# Workers Compensation (National Insurer Data Specifications) Approved Protocol 2015 (No 1)

### Notifiable instrument NI2015-495

made under the

Workers Compensation Regulation 2002, regulation 100 (Approved protocols about certain documents and information)

### 1 Name of instrument

This instrument is the *Workers Compensation (National Insurer Data Specifications) Approved Protocol 2015 (No 1).* 

### 2 Commencement

This instrument commences on the day following notification.

# 3 Approval

I approve the attached *Workers Compensation (National Insurer Data Specifications) Approved Protocol 2015 (No 1).* 

### 4 Revocation

This instrument revokes the *Workers Compensation Insurers Download Specification 2002 (No 1)*, NI2002-208.

Mick Gentleman Minister for Workplace Safety and Industrial Relations 21 August 2015

# NATIONAL INSURER DATA SPECIFICATIONS (NIDS)

Version 8.0

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# Introduction

# Who Should Use This Specification?

This specification is primarily designed for insurers and self-insurers, to enable them to provide the data required by participating jurisdictions.

It is accompanied by two other documents:

- NIDS XSD 8.0 and associated schema
- NIDS 8.0.XLS Summary of rules and validations Users of the data will also find it useful for its description of the definitions used and validations performed.

# **Background**

This document was progressively modified over the last few months in consultation with WorkCover WA, WorkCover ACT, NT WorkSafe and the Insurance Council of Australia.

The data requirements set out in the specification arise from the obligations to monitor the workers' compensation scheme, to promote employment safety and injury management, and to collect data that complies with the National Data Set (NDS) specification.

### **Conditions**

# **Legal Requirements**

Pursuant to the Acts in each jurisdiction insurers and self-insurers are required to provide data in accordance with this specification (and accompanying documents) within a specified period of time to which the data relates.

# **Updating of documentation**

This document (including accompanying documentation) will be updated by the associated jurisdictions and be available on their websites.

# **Terminology**

Term	Meaning
Cardinality	1 = The value will be overwritten by any updates.
	M = The previous value will not be overwritten, all values will be kept as an historical record to allow for reporting on the progression, time lines or number of over the life of the claim, coverage.
Mandatory	The data item must be supplied.
Conditional	The data item only has to be supplied when applicable.
Optional	The data item is supplied according to the insurer's discretion.
РССР	PCCP stands for Policies, Coverages, Claims and Payments.
Reject	The record will be rejected and the reason for the rejection will be given.
	TAS insurers: The rejected record will be displayed in the list of rejected data or in the downloadable rejected data file.

Term	Meaning
Flag	TAS Insurers: This record will be flagged and it will not be displayed in the rejected data list. A flagged file can be either approved or rejected. If rejected it will be added to the rejected data list with a comment as to why it has been rejected.
Revalidation	Revalidation is caused by a change in one of the PCCP submission items, for example an expiry date of a coverage. It will then revalidate all claims to make sure that the date of occurrence is still inside the coverage period.

# **Copies of NIDS documentation**

Can be obtained from the various jurisdictions websites:

The WorkCover Tasmania website is <a href="https://www.workcover.tas.gov.au/insurance/workcover information management system">www.workcover.tas.gov.au/insurance/workcover information management system</a>

# Version

Version No 8.0 – Applicable from 1 November 2012

Replaces Version No: 7.3.4

### 1 POLICY DATA

See Rules and Validations - <u>Policy Rules and Validations</u> for individual field rules or refer to the latest version of the National Insurer Data Specification (NIDS) spreadsheet or XSD.

# 1.1 Policy Data Items

P001 INSURER NUMBER

**DESCRIPTION** The number allocated to the insurer by the privately underwritten

jurisdictions. This number is the same for all jurisdictions using NIDS

submission data.

FORMAT Numeric
LENGTH 4 digit
CARDINALITY 1

**CONDITION** Mandatory

**NOTE** 

See List of Licensed and Self Insurer Numbers

P002 EMPLOYER ABN

**DESCRIPTION** A unique number allocated by the Australian Business Register. The ABN will

be used to provide a unique number to an insured entity. It relates to the

'employer' covered by the policy.

FORMAT Alphanumeric

**LENGTH** 11 digit

CARDINALITY 1

**CONDITION** Optional

P043 WORKCOVER NUMBER

**DESCRIPTION** A unique number allocated by WorkCover WA to an insured entity. It relates

to the 'employer' covered by the policy, and may therefore involve more than one legal entity (eg, a partnership of individuals or companies) if they are

covered by the one policy.

**FORMAT** Alphanumeric, in the format of WCnnnnnnnC, where 'C' is a check digit

allocated by the jurisdiction. The algorithm used to calculate the check digit is

available on request from the jurisdiction.

**LENGTH** 10 digit

CARDINALITY 1

**CONDITION** Mandatory (for WA only)

P044 EMPLOYER ACN

**DESCRIPTION** The Australian Company Number (ACN) of the employer

FORMAT Alphanumeric

**LENGTH** 11 digit

CARDINALITY 1

**CONDITION** Mandatory (for ACT only)

PO03 POLICY NUMBER

**DESCRIPTION** The number which has been assigned to the policy or cover note by the

insurer

**FORMAT** Alphanumeric

**LENGTH** Insurer dependent

CARDINALITY 1

**CONDITION** Mandatory

P004 REVISED POLICY NUMBER

**DESCRIPTION** If an insurer revises a policy number, which was previously reported to the

appropriate jurisdiction, this data item indicates the new policy number.

**FORMAT** Alphanumeric

**LENGTH** Insurer dependent

This field must be reset to NULL in subsequent downloads after a change is

notified.

CARDINALITY 1

**CONDITION** Conditional

### **NOTES:**

Once a policy number has been revised, the Revised Policy Number MUST ALWAYS be used as the Policy Number for future reporting, including when advising of claims against the policy.

When supplied should not already exist on the WorkCover TAS database (i.e., should only be notified once as the Revised Policy Number, thereafter as the Policy Number).

An example of when this field may be used would be to correct a policy record which was created with a typographical error in the policy number and has already been reported in a previous submission.

P005 EMPLOYER LEGAL NAME

**DESCRIPTION** To identify the legal name of the employer, where possible this should match

the registered business name on the Australian Business Register

FORMAT Alphanumeric LENGTH 100 characters

CARDINALITY 1

**CONDITION** Mandatory

### **NOTES:**

If the employer does not have an ABN, the following standards should be applied to the legal name to ensure consistency across all insurers' data:

If the name of the insured is an individual or number of individuals, names should be written in full, as surname, first name and any other names – eg SMITH, JOHN JACOB; SMITH, JOHN & JANE or SMITH, JOHN JACOB and JONES, JIM. Do not use J Smith, JJ Smith, Mr & Mrs Smith, Smith and Jones etc.

Abbreviations should not be used, except in the case of PTY LTD for proprietary limited and & for AND, all other words should be written in full

The full name of the business should be provided, particularly where other similarly named businesses may exist – eg MCDONALDS FARM rather than just MCDONALDS,

P006 EMPLOYER OTHER NAME

**DESCRIPTION** Where the employing entity is not a company, the first name of the business

owner or employer employing workers for whom workers' compensation

insurance is required.

FORMAT Alphanumeric LENGTH 30 characters

CARDINALITY 1

**CONDITION** Mandatory (for WA only)

P050 EMPLOYER SURNAME

**DESCRIPTION** Where the employing entity is not a company, the last name of the business

owner or employer employing workers for whom workers' compensation

insurance is required.

FORMAT Alphanumeric LENGTH 30 characters

**CARDINALITY** 1

**CONDITION** Mandatory (for WA only)

P007 EMPLOYER TRADING NAME

**DESCRIPTION** The trading name/s of an employer.

FORMAT Alphanumeric LENGTH 100 characters

**CARDINALITY** Many

**CONDITION** Mandatory

### NOTES:

- If the name of the insured is an individual or number of individuals, names should be written in full, as surname, first name and any other names eg SMITH, JOHN JACOB; SMITH, JOHN & JANE or SMITH, JOHN JACOB and JONES, JIM. Do not use J Smith, JJ Smith, Mr & Mrs Smith, Smith and Jones etc.
- Abbreviations should not be used, except in the case of PTY LTD for proprietary limited and & for AND, all other words should be written in full
- The full name of the business should be provided, particularly where other similarly named businesses may exist – eg MCDONALDS FARM rather than just MCDONALDS,
- If the business is a franchise then adding the location to the trading name would be useful. eg JIMS MOWING LUTANA is more useful than JIMS MOWING

P009 EMPLOYER ADDRESS LINE 1

**DESCRIPTION** Line 1 of the employer's primary work location

FORMAT Alphanumeric LENGTH 30 characters

CARDINALITY 1

**CONDITION** Mandatory

### **NOTES:**

'Primary Work Location' refers to the employers main place of business, preferably a local address, however an interstate head office address is acceptable if no local address is available.

P010 EMPLOYER ADDRESS LINE 2

**DESCRIPTION** Line 2 of the employer's primary work location

FORMAT Alphanumeric LENGTH 30 characters

CARDINALITY 1

**CONDITION** Optional

P045 EMPLOYER ADDRESS LINE 3

**DESCRIPTION** Line 3 of the employer's primary work location

FORMAT Alphanumeric LENGTH 30 characters

**CARDINALITY** 1

**CONDITION** Optional

P011 EMPLOYER ADDRESS SUBURB

**DESCRIPTION** The suburb or district of the employer's primary work location

FORMAT Alphanumeric LENGTH 30 characters

CARDINALITY 1

**CONDITION** Optional

### **NOTE:**

If "OTH" and therefore has a postcode 0099, the suburb will not be validated.

