	Destroy when reference ceases

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Planning

The process of formulating ways in which objectives can be achieved. Includes determination of services, needs and solutions to those needs.

Entry	Description of Records	Disposal Action
4.12.1	Final version of agency wide youth justice management plans.	Retain as Territory Archives
4.12.2	Working papers used to develop all youth justice management plans. Includes draft plans, reports, analysing issues and comments on draft plans	Destroy 1 year after adoption of the final plan

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Policy

The activities associated with developing and establishing decisions, directions and precedents which act as a reference for future decision making, as the basis from which the agency's operating procedures are determined.

Entry	Description of Records	Disposal Action
4.13.1	Final version of policies.	Retain as Territory Archives
4.13.2	Development and establishment of agency's policies. Includes: • policy proposals • research papers • results of consultations • supporting reports • major drafts.	Destroy 5 years after policy is superseded
4.13.3	Comments made on the development of policies.	Destroy 2 years after the promulgation of the new policy
4.13.4	Working papers documenting the development of policies.	Destroy 2 years after the promulgation of the new policy

Procedures

Standard methods of operating laid down by an agency according to formulated policy.

Entry	Description of Records	Disposal Action
4.14.1	Master set of agency manuals, handbooks, directives etc detailing procedures.	Destroy 7 years after procedures are superseded
4.14.2	Development of agency procedures.	Destroy 2 years after procedures are superseded

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Public Reaction

The process of handling public reaction to an agency's policies or services. Includes anonymous letters, letters of complaint and letters of congratulations or appreciation received from the public.

Entry	Description of Records	Disposal Action
4.15.1	Public reaction and oversight agency response, such as the Ombudsman, OCA or Official Visitor.	Retain as Territory Archives.
4.15.2	Public reaction and agency responses.	Destroy 6 years after action completed.

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Reporting

The processes associated with initiating or providing a formal response to a situation or request (either internal, external or as a requirement of corporate policies), and to provide formal statements or findings of the results of the examination or investigation. Includes agenda, briefing, business, discussion papers, proposals, reports, reviews and returns.

Entry	Description of Records	Disposal Action
4.16.1	Final version of internal formal reports and reports made to external agencies.	Retain as Territory Archives
4.16.2	Final versions of periodic internal reports used to monitor and document recurring activities. Includes the collection and reporting of statistical information.	Destroy 7 years after action completed
4.16.3	Working papers documenting the development of all reports. Includes drafts and comments received.	Destroy 2 years after action completed
4.16.4	Responses to surveys.	Destroy 2 years after action completed

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Representations

The activities involved in preparing responses to questions raised in the Legislative Assembly by Members of Legislative Assembly on behalf of their constituents. Also includes community-based representations and representations directed to the Minister seeking a formal response.

Entry Description of Records

puon of Records

4.17.1

Records documenting responses to approaches received by the minister (*Ministerials*) from peak industry bodies, leading community interest groups, recognised influential stakeholders and individuals concerning issues of a contentious nature which are of major significance to the agency and/or the community at large. Includes:

- copies of letters received
- draft responses
- minutes providing background details for the Minister
- requests from the Minister's office for changes
- final response.

4.17.2

Records documenting the preparation of Ministerial responses to questions raised in the Legislative Assembly.

Destroy 5 years after action completed

Retain as Territory Archives

Disposal Action

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Representations (continued)

The activities involved in preparing responses to questions raised in the Legislative Assembly by Members of Legislative Assembly on behalf of their constituents. Also includes community-based representations and representations directed to the Minister seeking a formal response.

4.17.3

Records documenting responses to other approaches received by the minister (*Ministerials*) from the public of a routine nature concerning issues which are of no major significance to the agency and/or the community at large. Includes:

Destroy 2 years after action completed

- copies of letters received
- draft responses
- minutes providing background details for the Minister
- requests from the Minister's office for changes
- final response.

4.17.4

Records documenting the management of communications sent to the Minister. Includes Ministerial directives (project worksheets) and background material, statistics and weekly reports on representations.

Destroy 1 year after action completed

4.17.5

Reference set of all responses to representations 'Ministerials' kept by the coordinating area.

Destroy when reference ceases.

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Research

The activities involved in investigating or enquiring into a subject or area of interest in order to discover facts, principles etc. Used to support development of projects, standards, guidelines etc and the business activities of the agency in general. Includes following up enquiries relating to organisational programs, projects, working papers, literature searches etc.

Entry	Description of Records	Disposal Action
4.18.1	Records documenting detailed research.	Destroy 7 years after last action
4.18.2	Records documenting routine research.	Destroy 1 year after last action

Reviewing

The activities involved in re-evaluating or re-examining products, processes, procedures, standards and systems. Includes recommendations and advice resulting from these activities.

<i>Entry</i> 4.19.1	Description of Records Final report of review of agency programs and operations.	Disposal Action Retain as Territory Archives
4.19.2	Other records documenting a review of agency programs and operations. Includes documents establishing the review, action plan, etc.	Destroy 3 years after action completed
4.19.3	Working papers documenting a review of agency programs and operations.	Destroy 1 year after action completed

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Security

The activities associated with measures taken to protect people, premises, equipment or information from accidental or intentional damage or from unauthorised access. Includes the security classification of personnel and criminal record checks.

Entry	Description of Records	Disposal Action
4.20.1	Security footage that contains evidence of notifiable incidents such as assault, death or significant injury, security breach, escape etc.	Destroy when person/s reaches 25 years of age, or 7 years after last action, whichever is later
4.20.2	Security footage that contains evidence of the use of force, use of the seclusion room	Destroy 2 years after date of creation
4.20.3	Security footage that does not contain evidence as described in other classes of security and is not subject to FOI	Destroy 1 month after date of creation

Submissions

The preparation and submission of a formal statement (e.g. report, statistics, etc) supporting a case or opinion held by the agency which is submitted to another agency, or within the agency, for the purpose of either gain or support.

Entry	Description of Records	Disposal Action
4.21.1	Development of submissions (other than Cabinet Submissions) relating to controversial issues.	Retain as Territory Archives
4.21.2	Working papers documenting the development of Cabinet Submissions.	Retain as Territory Archives.