P012 EMPLOYER ADDRESS STATE/TERRITORY

**DESCRIPTION** The State or Territory in Australia of the employer's primary work location

FORMAT Alphanumeric LENGTH 3 characters

CARDINALITY 1

**CONDITION** Optional

### **Codes are:**

ACT Australian Capital Territory

NSW New South Wales NT Northern Territory

QLD Queensland

SA South Australia

TAS Tasmania VIC Victoria

WA Western Australia

OTH Other

P013 EMPLOYER ADDRESS POSTCODE

**DESCRIPTION** Postcode of the employer's primary work location

FORMAT Numeric
LENGTH 4 characters

**CARDINALITY** 1

**CONDITION** Optional

P014 EMPLOYER POSTAL ADDRESS LINE 1

**DESCRIPTION** Line 1 of the employer's postal address

FORMAT Alphanumeric LENGTH 30 characters

CARDINALITY 1

**CONDITION** Optional

### **NOTE**

Will make optional until second half of 2013 where an agreement will be reached with brokers in Tasmania - to supply all required details as per the Policy/ Coverage section of the NIDS 7.3

P051 EMPLOYER POSTAL ADDRESS LINE 2

**DESCRIPTION** Line 2 of the employer's postal address

FORMAT Alphanumeric LENGTH 30 characters

CARDINALITY 1

**CONDITION** Optional

### **NOTE**

Will make optional until second half of 2013 where an agreement will be reached with brokers in Tasmania - to supply all required details as per the Policy/ Coverage section of the NIDS 7.3

P052 EMPLOYER POSTAL ADDRESS LINE 3

**DESCRIPTION** Line 3 of the employer's postal address

FORMAT Alphanumeric LENGTH 30 characters

CARDINALITY 1

**CONDITION** Optional

### NOTE

Will make optional until second half of 2013 where an agreement will be reached with brokers in Tasmania - to supply all required details as per the Policy/ Coverage section of the NIDS 7.3

P015 EMPLOYER POSTAL ADDRESS SUBURB

**DESCRIPTION** The suburb or district of the employer's postal address

FORMAT Alphanumeric LENGTH 30 characters

**CARDINALITY** 1

**CONDITION** Optional

### **NOTES:**

If "OTH" and therefore has a postcode 0099, the suburb will not be validated.

Will make optional until second half of 2013 where an agreement will be reached with brokers in Tasmania - to supply all required details as per the Policy/ Coverage section of the NIDS 7.3

P016 EMPLOYER POSTAL ADDRESS STATE/TERRITORY

**DESCRIPTION** The State or Territory in Australia of the employer's postal address

FORMAT Alphabetic
LENGTH 3 characters

CARDINALITY 1

**CONDITION** Optional

### Codes are:

ACT Australian Capital Territory

NSW New South Wales NT Northern Territory

QLD Queensland

SA South Australia

TAS Tasmania VIC Victoria

WA Western Australia

OTH Other

### **NOTE**

Will make optional until second half of 2013 where an agreement will be reached with brokers in Tasmania - to supply all required details as per the Policy/ Coverage section of the NIDS 7.3

P017 EMPLOYER POSTAL ADDRESS POSTCODE

**DESCRIPTION** Postcode of the Employer postal address

FORMAT Numeric
LENGTH 4 characters

CARDINALITY 1

**CONDITION** Optional

### **NOTE**

Will make optional until second half of 2013 where an agreement will be reached with brokers in Tasmania - to supply all required details as per the Policy/ Coverage section of the NIDS 7.3

P018 EMPLOYER PHONE NUMBER

**DESCRIPTION** The phone number of the employer

FORMAT Numeric

**LENGTH** 10 characters

**CARDINALITY** 1

**CONDITION** Optional

### NOTE

Will make optional until second half of 2013 where an agreement will be reached with brokers in Tasmania - to supply all required details as per the Policy/ Coverage section of the NIDS 7.3

P019 EMPLOYER MOBILE PHONE NUMBER

**DESCRIPTION** The mobile telephone number of the Employer

FORMAT Numeric

**LENGTH** 10 characters

CARDINALITY 1

**CONDITION** Optional

### **NOTE**

Will make optional until second half of 2013 where an agreement will be reached with brokers in Tasmania - to supply all required details as per the Policy/ Coverage section of the NIDS 7.3

P020 EMPLOYER EMAIL ADDRESS

**DESCRIPTION** The email address of the Employer

FORMAT Alphanumeric LENGTH 100 character

**CARDINALITY** 1

**CONDITION** Optional

### **NOTE**

Will make optional until second half of 2013 where an agreement will be reached with brokers in Tasmania - to supply all required details as per the Policy/ Coverage section of the NIDS 7.3

P021 BROKER ID

**DESCRIPTION** The number allocated to the broker by the Australian financial services

licensing register.

FORMAT Numeric LENGTH 6 digit

CARDINALITY 1

**CONDITION** Optional

### NOTE

Will make optional until second half of 2013 where an agreement will be reached with brokers in Tasmania - to supply all required details as per the Policy/ Coverage section of the NIDS 7.3

P026 INJURY MANAGEMENT PROGRAM TYPE

**DESCRIPTION** For new policies or policies renewed on or after 1 July 2010 record whether

the employer's injury management plan is an employer based injury management program or the insurer's injury management program.

FORMAT Numeric LENGTH 2 digits

CARDINALITY 1

**CONDITION** Optional

### **NOTE**

Default to 01 – Insurer - Will make optional only until the July 1, 2013

# 1.2 Coverage Data Items

See Rules and Validations - <u>Coverage Rules and Validations</u> for individual field rules or refer to the latest version of the National Insurer Data Specification (NIDS) spreadsheet or XSD

P027 LAPSE/CANCELLATION REASON CODE

**DESCRIPTION** The code for the reason why the policy was lapsed or cancelled

FORMAT Numeric LENGTH 2 digits

CARDINALITY 1

**CONDITION** Optional

### Codes are:

00 No Lapse/Cancellation Reason Code Required

01 Business Sold02 Business Closed03 Not Employing

04 Insured Elsewhere

Policy/Cover Note ReplacedNon-Payment of PremiumNo Reply to Correspondence

08 Cancelled coverage

09 Other reason

### NOTE:

This code should default to 00 No Lapse/Cancellation Reason Code Required unless the Coverage Type Code is 04 Cancellation or 05 Lapsed

P028 COVERAGE ID

**DESCRIPTION** Unique reference number/ID allocated by insurer for each coverage period of

a policy

FORMAT Alphanumeric LENGTH 20 characters

CARDINALITY 1

**CONDITION** Mandatory

### **NOTES:**

The Coverage ID is used to uniquely identify the coverage row. In the same way that any Primary Key is used to identify a data row in a relational database, in the jurisdiction's database, when a new coverage is created, it will get a new ID.

When an update to an existing coverage is performed, the update is performed to the coverage that is identified by the supplied coverage ID.

When the coverage is updated, be that the effective date, expiry date or both, or any of the other meta data fields associated with the coverage, a new coverage ID will not be required. the original (and only) coverage ID is required.

P029 COVERAGE TYPE CODE

**DESCRIPTION** The code to distinguish the type of coverage being notified

FORMAT Numeric LENGTH 2 digits

CARDINALITY 1

09

**CONDITION** Mandatory

### Codes are:

Cover Note Notification
New Policy Notification
Renewal Notification
Cancellation Notification
Lapsed Notification
Adjustment Notification

Any other notification type

NOTE

Codes 04, 05 & 06 would be expected to relate to an update of a previously reported coverage and therefore use an existing coverage ID.

Code 02 may also relate to an existing coverage record that was originally reported as a Cover Note.

P031 EFFECTIVE DATE

**DESCRIPTION** The commencement date of the period of cover referred to in the coverage

record

FORMAT DateTime, YYYY-MM-DD HH:MM:SS

**LENGTH** 

**CARDINALITY** 1

**CONDITION** Mandatory

P032 EXPIRY DATE

**DESCRIPTION** The end date of the period of cover. **FORMAT** DateTime, YYYY-MM-DD HH:MM:SS

**LENGTH** 

CARDINALITY 1

**CONDITION** Mandatory

P033 ANZSIC 1993

**DESCRIPTION** Industry of employer (ANZSIC Classification 1993)

Identifies the Australian and New Zealand Standard Industrial Classification code (four-digit level) of the employer holding this particular policy to which

the claim is charged pre 1 Jul 2012

FORMAT Numeric LENGTH 4 digits

CARDINALITY 1

**CONDITION** Mandatory

### **NOTE**

See ANZSIC 1993 and 2006 - Explanation of coding

P034 ANZSIC 2006

**DESCRIPTION** Industry of employer (ANZSIC Classification 2006)

Identifies the Australian and New Zealand Standard Industrial Classification code (four-digit level) of the employer holding this particular policy to which

the claim is charged post 1 July 2013.

FORMAT Numeric LENGTH 4 digits

**CARDINALITY** 1

**CONDITION** Conditional

### **NOTE**

See ANZSIC 1993 and 2006 - Explanation of coding

P035 ESTIMATED WAGES

**DESCRIPTION** The wages declared by the employer for the policy period of cover for the

**ANZSIC** classification

FORMAT Numeric LENGTH 12 digit

CARDINALITY 1

**CONDITION** Mandatory

P036 ESTIMATED NUMBER OF WORKERS

**DESCRIPTION** The average number of workers covered by the Estimated Wages (P035)

figure supplied for the period of cover for the ANZSIC classification.

FORMAT Numeric
LENGTH 6 digit

CARDINALITY 1

**CONDITION** Mandatory

P037 ACTUAL WAGES

**DESCRIPTION** The wages actually paid for the period of cover for the ANZSIC classification.

FORMAT Numeric LENGTH 12 digit

CARDINALITY 1

**CONDITION** Conditional

P038 ACTUAL NUMBER OF WORKERS

**DESCRIPTION** The average number of workers covered by the Actual Wages (P037) figure

supplied for the period of cover for the ANZSIC classification.

FORMAT Numeric LENGTH 6 digit

CARDINALITY 1

**CONDITION** Conditional

P039 PREMIUM COLLECTION TYPE

**DESCRIPTION** A code to indicate the type of policy for the period of cover being reported

upon.