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Submissions (continued)

The preparation and submission of a formal statement (e.g. report, statistics, etc) supporting a case or opinion held by the agency which is submitted to another agency, or within the agency, for the purpose of either gain or support.

4.21.3

Submissions (other than Cabinet Submissions) made to the Chief Minister, Minister, and government bodies on issues directly relating to the agency's functions, concerning controversial issues with far reaching social, economic and international implications relating to the functional responsibilities of the agency.

Destroy 10 years after action completed.

4.21.4

Submissions (other than Cabinet Submissions) made to the Chief Minister, Minister, and government bodies on issues directly relating to the agency's functions, concerning other matters of lesser importance with no far reaching impact on the social, economic and international standing of the country. Includes submissions concerning administrative matters.

Destroy 10 years after action completed.

4.21.5

Working papers documenting the development of submissions (other than Cabinet Submissions) relating to other matters of lesser importance.

Destroy 10 years after action completed.

4.21.6

Final successful agency submissions made to community organisations.

Destroy 7 years after action completed.

4.21.7

Final unsuccessful agency submissions made to community organisations.

Destroy 7 years after action completed.

4.21.8

Working papers documenting the development of Cabinet Submissions. Includes background and briefing material, drafts and comments received.

Destroy in accordance with Cabinet Handbook instructions

The function of providing support, development and activities, from early intervention to tertiary service provisions, for young people aged between twelve to twenty five. Includes programs that aim to enhance young people's abilities to make informed decisions, to strengthen their support network with peers, families and the broader community. Also be able to more effectively participate in the social and economic structure of society and government decision making.

Advice

The activities associated with offering opinions by or to the agency as to an action or judgement. Includes the process of advising.

Entry	Description of Records	Disposal Action
5.1.1	Receipt of advice that resulted in major changes to the organisation or management. Includes advice provided by consultants.	Retain as Territory Archives
5.1.2	Receipt and provision of all other advice on youth programs management. Includes advice provided by consultants.	Destroy 5 years after action completed
5.1.3	Working papers documenting the development of advice.	Destroy 2 years after action completed

The function of providing support, development and activities, from early intervention to tertiary service provisions, for young people aged between twelve to twenty five. Includes programs that aim to enhance young people's abilities to make informed decisions, to strengthen their support network with peers, families and the broader community. Also be able to more effectively participate in the social and economic structure of society and government decision making.

Agreements

The processes associated with the establishment, maintenance, review and negotiation of agreements.

Entry	Description of Records	Disposal Action
5.2.1	Final version of significant agreements with government bodies or private organisations with implications for major liabilities or obligations.	Retain as Territory Archives
5.2.2	Negotiations, establishment, maintenance and review of significant agreements.	Retain as Territory Archives
5.2.3	Final version of other agreements.	Destroy 7 years after completion or other termination of agreement or contract
5.2.4	Negotiations, establishment, maintenance and review of other agreements.	Destroy 7 years after signing of agreement

Arrangements

The activities involved in arranging for a journey or trip. Includes preparing travel itineraries, authorisations, entitlements etc Also includes arrangements made for the delivery of equipment or goods and the usage made of facilities, vehicles, equipment and space.

Entry	Description of Records	Disposal Action
5.3.1	Travel and accommodation arrangements.	Destroy 1 year after action completed.

The function of providing support, development and activities, from early intervention to tertiary service provisions, for young people aged between twelve to twenty five. Includes programs that aim to enhance young people's abilities to make informed decisions, to strengthen their support network with peers, families and the broader community. Also be able to more effectively participate in the social and economic structure of society and government decision making.

Cases

This activity descriptor should be used where there is a need to keep a number of activities together on one file, for legislative or operational reasons. Case files relate to incidents, persons, organisations or clients.

Entry Description of Records Disposal Action

5.4.1

[Use this activity descriptor when here is a need to keep records relating to a number of activities together on one file]. Can be used where it is considered necessary to keep case files and records on youth programs.

Destroy 100 years after last action

The function of providing support, development and activities, from early intervention to tertiary service provisions, for young people aged between twelve to twenty five. Includes programs that aim to enhance young people's abilities to make informed decisions, to strengthen their support network with peers, families and the broader community. Also be able to more effectively participate in the social and economic structure of society and government decision making.

Committees

The activities associated with the managing of committees and task forces. Includes the committee's establishment, appointment of members, terms of reference, proceedings, minutes of meetings, reports, agenda etc. Use STRATEGIC MANAGEMENT - Committees for audit committees.

Description of Records Disposal Action Entry External committees formed to manage or Retain as Territory 5.5.1 advise. Includes finals of documents: **Archives** • establishing the committee • terms of reference • appointment of members • minutes • reports recommendations • supporting documents such as briefing papers and discussion papers. 5.5.2 Internal committees formed to manage or Destroy 7 years after last advise. Includes final of documents: action • establishing the committee • terms of reference • appointment of members minutes • reports recommendations • supporting documents such as briefing papers and discussion papers. 5.5.3 Working papers and administration of Destroy when reference committees. Includes: ceases • agenda • notices of meetings • draft minutes room bookings

The function of providing support, development and activities, from early intervention to tertiary service provisions, for young people aged between twelve to twenty five. Includes programs that aim to enhance young people's abilities to make informed decisions, to strengthen their support network with peers, families and the broader community. Also be able to more effectively participate in the social and economic structure of society and government decision making.

Compliance

The activities associated with complying with mandatory or optional accountability, fiscal, legal, regulatory or quality standards or requirements to which the agency is subject. Includes compliance with legislation and with national and international standards, such as the ISO 9000 series.

Entry	Description of Records	Disposal Action
5.6.1	Compliance with mandatory or optional standards or with statutory requirements.	Destroy 10 years after action completed

Conferences

The activities involved in arranging or attending conferences held either by the agency or by other agencies. Includes registrations, publicity, and reports of participants etc.

Entry	Description of Records	Disposal Action
5.7.1	Conferences arranged by agency. Includes: program development; invitations to speakers; promotion activities; registrations; venue bookings; copies of financial statements.	Destroy 3 years after action completed
5.7.2	Reports commenting on and assessing conferences.	Destroy 3 years after action completed
5.7.3	Master of unpublished proceedings and reports, speeches and papers from conferences.	Destroy 3 years after action completed
5.7.4	Published proceedings from conferences.	Destroy when reference ceases

The function of providing support, development and activities, from early intervention to tertiary service provisions, for young people aged between twelve to twenty five. Includes programs that aim to enhance young people's abilities to make informed decisions, to strengthen their support network with peers, families and the broader community. Also be able to more effectively participate in the social and economic structure of society and government decision making.

Conferences (continued)

The activities involved in arranging or attending conferences held either by the agency or by other agencies. Includes registrations, publicity, and reports of participants etc.

5.7.5

Attendance of staff at conferences arranged by other organisations. Includes conference promotion material, programs and registration forms.

[For travel and accommodation arrangements made for staff to attend conferences, use Youth Programs Arrangements.]

Destroy when reference ceases

Enquiries

The activities associated with the handling of requests for information about the agency and its services by the general public, agency employees or another agency.

Entry	Description of Records	Disposal Action
5.8.1	Records documenting the management of discovery orders and subpoenas. Includes detailed lists and copies of records found.	Destroy 3 years after action completed
5.8.2	From the public about the agency and its programs, products and services	Destroy 2 years after action completed
5.8.3	Records documenting the handling of enquiries from agency employees by another government organisation covering the Youth Program function	Destroy 1 year after action completed

The function of providing support, development and activities, from early intervention to tertiary service provisions, for young people aged between twelve to twenty five. Includes programs that aim to enhance young people's abilities to make informed decisions, to strengthen their support network with peers, families and the broader community. Also be able to more effectively participate in the social and economic structure of society and government decision making.

Inquiries

The activities associated with liaising with bodies carrying out inquiries and participating in them. Inquiries are investigations carried out by people or bodies who have been empowered to inquire and report on a subject, such as Royal Commissions, Judicial Commissions, Boards of Inquiry, Legislative Assembly and Ombudsman's inquiries. Includes the agency's participation in the inquiry by providing evidence in the form of records, submissions or staff.

Entry	Description of Records	Disposal Action
5.9.1	Inquiry into the agency's performance	Destroy 10 years after action completed
5.9.2	Inquiries with no direct relation to the agency's function where the agency made a substantial contribution. Includes: - agency statements and submissions - responses to final reports - transcripts of oral evidence given by agency officers	Destroy 7 years after final of inquiry is released
5.9.3	Records documenting legal support given to the agency either conducting an inquiry or participating in an inquiry.	Destroy 3 years after final of inquiry is released
5.9.4	Records documenting inquiries with no direct relation to the agency's function where the agency made little or no contribution.	Destroy 2 years after final of inquiry is released
5.9.5	Working papers documenting the agency's contribution and involvement in an inquiry with no direct relation to the agency's function.	Destroy when reference ceases

The function of providing support, development and activities, from early intervention to tertiary service provisions, for young people aged between twelve to twenty five. Includes programs that aim to enhance young people's abilities to make informed decisions, to strengthen their support network with peers, families and the broader community. Also be able to more effectively participate in the social and economic structure of society and government decision making.

Meetings

The activities associated with adhoc gatherings held to formulate, discuss, update or resolve issues and matters pertaining to managing the section, department, or agency as a whole. Includes arrangements, agenda, taking of minutes etc. Excludes committee meetings.

Entry	Description of Records	Disposal Action
5.10.1	Final version of minutes and supporting documents tabled at meetings. Includes meetings with external agencies.	Destroy 3 years after action completed
5.10.2	Working papers documenting the conduct and administration of meetings. Includes agenda, notices of meetings and draft minutes.	Destroy when reference ceases

Planning

The process of formulating ways in which objectives can be achieved. Includes determination of services, needs and solutions to those needs.

Entry	Description of Records	Disposal Action
5.11.1	Final version of agency wide youth programs management plans.	Retain as Territory Archives
5.11.2	Working papers used to develop all youth programs management plans. Includes draft plans, reports, analysing issues and comments on draft plans	Destroy 1 year after adoption of the final plan

The function of providing support, development and activities, from early intervention to tertiary service provisions, for young people aged between twelve to twenty five. Includes programs that aim to enhance young people's abilities to make informed decisions, to strengthen their support network with peers, families and the broader community. Also be able to more effectively participate in the social and economic structure of society and government decision making.

Policy

The activities associated with developing and establishing decisions, directions and precedents which act as a reference for future decision making, as the basis from which the agency's operating procedures are determined.

Entry	Description of Records	Disposal Action
5.12.1	Final version of policies.	Retain as Territory Archives
5.12.2	Development and establishment of agency's policies. Includes: • policy proposals • research papers • results of consultations • supporting reports • major drafts.	Destroy 5 years after policy is superseded
5.12.3	Comments made on the development of policies.	Destroy 2 years after the promulgation of the new policy
5.12.4	Working papers documenting the development of policies.	Destroy 2 years after the promulgation of the new policy

Procedures

Standard methods of operating laid down by an agency according to formulated policy.

Entry	Description of Records	Disposal Action
5.13.1	Master set of agency manuals, handbooks, directives etc detailing procedures.	Destroy 7 years after procedures are superseded
5.13.2	Development of agency procedures.	Destroy 2 years after procedures are superseded

The function of providing support, development and activities, from early intervention to tertiary service provisions, for young people aged between twelve to twenty five. Includes programs that aim to enhance young people's abilities to make informed decisions, to strengthen their support network with peers, families and the broader community. Also be able to more effectively participate in the social and economic structure of society and government decision making.

Public Reaction

The process of handling public reaction to an agency's policies or services. Includes anonymous letters, letters of complaint and letters of congratulations or appreciation received from the public.

Entry	Description of Records	Disposal Action
5.14.1	Public reaction and oversight agency response, such as the Ombudsman, OCA or Official Visitor.	Retain as Territory Archives.
5.14.2	Public reaction and agency responses.	Destroy 6 years after action completed.

Reporting

The processes associated with initiating or providing a formal response to a situation or request (either internal, external or as a requirement of corporate policies), and to provide formal statements or findings of the results of the examination or investigation. Includes agenda, briefing, business, discussion papers, proposals, reports, reviews and returns.