FORMAT Numeric LENGTH 2 digits

CARDINALITY 1

**CONDITION** Mandatory

Codes are:

01 'Normal' Policy

02 Burning Cost Policy

03 Minimum Premium Policy – Domestic

04 Minimum Premium Policy – Other (Nominal)

09 Other Policy Type

P053 INITIAL DEPOSIT PREMIUM CHARGED

**DESCRIPTION** The initial premium charged for the specified period of cover for each

premium rate classification for the policy, regardless of the type of policy.

FORMAT Numeric LENGTH 8 digit

CARDINALITY 1

**CONDITION** Mandatory

### **NOTE**

See Notes on Premium, Wages and Workers

P041 CURRENT ADJUSTED PREMIUM CHARGED

**DESCRIPTION** The current adjusted premium charged for the specified period of cover for

each ANZSIC classification for the policy, regardless of the type of policy.

Including for burning cost policies

FORMAT Numeric LENGTH 8 digit CARDINALITY 1

**CONDITION** Conditional

### **NOTE**

See Notes on Premium, Wages and Workers.

P042 ACTUAL FINAL PREMIUM CHARGED

**DESCRIPTION** The latest adjusted premium charged for the specified period of cover for

each ANZSIC classification for the policy, regardless of the type of policy.

FORMAT Numeric LENGTH 8 digit

**CARDINALITY** 1

**CONDITION** Mandatory (For WA Only)

### 2 CLAIM DETAILS

See Rules and Validations - <u>Claim Rules and Validations</u> for individual field rules or refer to the latest version of the National Insurer Data Specification (NIDS) spreadsheet or XSD

### 2.1 Claim Identification Data

C001 INSURER NUMBER

**DESCRIPTION** The number allocated to the insurer, this is a national number allocated to

insurers and is the same number used by all jurisdictions.

FORMAT Numeric LENGTH 4 digits

**CARDINALITY** 1

**CONDITION** Mandatory

### **NOTE**

See List of Licensed and Self Insurer Numbers

C002 INSURER CLAIM NUMBER

**DESCRIPTION** The number allocated to a claim by the insurer.

**FORMAT** Alphanumeric

**LENGTH** Insurer dependent

**CARDINALITY** 1

**CONDITION** Mandatory

### NOTE

If an Insurer Claim Number is changed the revised Insurer Claim Number must be notified by using the **Revised Insurer Claim Number** field. That revised number MUST then be used when reporting all future activity for that claim.

C003 WORKCOVER CLAIM NUMBER (WCCN)

**DESCRIPTION** WorkCover Claim Number will be a unique identifier for each new claim

notified.

**FORMAT** Alphanumeric in the format of nnnnnnnC, where, C" is a check digit. The

algorithm used to calculate the check digit is available on request from

WorkCover WA.

**LENGTH** 20 characters

CARDINALITY 1

**CONDITION** Mandatory (For WA Only)

C004 START DATE OF RETURN PERIOD

**DESCRIPTION** Identifies the start date for the period for which the data are supplied.

**FORMAT** Date, YYYY-MM-DD

**LENGTH** 

**CARDINALITY** 1

**CONDITION** Mandatory (For WA Only)

C005 END DATE OF RETURN PERIOD

**DESCRIPTION** Identifies the end date for the period for which the data are supplied.

FORMAT Date, YYYY-MM-DD

**LENGTH** 

**CARDINALITY** 1

**CONDITION** Mandatory (For WA Only)

C006 POLICY NUMBER

**DESCRIPTION** The number of the policy to which the claim has been assigned by the insurer

**FORMAT** Alphanumeric

**LENGTH** Dependent on the format of the policy number of the insurer

CARDINALITY 1

**CONDITION** Mandatory

C007 COVERAGE ID

**DESCRIPTION** The Coverage ID assigns the coverage period to the policy and to the

subsequent claim submitted in that coverage period

FORMAT Alphanumeric

**LENGTH** Dependent on the format of the Coverage ID for the insurer

CARDINALITY 1

**CONDITION** Mandatory

**NOTE** 

See P028 - Coverage ID

C008 ANZSIC 1993

**DESCRIPTION** Industry of employer (ANZSIC Classification 1993)

Identifies the Australian and New Zealand Standard Industrial Classification code (four-digit level) of the employer holding this particular policy to which

the claim is charged pre 1 Jul 2012

FORMAT Numeric LENGTH 4 digits

CARDINALITY 1

**CONDITION** Mandatory

### **NOTE**

See ANZSIC 1993 and 2006 - Explanation of coding

C129 ANZSIC 2006

**DESCRIPTION** Industry of employer (ANZSIC Classification 2006)

Identifies the Australian and New Zealand Standard Industrial Classification code (four-digit level) of the employer holding this particular policy to which

the claim is charged post 1 July 2013.

FORMAT Numeric LENGTH 4 digits

**CARDINALITY** 1

**CONDITION** Conditional

### **NOTE**

See ANZSIC 1993 and 2006 – Explanation of coding

C009 SHARED CLAIM CODE

**DESCRIPTION**: To be set if all or part of the costs of the claim are recoverable from any other

party

FORMAT Numeric LENGTH 2 digits

**CARDINALITY** 1

**CONDITION** Mandatory

**Codes are:** 

00 Not Shared

O1 Shared, responsible insurer

O2 Shared, not responsible insurer

### **NOTE**

Examples of a claim that would be considered 'Shared' are a claim which has been lodged as workers compensation but then determined to be under Compulsory Third Party insurance, or a claim which has been lodged with more than one insurer due to a dispute/uncertainty in where the liability falls. This includes claims which are lodged with an insurer and then passed on to the nominal insurer.

If the insurer is determined to be liable, then the code 01 Shared, responsible Insurer should be used, if the insurer is determined not to be liable, then code 02 Shared, not responsible insurer applies.

CO10 RECORD STATUS CODE

**DESCRIPTION** Indicates if the Claim Details being supplied is notifying a new claim, or an

update to a claim that has already been notified to the jurisdiction in a past

return.

FORMAT Numeric LENGTH 2 digits

**CARDINALITY** 1

**CONDITION** Mandatory (for WA Only)

Codes are:

01 New Claim

02 Update existing claim

C011 REVISED INSURER CLAIM NUMBER

**DESCRIPTION** If an insurer revises a claim number, which was previously reported to the

jurisdiction, this data item indicates the new claim number.

**FORMAT** Alphanumeric

**LENGTH** Dependent on the format of the insurer claim number of the insurer

**CARDINALITY** 1

**CONDITION** Optional

### **NOTES**

If an Insurer Claim Number is changed the revised Insurer Claim Number must be notified by using the **Revised Insurer Claim Number** field. That revised number MUST then be used when reporting all future activity for that claim.

### 2.2 Worker Data

C012 WORKER TITLE

**DESCRIPTION** The title of the worker

FORMAT Alphanumeric LENGTH 4 characters

CARDINALITY 1

**CONDITION** Mandatory

### **NOTE:**

The Worker Title field will be a text field not a list of valid titles

C013 WORKER SURNAME

**DESCRIPTION** The surname of the Worker

FORMAT Alphanumeric LENGTH 30 characters

**CARDINALITY** 1

**CONDITION** Mandatory

C014 WORKER GIVEN NAMES

**DESCRIPTION** The given names of the worker

**FORMAT** Alphanumeric **LENGTH** 50 characters

CARDINALITY 1

**CONDITION** Mandatory

CO15 WORKER RESIDENTIAL ADDRESS LINE 1

**DESCRIPTION** The first line of the address of the Worker's residential address.

FORMAT Alphanumeric LENGTH 30 characters

**CARDINALITY** 1

**CONDITION** Mandatory

CO16 WORKER RESIDENTIAL ADDRESS LINE 2

**DESCRIPTION** Second line of the address of the Worker's residential address

FORMAT Alphanumeric LENGTH 30 characters

CARDINALITY 1

**CONDITION** Optional

C120 WORKER RESIDENTIAL ADDRESS LINE 3

**DESCRIPTION** Third line of the address of the Worker's residential address

FORMAT Alphanumeric LENGTH 30 characters

CARDINALITY 1

**CONDITION** Optional

CO17 WORKER RESIDENTIAL ADDRESS SUBURB

**DESCRIPTION** The suburb or district of the Worker's residential address

FORMAT Alphanumeric LENGTH 30 characters

CARDINALITY 1

**CONDITION** Mandatory

### NOTE:

If "OTH" and therefore has a postcode 0099, the suburb will not be validated.

CO18 WORKER RESIDENTIAL ADDRESS STATE/TERRITORY

**DESCRIPTION** The State or Territory of the Worker's residential address

FORMAT Alphabetic LENGTH 3 characters

CARDINALITY 1

**CONDITION** Mandatory

Codes are:

ACT Australian Capital Territory

NSW New South Wales NT Northern Territory

QLD Queensland

SA South Australia

TAS Tasmania VIC Victoria

WA Western Australia

OTH Other

CO19 WORKER RESIDENTIAL ADDRESS POSTCODE

**DESCRIPTION** The Postcode of the Worker's residential address

FORMAT Numeric
LENGTH 4 characters

**CARDINALITY** 1

**CONDITION** Mandatory

CO20 WORKER POSTAL ADDRESS LINE 1

**DESCRIPTION** The first line of the address of the Worker's postal address.

FORMAT Alphanumeric LENGTH 30 characters

**CARDINALITY** 1

**CONDITION** Mandatory

CO21 WORKER POSTAL ADDRESS LINE 2

**DESCRIPTION** The Second line of the address of the Worker's postal address

FORMAT Alphanumeric LENGTH 30 characters

**CARDINALITY** 1

**CONDITION** Optional

C121 WORKER POSTAL ADDRESS LINE 3

**DESCRIPTION** The third line of the address of the Worker's postal address

FORMAT Alphanumeric LENGTH 30 characters

CARDINALITY 1

**CONDITION** Optional

CO22 WORKER POSTAL ADDRESS SUBURB

**DESCRIPTION** The suburb or district of the Worker's postal address

FORMAT Alphanumeric LENGTH 30 characters

Must match a postal suburb name in the Australia Post's suburb, postcode

listing.