Entry	Description of Records	Disposal Action
5.15.1	Final version of internal formal reports and reports made to external agencies.	Retain as Territory Archives
5.15.2	Final versions of periodic internal reports used to monitor and document recurring activities. Includes the collection and reporting of statistical information.	Destroy 7 years after action completed
5.15.3	Working papers documenting the development of all reports. Includes drafts and comments received.	Destroy 2 years after action completed
5.15.4	Responses to surveys.	Destroy 2 years after action completed

The function of providing support, development and activities, from early intervention to tertiary service provisions, for young people aged between twelve to twenty five. Includes programs that aim to enhance young people's abilities to make informed decisions, to strengthen their support network with peers, families and the broader community. Also be able to more effectively participate in the social and economic structure of society and government decision making.

Representations

The activities involved in preparing responses to questions raised in the Legislative Assembly by Members of Legislative Assembly on behalf of their constituents. Also includes community-based representations and representations directed to the Minister seeking a formal response.

Description of Records Disposal Action Entry 5.16.1 Records documenting responses to Retain as Territory approaches received by the minister Archives (*Ministerials*) from peak industry bodies, leading community interest groups, recognised influential stakeholders and individuals concerning issues of a contentious nature which are of major

- copies of letters received

community at large. Includes:

- draft responses
- minutes providing background details for the Minister
- requests from the Minister's office for changes

significance to the agency and/or the

- final response.

Records documenting the preparation of Ministerial responses to questions raised in the Legislative Assembly.

Destroy 5 years after action completed

The function of providing support, development and activities, from early intervention to tertiary service provisions, for young people aged between twelve to twenty five. Includes programs that aim to enhance young people's abilities to make informed decisions, to strengthen their support network with peers, families and the broader community. Also be able to more effectively participate in the social and economic structure of society and government decision making.

Representations (continued)

The activities involved in preparing responses to questions raised in the Legislative Assembly by Members of Legislative Assembly on behalf of their constituents. Also includes community-based representations and representations directed to the Minister seeking a formal response.

5.16.3

Records documenting responses to other approaches received by the minister (*Ministerials*) from the public of a routine nature concerning issues which are of no major significance to the agency and/or the community at large. Includes:

Destroy 2 years after action completed

- copies of letters received
- draft responses
- minutes providing background details for the Minister
- requests from the Minister's office for changes
- final response.

5.16.4

Records documenting the management of communications sent to the Minister. Includes Ministerial directives (project worksheets) and background material, statistics and weekly reports on representations.

Destroy 1 year after action completed

5.16.5

Reference set of all responses to representations 'Ministerials' kept by the coordinating area.

Destroy when reference ceases.

The function of providing support, development and activities, from early intervention to tertiary service provisions, for young people aged between twelve to twenty five. Includes programs that aim to enhance young people's abilities to make informed decisions, to strengthen their support network with peers, families and the broader community. Also be able to more effectively participate in the social and economic structure of society and government decision making.

Research

The activities involved in investigating or enquiring into a subject or area of interest in order to discover facts, principles etc. Used to support development of projects, standards, guidelines etc and the business activities of the agency in general. Includes following up enquiries relating to organisational programs, projects, working papers, literature searches etc.

Entry	Description of Records	Disposal Action
5.17.1	Records documenting detailed research.	Destroy 7 years after last action
5.17.2	Records documenting routine research.	Destroy 1 year after last action

Reviewing

The activities involved in re-evaluating or re-examining products, processes, procedures, standards and systems. Includes recommendations and advice resulting from these activities.

Entry 5.18.1	Description of Records Final report of review of agency programs and operations.	Disposal Action Retain as Territory Archives
5.18.2	Other records documenting a review of agency programs and operations. Includes documents establishing the review, action plan, etc.	Destroy 3 years after action completed
5.18.3	Working papers documenting a review of agency programs and operations.	Destroy 1 year after action completed

The function of providing support, development and activities, from early intervention to tertiary service provisions, for young people aged between twelve to twenty five. Includes programs that aim to enhance young people's abilities to make informed decisions, to strengthen their support network with peers, families and the broader community. Also be able to more effectively participate in the social and economic structure of society and government decision making.

Submissions

The preparation and submission of a formal statement (e.g. report, statistics, etc) supporting a case or opinion held by the agency which is submitted to another agency, or within the agency, for the purpose of either gain or support.

Entry	Description of Records	Disposal Action
5.19.1	Development of submissions (other than Cabinet Submissions) relating to controversial issues.	Retain as Territory Archives
5.19.2	Working papers documenting the development of Cabinet.	Retain as Territory Archives.
5.19.3	Submissions (other than Cabinet Submissions) made to the Chief Minister, Minister, and government bodies on issues directly relating to the agency's functions, concerning controversial issues with far reaching social, economic and international implications relating to the functional responsibilities of the agency.	Destroy 10 years after action completed.
5.19.4	Submissions (other than Cabinet Submissions) made to the Chief Minister, Minister, and government bodies on issues directly relating to the agency's functions, concerning other matters of lesser importance with no far reaching impact on the social, economic and international standing of the country. Includes submissions concerning administrative matters.	Destroy 10 years after action completed.
5.19.5	Working papers documenting the development of submissions (other than Cabinet Submissions) relating to other matters of lesser importance.	Destroy 10 years after action completed.

The function of providing support, development and activities, from early intervention to tertiary service provisions, for young people aged between twelve to twenty five. Includes programs that aim to enhance young people's abilities to make informed decisions, to strengthen their support network with peers, families and the broader community. Also be able to more effectively participate in the social and economic structure of society and government decision making.

Submissions (continued)

The preparation and submission of a formal statement (e.g. report, statistics, etc) supporting a case or opinion held by the agency which is submitted to another agency, or within the agency, for the purpose of either gain or support.

5.19.6	Final successful agency submissions made to community organisations.	Destroy 7 years after action completed.
5.19.7	Final unsuccessful agency submissions made to community organisations.	Destroy 7 years after action completed.
5.19.8	Working papers documenting the development of Cabinet Submissions. Includes background and briefing material, drafts and comments received.	Destroy in accordance with Cabinet Handbook instructions

CLASSES FOR RETENTION AS TERRITORY ARCHIVES

ADOPTION MANAGEMENT

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Advice

The activities associated with offering opinions by or to the agency as to an action or judgement. Includes the process of advising.

Entry	Description of Records	Disposal Action
1.1.1	Receipt of advice that resulted in major changes to the organisation or management. Includes advice provided by consultants.	Retain as Territory Archives

Agreements

The processes associated with the establishment, maintenance, review and negotiation of agreements.

Entry	Description of Records	Disposal Action
1.2.1	Final version of significant agreements with government bodies or private organisations with implications for major liabilities or obligations.	Retain as Territory Archives
1.2.2	Negotiations, establishment, maintenance and review of significant agreements.	Retain as Territory Archives

ADOPTION MANAGEMENT

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Cases

This activity descriptor should be used where there is a need to keep a number of activities together on one file, for legislative or operational reasons. Case files relate to incidents, persons, organisations or clients.

Entry Description of Records

Disposal Action



[Use this activity descriptor when there is a need to keep records relating to a number of Archives activities together on one file].

Retain as Territory

To be used where it is considered necessary to keep records relating to adoption activities in the name of the prospective adoptive parents including:

- Permanent care orders;
- Special needs adoptions;
- Intercountry adoptions; and
- Enquires and applications that do not proceed.

To be used where it is considered necessary to keep records relating to adoption activities in the name of the adoptee including:

- Research into family history;
- Information on the local community and custodians:
- Local family names; and
- Other relevant cultural issues and geno mapping.

ADOPTION MANAGEMENT

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Committees

The activities associated with the managing of committees and task forces. Includes the committee's establishment, appointment of members, terms of reference, proceedings, minutes of meetings, reports, agenda etc. Use STRATEGIC MANAGEMENT - Committees for audit committees.

Entry Description of Records

1.5.1

External committees formed to manage or advise. Includes finals of documents:

- Establishing the committee
- terms of reference
- appointment of members
- minutes
- reports
- recommendations
- supporting documents such as briefing papers and discussion papers.

Disposal Action

Retain as Territory Archives

Control

The activities associated with creating, maintaining and evaluating control mechanisms. Includes classification, indexing, registration, forms design etc to ensure maximum control over records and recordkeeping systems. Also includes control mechanisms for other information resources and systems.

Entry	Description of Records	Disposal Action
1.8.1	Control records include indexes which	Retain as Territory

Control records include indexes which 1.8.1 record the name, date of birth, address and/or other related client details

ADOPTION MANAGEMENT

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Planning

The process of formulating ways in which objectives can be achieved. Includes determination of services, needs and solutions to those needs.

Entry	Description of Records	Disposal Action
1.12.1	Final version of agency wide adoption management plans.	Retain as Territory Archives

Policy

The activities associated with developing and establishing decisions, directions and precedents which act as a reference for future decision making, as the basis from which the agency's operating procedures are determined.

Entry	Description of Records	Disposal Action
1.13.1	Final version of policies.	Retain as Territory Archives

Public Reaction

The process of handling public reaction to an agency's policies or services. Includes anonymous letters, letters of complaint and letters of congratulations or appreciation received from the public.

Entry	Description of Records	Disposal Action
1.15.1	Public reaction and oversight agency response, such as the Ombudsman, OCA or Official Visitor.	Retain as Territory Archives.

ADOPTION MANAGEMENT

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Reporting

The processes associated with initiating or providing a formal response to a situation or request (either internal, external or as a requirement of corporate policies), and to provide formal statements or findings of the results of the examination or investigation. Includes agenda, briefing, business, discussion papers, proposals, reports, reviews and returns.

Entry	Description of Records	Disposal Action
1.16.1	Final version of internal formal reports and reports made to external agencies.	Retain as Territory Archives

ADOPTION MANAGEMENT

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Representations

The activities involved in preparing responses to questions raised in the Legislative Assembly by Members of Legislative Assembly on behalf of their constituents. Also includes community-based representations and representations directed to the Minister seeking a formal response.

Entry **Description of Records**



Records documenting responses to approaches received by the minister (*Ministerials*) from peak industry bodies, leading community interest groups, recognised influential stakeholders and individuals concerning issues of a contentious nature which are of major significance to the agency and/or the community at large. Includes:

- copies of letters received
- draft responses
- minutes providing background details for the Minister
- requests from the Minister's office for changes
- final response.

Disposal Action

Retain as Territory Archives

Research

The activities involved in investigating or enquiring into a subject or area of interest in order to discover facts, principles etc. Used to support development of projects, standards, guidelines etc and the business activities of the agency in general. Includes following up enquiries relating to organisational programs, projects, working papers, literature searches etc.

Entry	Description of Records	Disposal Action
1.18.1	Records documenting detailed or	Retain as Territory
	significant research.	Archives

ADOPTION MANAGEMENT

The function of managing the adoption process. Includes all records documenting the adoption processes including individual case histories, polices and procedures relating to adoption. Involves the management of the records of relinquishing parents, prospective adoptive parents and children that document the transfer of legal guardianship of children from birth to adoptive parents.

Reviewing

The activities involved in re-evaluating or re-examining products, processes, procedures, standards and systems. Includes recommendations and advice resulting from these activities.

Entry	Description of Records	Disposal Action
1.19.1	Final report of review of agency programs	Retain as Territory
	and operations.	Archives

Submissions

The preparation and submission of a formal statement (e.g. report, statistics, etc) supporting a case or opinion held by the agency which is submitted to another agency, or within the agency, for the purpose of either gain or support.

Entry	Description of Records	Disposal Action
1.20.1	Development of submissions (other than Cabinet Submissions) relating to controversial issues.	Retain as Territory Archives
1.20.2	Working papers documenting the development of Cabinet Submissions.	Retain as Territory Archives

CHILD PROTECTION

The function of providing case management for children who are in need of support due to abuse and/or neglect. Includes providing support for the family to enable them to continue to provide adequate care for the child, removal of the child from parental care when the child needs protection to either other family members or foster care and making prompt decisions about permanent living arrangements

Advice

The activities associated with offering opinions by or to the agency as to an action or judgement. Includes the process of advising.