CARDINALITY 1

**CONDITION** Mandatory

### **NOTE:**

If "OTH" and therefore has a postcode 0099, the suburb will not be validated.

CO23 WORKER POSTAL ADDRESS STATE/TERRITORY

**DESCRIPTION** The State or Territory of the Worker's postal address

FORMAT Alphabetic LENGTH 3 characters

**CARDINALITY** 1

**CONDITION** Mandatory

Codes are:

ACT Australian Capital Territory

NSW New South Wales NT Northern Territory

QLD Queensland

SA South Australia

TAS Tasmania VIC Victoria

WA Western Australia

OTH Other

CO24 WORKER POSTAL ADDRESS POSTCODE

**DESCRIPTION** The postcode of the worker's postal address

FORMAT Numeric
LENGTH 4 characters

**CARDINALITY** 1

**CONDITION** Mandatory

CO25 WORKER HOME PHONE NUMBER

**DESCRIPTION** The home telephone number of the worker

FORMAT Numeric

**LENGTH** 10 characters

CARDINALITY 1

**CONDITION** Optional

CO26 WORKER MOBILE PHONE NUMBER

**DESCRIPTION** The mobile telephone number of the worker

FORMAT Numeric

**LENGTH** 10 characters

**CARDINALITY** 1

**CONDITION** Optional

CO27 WORKER WORK PHONE NUMBER

**DESCRIPTION** The work telephone number of the worker

FORMAT Numeric

**LENGTH** 10 characters

CARDINALITY 1

**CONDITION** Optional

CO28 WORKER EMAIL ADDRESS

**DESCRIPTION** The email address of the worker

FORMAT Alphanumeric LENGTH 100 characters

**CARDINALITY** 1

**CONDITION** Optional

CO29 WORKER DATE OF BIRTH

**DESCRIPTION** The date of birth of the Worker

FORMAT Date, YYYY-MM-DD

**LENGTH** 

**LENGTH** 8 digits

CARDINALITY 1

**CONDITION** Optional

C030 WORKER GENDER

**DESCRIPTION** The gender of the worker

FORMAT Alphabetic
LENGTH 1 character

CARDINALITY 1

**CONDITION** Optional

**Codes are:** 

M MaleF Female

CO31 WORKER PREFERRED LANGUAGE

**DESCRIPTION** The preferred language of the worker (coded using the Australian Standard

Classification of Languages (ASCL)

FORMAT Numeric LENGTH 4 digits

CARDINALITY 1

**CONDITION** Mandatory

C124 WORKER DEPENDANTS

**DESCRIPTION** The number of dependants of the worker, applies only to fatal claims

FORMAT Numeric LENGTH 2 digits

**CARDINALITY** 1

**CONDITION** Conditional

#### 2.3 **Employment Details**

**CO32 DUTY STATUS CODE** 

**DESCRIPTION** The duty status of the Worker at the time of injury or disease

Numeric **FORMAT LENGTH** 2 digits

**CARDINALITY** 1

CONDITION Mandatory

#### **Codes are:**

01 At Work - at Normal Workplace 02 At Work - Road Traffic Accident

03 At work - on break 04 Commuting/journey

Away from work during recess break 05

At work – working away from normal workplace 06

09 Other

#### **CO33 EMPLOYMENT STATUS CODE**

**DESCRIPTION** The employment status of the Worker at the time of the injury or disease

Numeric **FORMAT LENGTH** 2 digits

**CARDINALITY** 1

**CONDITION** Mandatory

## Codes are:

01 Direct worker

02 **Working Director** 

Contractor 03

Worker of Contractor 04

05 Sub Contractor

06 Labour hire worker 07 Apprentice/Trainee

Other 09

C034 EMPLOYMENT TYPE CODE

**DESCRIPTION** The employment type of the Worker at the time of the injury or disease.

FORMAT Numeric LENGTH 2 digits

CARDINALITY 1

**CONDITION** Mandatory

Codes are:

01 Permanent02 Temporary

03 Casual

04 Temporary Overseas Visa Worker

09 Other

C035 FULL/PART TIME CODE

**DESCRIPTION** To identify whether the Worker was employed full or part time at the time of

the injury or disease

FORMAT Numeric LENGTH 2 digits

**CARDINALITY** 1

**CONDITION** Mandatory

**Codes are:** 

Full timePart time

C036 WORKERS OCCUPATION NARRATIVE

**DESCRIPTION** The occupation description of the worker and the main tasks or duties

performed, for coding to the Australian and New Zealand Standard

Classification of Occupations (ANZSCO)

FORMAT Alphanumeric LENGTH 50 characters

CARDINALITY 1

**CONDITION** Optional

CO37 WORKERS OCCUPATION CODE

**DESCRIPTION**: The Australian and New Zealand Standard Classification of Occupations

(ANZSCO) code for the worker's occupation at the time of the injury or

reporting of the occupational disease,

**FORMAT** Numeric

LENGTH: 4
CARDINALITY 1

**CONDITION** Mandatory

CO38 HOURS WORKED PER DAY

**DESCRIPTION** The number of hours and minutes usually worked each day (including

overtime) by the injured worker at the date of occurrence.

FORMAT Numeric

**LENGTH** 4 digits, as HHMM

CARDINALITY 1

**CONDITION** Mandatory

CO39 HOURS WORKED PER WEEK

**DESCRIPTION** The number of hours and minutes usually worked each week by the injured

worked at the date of occurrence.

FORMAT Numeric

**LENGTH** 5 digits, as HHHMM

CARDINALITY 1

**CONDITION** Mandatory

CO40 NORMAL WEEKLY EARNINGS

**DESCRIPTION** The normal weekly earnings of the worker at the time of the injury or disease.

Calculate the normal weekly earnings (NWE) over the 12 month period ending at the start of the period of incapacity. It is calculated as the average earnings over the 12 months prior to the date of incapacity. Where the worker has been employed by the employer for 14 days or less prior to his/her incapacity,

refer to Section 69(2) of the Act.

FORMAT Numeric LENGTH 7 digits

CARDINALITY 1

CO41 ORDINARY TIME RATE OF PAY PER WEEK

**DESCRIPTION** The ordinary time rate of pay per week (Gross) of the worker at the time of

the injury or disease.

This relates to the payment to the worker for the work in which, and the hours during which, he/she was engaged immediately before the period of

incapacity

FORMAT Numeric
LENGTH 7 digits

**CONDITION** Optional

**CARDINALITY** 

CO42 DATE WORKER STARTED EMPLOYMENT

**DESCRIPTION** Identifies the Date for when the worker started employment.

FORMAT Date, YYYY-MM-DD

1

LENGTH

CARDINALITY 1

**CONDITION** Mandatory

## 2.4 Employer Data

CO43 EMPLOYER ABN

**DESCRIPTION** A unique number allocated by the Australian Business Register. The ABN will

be used to provide a unique number to an insured entity. It relates to the

'employer' covered by the policy.

**FORMAT** Alphanumeric

**LENGTH** 11 digit

**CARDINALITY** 1

**CONDITION** Mandatory

C125 EMPLOYER ACN

**DESCRIPTION** The Australian Company Number (ACN) of the employer

**FORMAT** Alphanumeric

**LENGTH** 11 digit

**CARDINALITY** 1

**CONDITION** Mandatory (for ACT only)

C127 WORKCOVER NUMBER

**DESCRIPTION** A unique number allocated by WorkCover WA to an insured entity. It relates

to the 'employer' covered by the policy, and may therefore involve more than one legal entity (eg, a partnership of individuals or companies) if they are

covered by the one policy.

**FORMAT** Alphanumeric, in the format of WCnnnnnnnC, where 'C' is a check digit

allocated by the jurisdiction. The algorithm used to calculate the check digit is

available on request from the jurisdiction.

**LENGTH** 10 digit

**CARDINALITY** 1

**CONDITION** Mandatory (WA Only)

CO44 EMPLOYER TRADING NAME

**DESCRIPTION** The trading name of an employer.

FORMAT Alphanumeric LENGTH 100 characters

CARDINALITY 1

**CONDITION** Mandatory

#### **NOTES:**

Where possible, the trading name should be consistent for each claim reported, to enable easier connection of all claims as being under the same employer/section of a business.

If the name of the insured is an individual or number of individuals, names should be written in full, as surname, first name and any other names – eg SMITH, JOHN JACOB; SMITH, JOHN & JANE or SMITH, JOHN JACOB and JONES, JIM. Do not use J Smith, JJ Smith, Mr & Mrs Smith, Smith and Jones etc.

Abbreviations should not be used, except in the case of PTY LTD for proprietary limited and & for AND, all other words should be written in full

The full name of the business should be provided, particularly where other similarly named businesses may exist – eg MCDONALDS FARM rather than just MCDONALDS,

If the business is a franchise then adding the location to the trading name would be useful. eg JIMS MOWING LUTANA is more useful than JIMS MOWING

CO45 EMPLOYER CONTACT NAME

**DESCRIPTION** The contact name for the employer

FORMAT Alphanumeric LENGTH 100 characters

CARDINALITY 1

CO46 EMPLOYER CONTACT POSITION

**DESCRIPTION** The position of the employer contact

FORMAT Alphanumeric LENGTH 30 characters

CARDINALITY 1

**CONDITION** Optional

CO47 EMPLOYER CONTACT PHONE NUMBER

**DESCRIPTION** The phone number of the employer contact

FORMAT Numeric LENGTH 10 digits

CARDINALITY 1

# 2.5 Claim Management Details

CO48 DATE OF OCCURRENCE

**DESCRIPTION** The date when the original injury occurred or, if unknown or indeterminate,

the date it was reported to the employer.

FORMAT Date, YYYY-MM-DD

**LENGTH** 

CARDINALITY 1

**CONDITION** Mandatory

CO49 DATE INSURER NOTIFIED OF INJURY

**DESCRIPTION** Identifies the Date for when the insurer was notified of the incident or

potential claim.