Entry	Description of Records	Disposal Action
2.1.1	Receipt of advice that resulted in major changes to the organisation or management. Includes advice provided by consultants.	Retain as Territory Archives

Agreements

The processes associated with the establishment, maintenance, review and negotiation of agreements.

Entry	Description of Records	Disposal Action
2.2.1	Final version of significant agreements with government bodies or private organisations with implications for major liabilities or obligations.	Retain as Territory Archives
2.2.2	Negotiations, establishment, maintenance and review of significant agreements.	Retain as Territory Archives

CHILD PROTECTION

The function of providing case management for children who are in need of support due to abuse and/or neglect. Includes providing support for the family to enable them to continue to provide adequate care for the child, removal of the child from parental care when the child needs protection to either other family members or foster care and making prompt decisions about permanent living arrangements

Committees

The activities associated with the managing of committees and task forces. Includes the committee's establishment, appointment of members, terms of reference, proceedings, minutes of meetings, reports, agenda etc. Use STRATEGIC MANAGEMENT - Committees for audit committees.

Entry Description of Records

Description of Records

External committees formed to manage or advise. Includes finals of documents:

- establishing the committee
- terms of reference
- appointment of members
- minutes
- reports
- recommendations
- supporting documents such as briefing papers and discussion papers.

Disposal Action

Retain as Territory Archives

Control

2.5.1

The activities associated with creating, maintaining and evaluating control mechanisms. Includes classification, indexing, registration, forms design etc to ensure maximum control over records and recordkeeping systems. Also includes control mechanisms for other information resources and systems.

Entry	Description of Records	Disposal Action
2.8.1	Control records include indexes which record the name, date of birth, address and/or other related client details	Retain as Territory Archives

CHILD PROTECTION

The function of providing case management for children who are in need of support due to abuse and/or neglect. Includes providing support for the family to enable them to continue to provide adequate care for the child, removal of the child from parental care when the child needs protection to either other family members or foster care and making prompt decisions about permanent living arrangements

Planning

The process of formulating ways in which objectives can be achieved. Includes determination of services, needs and solutions to those needs.

Entry	Description of Records	Disposal Action
2.12.1	Final version of agency wide child protection plans.	Retain as Territory Archives

Policy

The activities associated with developing and establishing decisions, directions and precedents which act as a reference for future decision making, as the basis from which the agency's operating procedures are determined.

Entry	Description of Records	Disposal Action
2.13.1	Final version of policies.	Retain as Territory Archives

Public Reaction

The process of handling public reaction to an agency's policies or services. Includes anonymous letters, letters of complaint and letters of congratulations or appreciation received from the public.

Entry	Description of Records	Disposal Action
2.15.1	Public reaction and oversight agency response, such as the Ombudsman, OCA or Official Visitor.	Retain as Territory Archives.

CHILD PROTECTION

The function of providing case management for children who are in need of support due to abuse and/or neglect. Includes providing support for the family to enable them to continue to provide adequate care for the child, removal of the child from parental care when the child needs protection to either other family members or foster care and making prompt decisions about permanent living arrangements

Reporting

The processes associated with initiating or providing a formal response to a situation or request (either internal, external or as a requirement of corporate policies), and to provide formal statements or findings of the results of the examination or investigation. Includes agenda, briefing, business, discussion papers, proposals, reports, reviews and returns.

Entry	Description of Records	Disposal Action
2.16.1	Final version of internal formal reports and	Retain as Territory
	reports made to external agencies.	Archives

Representations

changes

- final response.

The activities involved in preparing responses to questions raised in the Legislative Assembly by Members of Legislative Assembly on behalf of their constituents. Also includes community-based representations and representations directed to the Minister seeking a formal response.

Entry	Description of Records	Disposal Action
2.17.1	Records documenting responses to approaches received by the minister (*Ministerials*) from peak industry bodies, leading community interest groups, recognised influential stakeholders and individuals concerning issues of a contentious nature which are of major significance to the agency and/or the community at large. Includes: - copies of letters received - draft responses - minutes providing background details for the Minister - requests from the Minister's office for	Retain as Territory Archives

CHILD PROTECTION

The function of providing case management for children who are in need of support due to abuse and/or neglect. Includes providing support for the family to enable them to continue to provide adequate care for the child, removal of the child from parental care when the child needs protection to either other family members or foster care and making prompt decisions about permanent living arrangements

Reviewing

The activities involved in re-evaluating or re-examining products, processes, procedures, standards and systems. Includes recommendations and advice resulting from these activities.

Entry	Description of Records	Disposal Action
2.19.1	Final report of review of agency programs	Retain as Territory
	and operations.	Archives

Submissions

The preparation and submission of a formal statement (e.g. report, statistics, etc) supporting a case or opinion held by the agency which is submitted to another agency, or within the agency, for the purpose of either gain or support.

Entry	Description of Records	Disposal Action
2.20.1	Development of submissions (other than Cabinet Submissions) relating to controversial issues.	Retain as Territory Archives
2.20.2	Working papers documenting the development of Cabinet Submissions.	Retain as Territory Archives.

CHILDREN'S PROGRAMS

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Advice

The activities associated with offering opinions by or to the agency as to an action or judgement. Includes the process of advising.

Entry	Description of Records	Disposal Action
3.1.1	Receipt of advice that resulted in major changes to the organisation or management. Includes advice provided by consultants.	Retain as Territory Archives

Agreements

The processes associated with the establishment, maintenance, review and negotiation of agreements.

Entry	Description of Records	Disposal Action
3.2.1	Final version of significant agreements with government bodies or private organisations with implications for major liabilities or obligations.	Retain as Territory Archives
3.2.2	Negotiations, establishment, maintenance and review of significant agreements.	Retain as Territory Archives

CHILDREN'S PROGRAMS

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Committees

The activities associated with the managing of committees and task forces. Includes the committee's establishment, appointment of members, terms of reference, proceedings, minutes of meetings, reports, agenda etc. Use STRATEGIC MANAGEMENT - Committees for audit committees.