FORMAT Date, YYYY-MM-DD

LENGTH

CARDINALITY 1

**CONDITION** Mandatory

CO50 DATE CLAIM RECEIVED BY EMPLOYER

**DESCRIPTION** The date the claim form was first received by the employer.

FORMAT Date, YYYY-MM-DD

LENGTH

CARDINALITY 1

**CONDITION** Mandatory

CO51 DATE MEDICAL CERTIFICATE RECEIVED BY EMPLOYER

**DESCRIPTION** The date the medical certificate was first received by the employer.

FORMAT Date, YYYY-MM-DD

LENGTH

CARDINALITY 1

C052 DATE INSURER NOTIFIED OF CLAIM

**DESCRIPTION** Identifies the Date for when the insurer was notified of the claim

FORMAT Date, YYYY-MM-DD

**LENGTH** 

CARDINALITY 1

**CONDITION** Mandatory

CO53 DATE CLAIM RECEIVED BY INSURER

**DESCRIPTION** Identifies the Date for when the insurer first received the Claim from the

employer.

FORMAT Date, YYYY-MM-DD

**LENGTH** 

**CARDINALITY** 1

**CONDITION** Mandatory

C054 INJURY MANAGEMENT PROGRAM TYPE

**DESCRIPTION** A flag to indicate whether the employer's responsibility to initiate a

Return to Work Program has been delegated to the employer's

Insurer.

FORMAT Numeric LENGTH 2 digits

**CARDINALITY** 1

**CONDITION** Mandatory (ACT and WA Only)

**Codes are:** 

01 Insurer02 Employer

C055 EXTENT OF INCAPACITY CODE

**DESCRIPTION** Indicates the outcome of the injury or disease as assessed by the insurer and

the doctor.

FORMAT Numeric LENGTH 2 digits

**CARDINALITY** 1

**CONDITION** Mandatory

#### Codes are:

01 Death

02 Temporary Incapacity

Permanent Incapacity - PartialPermanent Incapacity - Total

No Incapacity at any Time – Worker Not Injured

06 No Incapacity at any Time – Worker Injured

#### **NOTE**

Should be updated as the claim progresses if there is any change to the workers condition, for example an injury that was initially considered a temporary incapacity may later become permanent.

C056 DATE OF DEATH

**DESCRIPTION** The date of death of the worker

**FORMAT** Date, YYYY-MM-DD

**LENGTH** 

CARDINALITY 1

**CONDITION** Mandatory

C057 DATE CLAIM FINALISED

**DESCRIPTION** The latest date the claim was finalised

FORMAT Date, YYYY-MM-DD

LENGTH

**CARDINALITY** 1

**CONDITION** Mandatory

#### **NOTE**

A claim is finalised when, in the judgement of the insurer, there will not be any further liability to pay compensation both pursuant to the Act and at common law.

C058 DATE OF RECURRENCE

**DESCRIPTION** The date of the recurrence of the worker's injury or disease

FORMAT Date, YYYY-MM-DD

**LENGTH** 

CARDINALITY 1

**CONDITION** Mandatory

#### NOTE

Should be completed where the medical certificate indicates a recurrence or aggravation. This date would generally be the date indicated in the 'stated cause' section of the medical certificate as the date the incident occurred on or the disease became evident. Is intended to capture recurrence information within the same claim record without replacing the original date of occurrence.

C059 DATE REOPENED

**DESCRIPTION** The date the claim was reopened.

FORMAT Date, YYYY-MM-DD

**LENGTH** 

CARDINALITY 1

**CONDITION** Mandatory

C060 WEEKLY BENEFIT RATE

**DESCRIPTION** The Weekly Benefit Rate actually paid to the worker.

FORMAT Numeric LENGTH 7 digits

**CARDINALITY** 1

**CONDITION** Mandatory

C061 CLAIM STATUS DATE

**DESCRIPTION** The latest date the insurer accepted or rejected the claim, or otherwise

recorded a change in the Claim Status Code

FORMAT Date, YYYY-MM-DD

**LENGTH** 

CARDINALITY 1

C062 CLAIM STATUS CODE

**DESCRIPTION** To indicate the latest status of a claim

FORMAT Numeric LENGTH 2 digits

CARDINALITY 1

**CONDITION** Mandatory

**Codes are:** 

01 Accepted02 Pending03 Rejected04 Withdrawn05 Invalid Claim

CO63 COMMON LAW INVOLVEMENT

**DESCRIPTION**: The type of Common Law involvement in a claim with regard to potential or

actual Common Law payment.

FORMAT: Numeric LENGTH: 2 digits

**CARDINALITY** 1

**CONDITION** Mandatory

**Codes are:** 

00 No current/expected Common Law involvement

01 Common Law estimate raised by insurer

02 Writ Issued

03 Common Law finalised (settlement or judgement)

C064 COMMON LAW OUTCOME

**DESCRIPTION**: The type of Common Law outcome of a claim identified as having Common

Law involvement.

FORMAT: Numeric LENGTH: 2 digits

**CARDINALITY** 1

**CONDITION** Mandatory

Codes are:

00 Not Applicable

01 Pending

02 Settlement

03 Judgement

04 Withdrawn

05 Dismissed

06 Lapsed

CO65 COMMON LAW PROVISION

**DESCRIPTION**: The common law case estimate for the claim.

**FORMAT**: Numeric

LENGTH: 10
CARDINALITY 1

**CONDITION** Optional

#### **NOTE:**

Should be updated once the Common Law Outcome is known and supplied, together with a revision of the Estimated Total Payments, to reflect any change in perspective of the liability for the claim.

It is designed to simply be a component of the total estimate, irrespective of what has been paid, it should <u>not</u> be zeroed unless the claim is no longer a Common Law claim

## 2.6 Workplace Details

C066 WORKPLACE ANZSIC 1993

**DESCRIPTION:** Industry of workplace (ANZSIC Classification 93)

Relates to the main activity of the establishment at which the worker was

injured or experienced the exposure resulting in disease

FORMAT: Numeric

LENGTH: 4
CARDINALITY 1

**CONDITION** Conditional

#### NOTE:

Workplace ANZSIC relates to the main activity of the establishment at which the worker was injured or experienced the exposure resulting in disease. Workplace ANZSIC 1993 should be recorded in relation to the establishment at which the worker was injured or experienced the exposure resulting in disease, irrespective of the industry of their employer. The industry of employer should be coded at C008 ANZSIC

For example, a worker employed by a labour hire firm but working in the mining industry would have their industry of employer recorded as Property and Business Services, and their industry of workplace as Mining.

C128 WORKPLACE ANZSIC 2006

**DESCRIPTION:** Industry of workplace (ANZSIC Classification 2006)

Relates to the main activity of the establishment at which the worker was

injured or experienced the exposure resulting in disease

**FORMAT**: Numeric

LENGTH: 4
CARDINALITY 1

**CONDITION** Conditional

#### **NOTE:**

Workplace ANZSIC relates to the main activity of the establishment at which the worker was injured or experienced the exposure resulting in disease. Workplace ANZSIC 2006 should be recorded in relation to the establishment at which the worker was injured or experienced the exposure resulting in disease, irrespective of the industry of their employer. The industry of employer should be coded at C129 ANZSIC 2006.

For example, a worker employed by a labour hire firm but working in the mining industry would have their industry of employer recorded as Property and Business Services, and their industry of workplace as Mining.

## 2.6.1 WORKPLACE (INCIDENT LOCATION) ADDRESS FIELDS

This is the incident location - the purpose of the fields C067 – C071 is to gather information on the location of the incident.

If the incident occurs while travelling for work and not at a workplace then details should be supplied as close as possible to the location.

**Examples:** 

## Accident on Midlands Highway, nearest town Oatlands:

Address Line 1 = Midlands Highway

Suburb = OATLANDS

State = TAS and Postcode = 7120

#### **Accident Offshore**

Address Line 1 = Boat Name, description of location or nearest harbour

Suburb = OFFSHORE MIGRATORY IN AIRPLANE

State = OFF and Postcode = 7999

CO67 WORKPLACE ADDRESS LINE 1

**DESCRIPTION** The first line of the address of the location of incident occurrence.

FORMAT Alphanumeric LENGTH 30 characters

**CARDINALITY** 1

**CONDITION** Mandatory

C068 WORKPLACE ADDRESS LINE 2

**DESCRIPTION** The second line of the address of the location of incident occurrence

FORMAT Alphanumeric LENGTH 30 characters

**CARDINALITY** 1

**CONDITION** Optional

C122 WORKPLACE ADDRESS LINE 3

**DESCRIPTION** The third line of the address of the location of incident occurrence

FORMAT Alphanumeric LENGTH 30 characters

**CARDINALITY** 1

**CONDITION** Optional

CO69 WORKPLACE ADDRESS SUBURB

**DESCRIPTION** The suburb or district of the location of incident occurrence

FORMAT Alphanumeric LENGTH 30 characters

**CARDINALITY** 1

**CONDITION** Mandatory

CO70 WORKPLACE ADDRESS STATE/TERRITORY

**DESCRIPTION** The State or Territory of the location of incident occurrence

FORMAT Alphabetic
LENGTH 3 characters

CARDINALITY 1

**CONDITION** Mandatory

Codes are:

ACT Australian Capital Territory

NSW New South Wales NT Northern Territory

QLD Queensland SA South Australia

TAS Tasmania VIC Victoria

WA Western Australia

OFF Offshore/Migratory in airplane

CO71 WORKPLACE ADDRESS POSTCODE

**DESCRIPTION** The postcode of the location of incident occurrence

FORMAT Numeric
LENGTH 4 characters

**CARDINALITY** 1

**CONDITION** Mandatory

#### **NOTE**

If "OFF" is selected for State and therefore has a postcode 7799, the suburb will not be validated

# 2.7 Injury Details

CO72 INCIDENT DESCRIPTION NARRATIVE

**DESCRIPTION** The worker's description of what actually happened and what caused the

occurrence. Including what action was involved eg. – Fall, caught between,

struck by moving object.

FORMAT Alphanumeric LENGTH 225 characters

**CARDINALITY** 1

**CONDITION** Mandatory

#### **NOTE**

Include as much detail as possible to describe the circumstances of the incident/injury, avoid using abbreviations and brand names or models of machinery, specify the actual type of machinery or equipment involved.