Entry Description of Records Disposal Action

3.5.1

External committees formed to manage or advise. Includes finals of documents:

- establishing the committee
- terms of reference
- appointment of members
- minutes
- reports
- recommendations
- supporting documents such as briefing papers and discussion papers.

T

Retain as Territory Archives

Planning

The process of formulating ways in which objectives can be achieved. Includes determination of services, needs and solutions to those needs.

Entry	Description of Records	Disposal Action
3.11.1	Final version of agency wide children's programs plans.	Retain as Territory Archives

CHILDREN'S PROGRAMS

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Policy

The activities associated with developing and establishing decisions, directions and precedents which act as a reference for future decision making, as the basis from which the agency's operating procedures are determined.

Entry	Description of Records	Disposal Action
3.12.1	Final version of policies.	Retain as Territory Archives

Public Reaction

The process of handling public reaction to an agency's policies or services. Includes anonymous letters, letters of complaint and letters of congratulations or appreciation received from the public.

Entry	Description of Records	Disposal Action
3.14.1	Public reaction and oversight agency response, such as the Ombudsman, OCA or Official Visitor.	Retain as Territory Archives.

Reporting

The processes associated with initiating or providing a formal response to a situation or request (either internal, external or as a requirement of corporate policies), and to provide formal statements or findings of the results of the examination or investigation. Includes agenda, briefing, business, discussion papers, proposals, reports, reviews and returns.

Entry	Description of Records	Disposal Action
3.15.1	Final version of internal formal reports and reports made to external agencies.	Retain as Territory Archives

CHILDREN'S PROGRAMS

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services. children with disabilities and training programs)

Representations

The activities involved in preparing responses to questions raised in the Legislative Assembly by Members of Legislative Assembly on behalf of their constituents. Also includes community-based representations and representations directed to the Minister seeking a formal response.

Entry

3.16.1

Description of Records

Records documenting responses to approaches received by the minister (*Ministerials*) from peak industry bodies. leading community interest groups, recognised influential stakeholders and individuals concerning issues of a contentious nature which are of major significance to the agency and/or the community at large. Includes:

- copies of letters received
- draft responses
- minutes providing background details for the Minister
- requests from the Minister's office for changes
- final response.

Disposal Action

Retain as Territory Archives

Reviewing

The activities involved in re-evaluating or re-examining products, processes, procedures, standards and systems. Includes recommendations and advice resulting from these activities.

Entry 3.18.1 **Description of Records**

Final report of review of agency programs and operations.

Disposal Action Retain as Territory Archives

CHILDREN'S PROGRAMS

The function of providing support, development and activities, from early intervention to tertiary service provisions, for children aged 0-12. This also encompasses the provision of childcare services in the ACT (includes licensing proprietors to provide childcare services and family day care schemes and professional advice on the planning and establishment of new services, including on services available in the community. This also includes providing funding for occasional care services, children with disabilities and training programs)

Submissions

The preparation and submission of a formal statement (e.g. report, statistics, etc) supporting a case or opinion held by the agency which is submitted to another agency, or within the agency, for the purpose of either gain or support.

Entry	Description of Records	Disposal Action
3.19.1	Development of submissions (other than Cabinet Submissions) relating to controversial issues.	Retain as Territory Archives
3.19.2	Working papers documenting the development of Cabinet Submissions	Retain as Territory Archives.

YOUTH JUSTICE

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Advice

The activities associated with offering opinions by or to the agency as to an action or judgement. Includes the process of advising.

Entry	Description of Records	Disposal Action
4.1.1	Receipt of advice that resulted in major changes to the organisation or management. Includes advice provided by consultants.	Retain as Territory Archives

Agreements

The processes associated with the establishment, maintenance, review and negotiation of agreements.

Entry	Description of Records	Disposal Action
4.2.1	Final version of significant agreements with government bodies or private organisations with implications for major liabilities or obligations.	Retain as Territory Archives
4.2.2	Negotiations, establishment, maintenance and review of significant agreements.	Retain as Territory Archives

YOUTH JUSTICE

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Committees

The activities associated with the managing of committees and task forces. Includes the committee's establishment, appointment of members, terms of reference, proceedings, minutes of meetings, reports, agenda etc. Use STRATEGIC MANAGEMENT - Committees for audit committees.

Entry	Description of Records	Disposal Action
4.5.1	External committees formed to manage or advise. Includes finals of documents: • establishing the committee • terms of reference • appointment of members • minutes • reports • recommendations • supporting documents such as briefing papers and discussion papers.	Retain as Territory Archives

Control

The activities associated with creating, maintaining and evaluating control mechanisms. Includes classification, indexing, registration, forms design etc to ensure maximum control over records and recordkeeping systems. Also includes control mechanisms for other information resources and systems.

Entry	Description of Records	Disposal Action
4.8.1	Control records include indexes which record the name, date of birth, address and/or other related client details	Retain as Territory Archives

YOUTH JUSTICE

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Planning

The process of formulating ways in which objectives can be achieved. Includes determination of services, needs and solutions to those needs.

Entry	Description of Records	Disposal Action
4.12.1	Final version of agency wide youth justice management plans.	Retain as Territory Archives

Policy

The activities associated with developing and establishing decisions, directions and precedents which act as a reference for future decision making, as the basis from which the agency's operating procedures are determined.

Entry	Description of Records	Disposal Action
4.13.1	Final version of policies.	Retain as Territory Archives

Public Reaction

The process of handling public reaction to an agency's policies or services. Includes anonymous letters, letters of complaint and letters of congratulations or appreciation received from the public.

Entry	Description of Records	Disposal Action
4.15.1	Public reaction and oversight agency response, such as the Ombudsman, OCA or Official Visitor.	Retain as Territory Archives.

YOUTH JUSTICE

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Reporting

The processes associated with initiating or providing a formal response to a situation or request (either internal, external or as a requirement of corporate policies), and to provide formal statements or findings of the results of the examination or investigation. Includes agenda, briefing, business, discussion papers, proposals, reports, reviews and returns.

Entry	Description of Records	Disposal Action
4.16.1	Final version of internal formal reports and reports made to external agencies.	Retain as Territory Archives

Representations

The activities involved in preparing responses to questions raised in the Legislative Assembly by Members of Legislative Assembly on behalf of their constituents. Also includes community-based representations and representations directed to the Minister seeking a formal response.

Entry	Description of Records	Disposal Action

4.17.1

Records documenting responses to approaches received by the minister (*Ministerials*) from peak industry bodies, leading community interest groups, recognised influential stakeholders and individuals concerning issues of a contentious nature which are of major significance to the agency and/or the community at large. Includes:

- copies of letters received
- draft responses
- minutes providing background details for the Minister
- requests from the Minister's office for changes
- final response.

Retain as Territory Archives

YOUTH JUSTICE

The function of encouraging young people to accept responsibility for their behaviour, acquire and maintain community support, and to manage court and community orders made against them. Includes programs to reduce the likelihood of young people committing further offences through intake assessments which identify needs and strengths, and the formulation of case plans which focus on intervention strategies. Also includes the detention of young people in accordance with a committal court order and providing opportunities for rehabilitation.

Reviewing

The activities involved in re-evaluating or re-examining products, processes, procedures, standards and systems. Includes recommendations and advice resulting from these activities.

Entry	Description of Records	Disposal Action
	Final report of review of agency programs	Retain as Territory
	and operations.	Archives

Submissions

The preparation and submission of a formal statement (e.g. report, statistics, etc) supporting a case or opinion held by the agency which is submitted to another agency, or within the agency, for the purpose of either gain or support.

Entry	Description of Records	Disposal Action
4.21.1	Development of submissions (other than Cabinet Submissions) relating to controversial issues.	Retain as Territory Archives
4.21.2	Working papers documenting the development of Cabinet Submissions.	Retain as Territory Archives.

YOUTH PROGRAMS

The function of providing support, development and activities, from early intervention to tertiary service provisions, for young people aged between twelve to twenty five. Includes programs that aim to enhance young people's abilities to make informed decisions, to strengthen their support network with peers, families and the broader community. Also be able to more effectively participate in the social and economic structure of society and government decision making.

Advice

The activities associated with offering opinions by or to the agency as to an action or judgement. Includes the process of advising.

Entry	Description of Records	Disposal Action
5.1.1	Receipt of advice that resulted in major changes to the organisation or management. Includes advice provided by consultants.	Retain as Territory Archives

Agreements

The processes associated with the establishment, maintenance, review and negotiation of agreements.

Entry	Description of Records	Disposal Action
5.2.1	Final version of significant agreements with government bodies or private organisations with implications for major liabilities or obligations.	Retain as Territory Archives
5.2.2	Negotiations, establishment, maintenance and review of significant agreements.	Retain as Territory Archives

YOUTH PROGRAMS

The function of providing support, development and activities, from early intervention to tertiary service provisions, for young people aged between twelve to twenty five. Includes programs that aim to enhance young people's abilities to make informed decisions, to strengthen their support network with peers, families and the broader community. Also be able to more effectively participate in the social and economic structure of society and government decision making.

Committees

The activities associated with the managing of committees and task forces. Includes the committee's establishment, appointment of members, terms of reference, proceedings, minutes of meetings, reports, agenda etc. Use STRATEGIC MANAGEMENT - Committees for audit committees.

Entry	Description of Records	Disposal Action
5.5.1	External committees formed to manage or advise. Includes finals of documents: • establishing the committee • terms of reference • appointment of members • minutes • reports • recommendations • supporting documents such as briefing papers and discussion papers.	Retain as Territory Archives

Policy

The activities associated with developing and establishing decisions, directions and precedents which act as a reference for future decision making, as the basis from which the agency's operating procedures are determined.

Entry	Description of Records	Disposal Action
5.12.1	Final version of policies.	Retain as Territory Archives

YOUTH PROGRAMS

The function of providing support, development and activities, from early intervention to tertiary service provisions, for young people aged between twelve to twenty five. Includes programs that aim to enhance young people's abilities to make informed decisions, to strengthen their support network with peers, families and the broader community. Also be able to more effectively participate in the social and economic structure of society and government decision making.

Public Reaction

The process of handling public reaction to an agency's policies or services. Includes anonymous letters, letters of complaint and letters of congratulations or appreciation received from the public.

Entry	Description of Records	Disposal Action
5.14.1	Public reaction and oversight agency response, such as the Ombudsman, OCA or Official Visitor.	Retain as Territory Archives.

Reporting

The processes associated with initiating or providing a formal response to a situation or request (either internal, external or as a requirement of corporate policies), and to provide formal statements or findings of the results of the examination or investigation. Includes agenda, briefing, business, discussion papers, proposals, reports, reviews and returns.

Entry	Description of Records	Disposal Action
5.15.1	Final version of internal formal reports and reports made to external agencies.	Retain as Territory Archives

YOUTH PROGRAMS

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Representations

The activities involved in preparing responses to questions raised in the Legislative Assembly by Members of Legislative Assembly on behalf of their constituents. Also includes community-based representations and representations directed to the Minister seeking a formal response.

Entry Description of Records

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5.16.1

Records documenting responses to approaches received by the minister (*Ministerials*) from peak industry bodies, leading community interest groups, recognised influential stakeholders and individuals concerning issues of a contentious nature which are of major significance to the agency and/or the community at large. Includes:

- Copies of letters received
- Draft responses
- Minutes providing background details for the Minister
- Requests from the Minister's office for changes
- Final response.

Disposal Action

Retain as Territory Archives

Reviewing

The activities involved in re-evaluating or re-examining products, processes, procedures, standards and systems. Includes recommendations and advice resulting from these activities.

Entry 5.18.1

Description of Records

Final report of review of agency programs and operations.

Disposal ActionRetain as Territory
Archives

YOUTH PROGRAMS

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Submissions

The preparation and submission of a formal statement (e.g. report, statistics, etc) supporting a case or opinion held by the agency which is submitted to another agency, or within the agency, for the purpose of either gain or support.

Entry	Description of Records	Disposal Action
5.19.1	Development of submissions (other than Cabinet Submissions) relating to controversial issues.	Retain as Territory Archives
5.19.2	Working papers documenting the development of Cabinet.	Retain as Territory Archives.