CO73 MECHANISM OF INJURY/DISEASE CODE

**DESCRIPTION**: The mechanism of injury/disease is intended to identify the action, exposure

or event that was the direct cause of the most serious injury or disease.

FORMAT Numeric LENGTH: 2 digits

(TOOCS 3.1)

**CARDINALITY** 1

**CONDITION** Mandatory

CO74 AGENCY OF INJURY/DISEASE CODE

**DESCRIPTION**: The agency of injury/disease refers to the object, substance or circumstance

directly involved in inflicting the most serious injury or disease.

FORMAT Numeric LENGTH: 4 digits

**TOOCS 3.1** 

**CARDINALITY** 1

C075 BREAKDOWN AGENCY CODE

**DESCRIPTION**: The breakdown agency of injury/disease is intended to identify the object,

substance or circumstance that was principally involved in, or most closely associated with, the point at which things started to go wrong and which

ultimately led to the most serious injury or disease.

FORMAT Numeric LENGTH: 4 digits

**TOOCS 3.1** 

CARDINALITY 1

**CONDITION** Mandatory

CO76 MOST SERIOUS INJURY/DISEASE NARRATIVE

**DESCRIPTION** The worker's description of the most serious injury or disease caused by the

occurrence eg. Fracture, burn, cut, abrasion

FORMAT Alphanumeric LENGTH 100 characters

**CARDINALITY** 1

**CONDITION** Optional

CO77 NATURE OF INJURY/DISEASE CODE

**DESCRIPTION**: The nature of injury/disease is intended to identify the most serious injury or

disease sustained or suffered by the worker. The injury or disease suffered is generally physical although the classification includes categories for mental

illness.

FORMAT Numeric LENGTH 3 digits

**TOOCS 3.1** 

CARDINALITY 1

**CONDITION** Mandatory

C078 BODILY LOCATION OF INJURY/DISEASE NARRATIVE

**DESCRIPTION** The worker's description of the bodily location of the injury or disease eg

Upper arm, ankle, eye

**FORMAT** Alphanumeric **LENGTH** 50 characters

**CARDINALITY** 1

**CONDITION** Optional

CO79 BODILY LOCATION OF INJURY/DISEASE CODE

**DESCRIPTION**: The bodily location of injury/disease is intended to identify the part of the

body affected by the most serious injury or disease.

FORMAT Numeric

LENGTH: 3 digits

TOOCS 3.1

**CARDINALITY** 1

**CONDITION** Mandatory

## 2.8 Injury Management Status

C082 PRIMARY PROVIDER NUMBER

**DESCRIPTION** The primary treating medical practitioner is the medical provider chosen by an

injured worker to participate in the injury management process. It is usually the injured worker's own general practitioner. It is preferable that the provider's AHPRA number be recorded but if this is not available then the

unique number allocated by Medicare to the provider.

**FORMAT** Alphanumeric

**LENGTH** 13 characters (up to)

**CARDINALITY** 1

**CONDITION** Optional

C131 MEDICAL CERTIFICATE ID

**DESCRIPTION** Unique reference number/ID allocated by insurer for each medical certificate.

FORMAT Numeric

**LENGTH** Insurer dependant

**CARDINALITY** Many

**CONDITION** Conditional

CO83 DATE OF MEDICAL CERTIFICATE

**DESCRIPTION** The Date of Examination shown on the Workers' Compensation medical

certificate received for the worker (whether it is an Initial or Continuing/Final

certificate).

FORMAT Date, YYYY-MM-DD

**LENGTH** 

**CARDINALITY** Many

C084 MEDICAL CERTIFICATE PROVIDER NUMBER

**DESCRIPTION** A unique number allocated by AHPRA to identify the provider supplying the

medical certificate.

FORMAT Alphanumeric LENGTH 13 characters

**CARDINALITY** Many **CONDITION** Optional

CO85 CAPACITY TO WORK AT MEDICAL CERTIFICATE

**DESCRIPTION** The capacity to work as shown on the Workers' Compensation medical

certificate received for the worker (whether it is a Initial or Continuing/Final certificate) or other indication of the worker's fitness for work (e.g., report).

FORMAT Numeric
LENGTH 2 digits
CARDINALITY Many

**CONDITION** Mandatory

Codes are:

O1 Fit for pre-injury duties, including fit but requiring further treatment.

O2 Fit for restricted return to work or for alternative duties.

03 Unfit for work.

CO86 DATE WORK STATUS CHANGED

**DESCRIPTION** The date of the most recent change to the workers Work Status.

FORMAT Date, YYYY-MM-DD

LENGTH

**CARDINALITY** Many

C087 WORK STATUS

**DESCRIPTION** The worker's last known work status.

FORMAT Numeric LENGTH 2 digits

**CARDINALITY** M

**CONDITION** Mandatory

#### Codes are:

01 Maintained at Work

02 Return to Work – Full Hours

Return to Work – Partial HoursNot Working – Injury Related

05 Not Working – Other Reason

06 Unknown – Failure to Provide a Medical Certificate

09 Unknown – Other

## C130 WORK STATUS UPDATE ID

**DESCRIPTION** Unique reference number/ID allocated by insurer for each work status

update.

FORMAT Numeric

**LENGTH** Insurer dependant

**CARDINALITY** Many

**CONDITION** Conditional

CO88 RETURN TO WORK PLAN STATUS

**DESCRIPTION** The latest status of the worker's Return to Work (RTW) plan

FORMAT Numeric LENGTH 2 digits

CARDINALITY 1

**CONDITION** Mandatory

#### Codes are:

00 RTW Plan Not Applicable

01 RTW Plan Applicable but Not in Place

RTW Plan AgreedPlan CommencedRTW Plan Completed

**RTW Plan Cancelled** 

09 RTW Plan Status Unknown/NotYet Known

## C089 RETURN TO WORK PLAN GOAL/OUTCOME

**DESCRIPTION** The goal or final outcome of the worker's Return to Work (RTW) Plan.

FORMAT Numeric LENGTH 2 digits

**CARDINALITY** 1

05

**CONDITION** Mandatory

#### **Codes are:**

RTW Plan Not Applicable
Same Employer – Same Job
Same Employer – Modified Job
Same Employer – New Job
New Employer – New Job
Not Resuming Work

09 RTW Plan Goal/Outcome Unknown

#### NOTE

Whilst the claim is open/active, this should reflect the goal of the RTW plan, once the claim is finalised or the RTW plan is completed, this should reflect the final outcome.

C090 INJURY MANAGEMENT PLAN STATUS

**DESCRIPTION** The latest status of the worker's Injury Management (IM) plan

FORMAT Numeric LENGTH 2 digits

CARDINALITY 1

**CONDITION** Mandatory

**Codes are:** 

01 In place02 Not in place

C091 WHOLE PERSON IMPAIRMENT TYPE

**DESCRIPTION** The type of whole person impairment

FORMAT Numeric LENGTH 2 digits

CARDINALITY 1

**CONDITION** Mandatory

**Codes are:** 

00 Nil

01 Physical

02 Industrial Deafness

03 Psychological

C092 WHOLE PERSON IMPAIRMENT PERCENTAGE

**DESCRIPTION** The percentage of whole person impairment

FORMAT Numeric LENGTH 3 digits

**CARDINALITY** 1

**CONDITION** Conditional

C093 DATE OF DETERMINATION

**DESCRIPTION** The date of determination of whole person impairment

FORMAT Date, YYYY-MM-DD

**LENGTH** 

**CARDINALITY** 1

**CONDITION** Conditional

C094 DEAFNESS PERCENTAGE

**DESCRIPTION** The % of deafness for the whole person impairment

FORMAT Numeric LENGTH 3 digits

CARDINALITY 1

**CONDITION** Conditional

C095 TOTAL PAYMENTS ESTIMATED

**DESCRIPTION** The insurers' latest case estimates of the total amount of compensation

(weekly payments lump sum payments, treatments, etc) and non-

compensation (legal costs, transport etc) likely to be paid. Amount should be

total estimate, regardless of any payments already made.

FORMAT Numeric LENGTH 10 digits

**CARDINALITY** 1

**CONDITION** Mandatory

C097 TOTAL TIME LOST ESTIMATED

**DESCRIPTION** The total number of hours and minutes lost for which it is estimated any party

will pay compensation.

FORMAT Numeric LENGTH 7 digits

CARDINALITY 1

## 2.9 Claim Payments

See Rules and Validations - <u>Payment Rules and Validations</u> for individual field rules or refer to the latest version of the National Insurer Data Specification (NIDS) spreadsheet or XSD

C096 TOTAL PAYMENTS ACTUAL

**DESCRIPTION** The total amount of all payments for this claim.

FORMAT Numeric LENGTH 10 digits

CARDINALITY 1

**CONDITION** Mandatory

C098 TOTAL TIME LOST ACTUAL

**DESCRIPTION** The total number of hours and minutes lost for which any party paid

compensation for this claim.

FORMAT Numeric

**LENGTH** 7 digits – (HHHHHMM)

**CARDINALITY** 1

**CONDITION** Mandatory

C099 INSURER PAYMENT ID

**DESCRIPTION** The insurer's unique payment ID for the specific payment transaction.

**FORMAT** Alphanumeric

**LENGTH** X digits – As determined by the individual insurers

**CARDINALITY** Many

## C100 PAYMENT TYPE CODE

**DESCRIPTION** The payment category to which the payment belongs.

FORMAT Numeric
LENGTH 2 digits
CARDINALITY Many

**CONDITION** Mandatory

#### Codes are:

01	Weekly Payment
02	Fatal Weekly Payment
03	Fatal Lump Sum Payment
04	Fatal Other Payment
05	Medical Practitioner or Specialist Payment
06	Hospital Expense Payment
07	Other Treatment or Appliance Payment
08	Vocational Rehabilitation Payment
09	Allied Health Payment
10	Common Law Payment
11	Permanent Impairment Payment
12	Redemption Payment
13	Negotiated Settlement Payment
14	Worker Legal Expense Payment
15	Insurer Legal Expense Payment
16	Investigation Expense Payment

#### **NOTES:**

## 01 - Weekly Payment

17

Relates to payments made under section 69.

Any weekly payments (income replacement) type payments.

Miscellaneous Payment

Amounts should be reported as gross amounts.

#### Includes:

• Full payments, partial payments, make-up payments

#### Excludes:

• Fatal weekly payments to spouse or dependants (code as 02 - Fatal weekly payment)

## 02 - Fatal Weekly Payment

Relates to payments made under Section 67a.

The total paid, in the form of weekly payments to, or in trust for, a dependent spouse/partner or dependent children due to the death of a worker.

## Excludes:

Fatal Lump Sum Payments (code as 03 - Fatal Lump Sum Payment)

#### 03 - Fatal Lump Sum Payment

Relates to payments made under Section 67.

The total paid, in the form of a lump sum to, or in trust for, a dependent spouse/partner or dependent children due to the death of a worker.

#### Excludes:

- Fatal Weekly Payments (code as 02 Fatal weekly payment)
- Funeral Expenses, Counselling services (code as 04 Fatal Payment Other)

#### 04 - Fatal Payment Other

Relates to payments made under Section 75 (1AA)(1)(b).

Funeral expenses and counselling services to deceased workers family.

## 05 - Medical Practitioner or Specialist

Costs of services (treatment & reports) rendered by registered medical practitioners, regardless of whether the services were rendered in a hospital or clinical environment, including outpatient charges for doctors. Registered medical practitioners are defined as:

- General practitioners
- Psychiatrists
- Surgeons
- Radiologists

#### Excludes:

- Costs incurred for the preparation of medical reports for the purposes of legal proceedings (code as 15 - Insurer Legal Expense)
- Costs incurred for the preparation of medical reports for the purposes of administration (code as 16 Investigation Expenses)

### 06 - Hospital Expense

All costs related to public and private hospital visits except those amounts which are identified on the hospital account but which belong to other categories of payment.

#### Includes:

- Cost of bed, operating theatre and other hospital facilities
- Outpatient charges billed by hospitals

#### Excludes:

 The cost of medical and like services provided in an outpatient environment and billed by a practitioner in private practice (code as 05 – Medical Practitioner or Specialist or code 09 - Allied Health)

#### 07 - Other treatment or appliance payment

Any other benefits paid or goods provided to an injured worker not reported elsewhere. *Includes:* 

- Prescriptions, medical and surgical supplies
- Provision, maintenance, repair, adjustment or replacement of aids and appliances (including artificial limbs, eyes or teeth)
- Costs incurred on account of home help, for example cleaners
- Home and vehicle modifications
- Miscellaneous, repair or replacement of damaged clothing
- Road accident rescue services

#### 08 - Vocational Rehabilitation

All costs relating to workplace rehabilitation services.

## Includes:

- Initial workplace rehabilitation assessment
- Assessment of the functional capacity of a worker
- Workplace assessment
- Job analysis
- Advice concerning job modification
- Rehabilitation counselling
- Vocational assessment
- Advice or assistance in relation to job seeking
- Advice or assistance in arranging vocational re-education or training
- Modifications to workplace
- Any other service that is prescribed by the regulations

## 09 - Allied health payment

Payments relating to medical services.

*Including but not limited to:* 

- Dentists
- Chiropractors
- Optometrists
- Osteopaths
- Psychologists
- Physiotherapists
- Podiatrists
- Nursing services
- Paramedics
- Ambulance
- Occupational therapists

#### **Excludes:**

#### Treatments provided as vocational rehabilitation 10 - Common law

The total economic (loss of future earnings, loss of superannuation, legal expenses and future medical costs) and non-economic loss (pain and suffering) components of a common law settlement or judgment.

Claims with an accident date of 1 July 2010 or greater must have a Whole person Impairment Percentage of 20% or more if a Common Law Payment is to be made.

Claims with an accident date of between 1 July 2001 and 30 June 2010 must have a Whole Person Impairment Percentage of 30% or more if a Common Law Payment is to be made.

#### 11 - Permanent Impairment Payment

Payments made under Sections 71, 72 and 73.

Payments for permanent impairment (physical, psychological, industrial deafness).

Includes payments under previous Table of Maims for claims with an accident date prior to 1 July 2001.

#### 12 - Redemption

Payments relating to the commutation of statutory benefits. This can only apply to claims with an accident date of 1 July 2001 or greater.

- Claims with an accident date of 1 July 2010 or greater (section 132A)
- Claims with an accident date of between 1 July 2001 and 30 June 2010 inclusive (repealed sections 39 and 89)

#### 13 - Negotiated Lump Sum Settlement

Payments of lump sums where the claim is settled by common law release, but no writ was issued. This can only apply to claims with an accident date prior to 1 July 2010. This should include all costs associated with the settlement.

## 14 - Worker Legal Expense

Total of all worker's legal costs paid by insurer.

## 15 - Insurer Legal Expense

Total of all insurer's/employer's legal costs paid by insurer.

#### Includes:

- Medical reviews for legal proceeding
- Investigations for legal proceedings
- Insurer's/employer's legal costs attributable to the claim.

## Excludes:

Worker's legal costs paid by insurer

#### 16 - Investigation Expenses

The total of all costs relating to investigation of a claim.

#### Includes:

- Investigation expenses for administration purposes
- Independent medical reviews for administration purposes

## Excludes:

Investigations for legal proceedings

## 17 - Miscellaneous

Other payments not elsewhere specified

### Includes:

- Travel or accommodation expenses incurred by worker to undertake medical treatment (at insurer's request)
- Worker's transport
- Interpreter services

C101 WEEKLY PAYMENT CODE

**DESCRIPTION** The replacement adjustment to previously advised weekly payments relating

to payment type code 01

FORMAT Numeric
LENGTH 2 digits
CARDINALITY Many

**CONDITION** Conditional

**Codes are:** 

01 Weekly Payment02 Make up Payment

03 Other

C101 – Weekly Payment Code	Used For	Rules	
01 Weekly Payment Should be used where the payment is	Time lost only.		
PURELY TIME LOST, with NO other components.		C102.2	
Hourly rate on the payment will be validated against hourly rate on the claim.		If Payment Type Code (C100) is equal to 01 and	
O2 Make up Payment  Weekly + Make up Payment – Should be used where there is a COMBINED time lost and make up payment. Should be some time lost reported, but hourly rate on payment won't be validated against hourly rate on claim	Time lost plus makeup pay	Weekly Payment Adjustment Code is equal to 01 or 02 then a time lost value must be entered.	
O3 Other Should be used where payment is purely making up pay or other NON-TIME LOST payment eg Supernumerary or productivity payment. Should NOT have ANY TIME LOST reported.	No time lost	C102.3  If Payment Type code (C100) is equal to 01 and Weekly Payment Adjustment Code (C101) is equal to 03 then the time lost value must be 0.	

C102 TIME LOST

**DESCRIPTION** The total number of hours and minutes lost for which any party paid

compensation for the individual payment

FORMAT Numeric

**LENGTH** 7 digits – HHHHHMM

**CARDINALITY** Many

**CONDITION** Conditional

C103 DATE PAID FROM

**DESCRIPTION** The start date of the relevant payment period of the individual payment

transaction. Relates only to compensable wage payments.

FORMAT Date, YYYY-MM-DD

**LENGTH** 

**CARDINALITY** Many

**CONDITION** Conditional

C104 DATE PAID TO

**DESCRIPTION** The end date of the relevant payment period of the individual payment

transaction. Relates only to compensable wage payments.

FORMAT Date, YYYY-MM-DD

**LENGTH** 

**CARDINALITY** Many

**CONDITION** Conditional

C105 PAYMENT AMOUNT

**DESCRIPTION** The amount of the individual payment transaction

FORMAT Numeric, LENGTH 11 digits CARDINALITY Many

C106 TRANSACTION DATE

**DESCRIPTION** The date of the payment transaction in the insurer/self-insurer's system

FORMAT Date, YYYY-MM-DD

LENGTH

**CARDINALITY** Many

**CONDITION** Mandatory

C107 TRANSACTION TYPE CODE

**DESCRIPTION** The type of transaction that was carried out

FORMAT Numeric
LENGTH 2 digits
CARDINALITY Many

**CONDITION** Mandatory

#### Codes are:

01 Payment

02 Recovery – CTP (Compulsory Third Party)

03 Recovery – Other (Excluding reinsurance recoveries)

O4 Journal entry (Including adjustments made to adjust incorrect payment

category, service code or provider number coding).

05 Cancelled

#### NOTE

Where a payment is reported as 02 Recovery - CTP, 03 Recovery - Other or 05 Cancelled it is expected the transaction would have a negative Payment Amount (and negative Time Lost if appropriate), with all other payment details matching the initial payment to which the recovery or cancellation relates.

A journal may be a negative or positive amount depending on the nature of the correction/alteration being performed

C109 PAYMENT CONTEXT

**DESCRIPTION** Identifies payments made as part of negotiated settlements

FORMAT Numeric LENGTH 2 digits

**CARDINALITY** M

**CONDITION** Mandatory (for WA only)

Codes are:

01 Standard compensation

02 Statutory negotiated settlement

03 Common law settlement

04 Contractual indemnity obligation

C110 PAYMENT SOURCE

**DESCRIPTION** For identifying above excess payments (Insurer or Employer)

FORMAT Numeric LENGTH 2 digits CARDINALITY Many

**CONDITION** Mandatory

Codes are:

01 Insurer02 Employer

## 2.10 Claim Services

C111 PROVIDER NUMBER

**DESCRIPTION** A unique number allocated by Medicare or the jurisdiction to identify the

provider supplying the medical or vocational rehabilitation service.

FORMAT Alphanumeric LENGTH 13 characters

**CARDINALITY** Many **CONDITION** Optional

C112 SERVICE CODE

**DESCRIPTION** A unique code allocated by MBS, HICAP or jurisdiction authority to identify the

particular medical, allied health or vocational rehabilitation service supplied to

the worker.

FORMAT Alphanumeric LENGTH 8 characters

**CARDINALITY** Many

**CONDITION** Conditional

#### NOTE:

Service Code Unknown = 9999

C113 SERVICE DATE

**DESCRIPTION** The date of the individual medical, allied health or vocational rehabilitation

service supplied to the worker.

FORMAT Date, YYYY-MM-DD

**LENGTH** 

**CARDINALITY** Many

**CONDITION** Conditional

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# **3** Rules and Validations

Column Heading	Description/Meaning
Data Element	Data Element Number for the field
Field Name	The name of the field corresponding to the Data element number
Rule No	Rule number allocated to the rule to uniquely identify the rule. The rule numbers are not consecutive as some rules have been removed. A rule number will ALWAYS remain the same – it will not be allocated to a new rule
Rule	The details of the rule
Condition	Mandatory – the element must be supplied in each submission
	Conditional – the element must be supplied if it fits within the conditional rules
	Optional – the element can be supplied at the discretion of the insurer, if supplied it must adhere to any rules
	Revalidation – the element may be revalidated due to a change in another element
Record State	REJECT – The record will be rejected and return to the insurer the reason why it was rejected
when fail	FLAG – TAS: The record is flagged to be either approved or rejected by the WCT Audit team. If rejected it will be displayed in the rejected data column in the WIMS portal with a comment as to why it has been rejected
Error Message	The message that will be displayed when a record is rejected or flagged

# 3.1 Policy Rules and Validations

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
P001	P001.1	INSURER NUMBER	Mandatory	Must be one of the insurer numbers for an insurer entity	REJECT	Incorrect Insurer Number
P003	P003.4	POLICY NUMBER	Mandatory	Must be unique for that insurer. This rule is only applied for manual submission. XML submission will perform either an update or an insert depending upon whether the policy number is or isn't in the system already	REJECT	Policy Number is not unique
P004	P004.3	REVISED POLICY NUMBER	Conditional	Must be unique for the Insurer	REJECT	Policy Number is not unique
P011	P011.3	EMPLOYER ADDRESS SUBURB	Mandatory	Must match a postal suburb description in Australia Post's Postcode listing	REJECT	Employer address suburb entered is not valid
P012	P012.3	EMPLOYER ADDRESS STATE/TERRITORY	Mandatory	Must be a valid code	REJECT	Employer state/territory code entered is not valid
P013	P013.3	EMPLOYER ADDRESS POSTCODE	Mandatory	Must be a valid postcode for Employer Postal Address Suburb (P011)	REJECT	Employer address postcode entered is not valid for the suburb selected
P013	P013.4	EMPLOYER ADDRESS POSTCODE	Mandatory	If the Employer Address State/ Territory (P012) = "OTH" Postcode must equal 0099	REJECT	If the Employer Address State/Territory code is OTHER then the Employer Address Postcode must be entered as 0099
P015	P015.3	EMPLOYER POSTAL SUBURB	Mandatory	Must match a postal suburb description in Australia Post's Postcode listing	REJECT	Employer postal address suburb entered is not valid

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
P016	P016.1	EMPLOYER POSTAL STATE/TERRITORY	Optional	Must be a valid code	REJECT	Employer postal state/territory code entered is not valid
P017	P017.3	EMPLOYER POSTAL POSTCODE	Optional	Must be a valid postcode for Employer Postal Address Suburb (P015)	REJECT	Employer postal address postcode entered is not valid for the suburb selected
P017	P017.4	EMPLOYER POSTAL POSTCODE	Optional	If the Employer Address State/ Territory (P012) = "OTH" Postcode must equal 0099	REJECT	If the Employer Address Postal State/Territory code is OTHER then the Employer Postal Address Postcode must be entered as 0099
P026	P026.3	INJURY MANAGEMENT PROGRAM	Optional	Must be a valid code	REJECT	Injury Management Program code entered is not valid

# **3.1.1** Policy Mandatory Rules

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
P003	P003.M	POLICY NUMBER	Mandatory	Mandatory field	REJECT	POLICY NUMBER is a mandatory field
P005	P005.M	EMPLOYER LEGAL NAME	Mandatory	Mandatory field	REJECT	EMPLOYER LEGAL NAME is a mandatory field
P007	P007.M	EMPLOYER TRADING NAME	Mandatory	Mandatory field	REJECT	Employer Trading Names is a mandatory field
P009	P009.M	EMPLOYER ADDRESS LINE 1	Mandatory	Mandatory field	REJECT	EMPLOYER ADDRESS LINE 1 is a mandatory field

# 3.2 Coverage Rules and Validations

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
P027	P027.2	LAPSE/ CANCELLATION REASON CODE	Mandatory	Must be a valid code	REJECT	Lapse/Cancellation Reason Code entered is invalid
P027	P027.3	LAPSE/ CANCELLATION REASON CODE	Mandatory	If Coverage Type Code (P029) is equal to 04 Policy Cancellation Notification or 05 Policy Lapsed Notification 07 Not a valid policy then a Lapse Cancellation code cannot be 00	REJECT	If Coverage Type Code is equal to 04 Policy Cancellation Notification or 05 Policy Lapsed Notification 07 Not a valid policy but the Lapse Cancellation code is 00 No Lapse/cancellation
P029	P029.3	COVERAGE TYPE CODE	Mandatory	Must be a valid code	REJECT	Coverage type code entered is invalid
P031	P031.2	EFFECTIVE DATE	Mandatory	If Coverage type code = 01 or 02 or 03 or 06 or 09 then Effective date must be less than the Expiry date (P032)	REJECT	Effective Date is later than the expiry date
P031	P031.3	EFFECTIVE DATE	Mandatory	If the coverage type code (P029) is equal to [01, 02, 03, 06] and then the Effective Date to Expiry Date (P032) cannot overlap any other coverages with the same ANZSIC code (pre 1 Jul 2014 - 1993 post use 2006 ANZSIC).	FLAG	Effective Date for this [Coverage Type Code Desc] is prior to the last recorded Expiry Date (Overlap in coverage)
P031	P031.12	EFFECTIVE DATE	Mandatory	Must be an active insurer number for an insurer entity at the effective date of policy	REJECT	Insurer Number Inactive
P032	P032.3	EXPIRY DATE	Mandatory	The number of months between Effective Date (P031) and Expiry Date (P032) is greater than 18 months.	FLAG	Period of cover is greater than eighteen (18) months - Please confirm
P033	P033.1	ANZSIC 1993	Conditional	Must be a valid code	REJECT	ANZSIC code entered is not a valid ANZSIC 1993 code

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
P033	P033.2	ANZSIC 1993	Conditional	If the effective date of the coverage is less than or equal to 30 June 2014 then this field is Mandatory	REJECT	This coverage has an effective date prior to 1 July 2014 so therefore must have a valid ANZSIC 1993 code
P034	P034.3	ANZSIC 2006	Optional	Must be a valid code	REJECT	ANZSIC code entered is not a valid ANZSIC 06 code
P034	P034.4	ANZSIC 2006	Optional	If the effective date of the coverage is equal to or greater than 1 July 2013 then this field is mandatory (date is configurable - system setting)	REJECT	This coverage has an effective date after 30 June 2013 so therefore must have a valid ANZSIC 2006 code
P035	P035.3	ESTIMATED WAGES	Mandatory	If P039 is not equal to 04 Minimum Premium Policy – Other then Estimated wages/Estimated Number of Workers (P036) must be greater than \$X and less than \$Y	FLAG	Estimated wages are too high or too low for the number of estimated workers.
P035	P035.5	ESTIMATED WAGES	Mandatory	Must be 0 if Estimated Workers (P036) is 0	REJECT	If Estimated Workers is equal to 0 then Estimated Wages must also be 0
P036	P036.5	ESTIMATED NUMBER OF WORKERS	Mandatory	P036 Estimated Workers - must be >0 if Estimated Wages (P035) is >0	REJECT	Estimated Workers must be greater than 0 if Estimated Wages is greater than 0
P037	P037.1	ACTUAL WAGES	Conditional	If P039 is not equal to 04 Minimum Premium Policy – Other then Actual wages/Actual Number of Workers (P038) must be greater than \$X and less than \$Y	FLAG	Actual wages are too high or too low for the number of actual workers.
P037	P037.2	ACTUAL WAGES	Conditional	P037 Actual Wages – must be 0 if Actual Workers (P038) is 0	REJECT	If Actual Workers is equal to 0 then Actual Wages must also be 0
P037	P037.3	ACTUAL WAGES	Conditional	P037 Actual Wages P038 Actual Workers – If either Actual Wages or Actual Workers is not null, then the other must also be not null.	REJECT	If Actual Workers is not null then Actual Wages cannot be null

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
P038	P038.5	ACTUAL NUMBER OF WORKERS	Conditional	P038 Actual Workers - must be >0 if Actual Wages (P037) is >0	REJECT	Actual Workers must be greater than 0 if Actual Wages is greater than 0
P039	P039.3	PREMIUM COLLECTION TYPE	Mandatory	Must be a valid code	REJECT	Premium Collection Type entered is invalid
P053	P053.1	INITIAL DEPOSIT PREMIUM CHARGED	Mandatory	Must be greater than or equal to 0	REJECT	Initial Deposit Premium Charged must be greater than or equal to \$0
P041	P041.1	CURRENT ADJUSTED PREMIUM CHARGED	Optional	If entered it must be greater than \$0	REJECT	Current/adjusted Premium Charged was entered but it must be greater than \$0

## 3.2.1 Revalidation

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
P031	P031.11	EFFECTIVE DATE	Mandatory	if the Effective date is changed then check any claims for this policy\coverage record where the date of occurrence is no longer within the coverage period. If any claims are found they need to be rejected based on the rule for C048.4	REVALIDATION	
P032	P032.12	EXPIRY DATE	Mandatory	if the expiry date is changed then check any claims linked to this policy\coverage record where the date of occurrence is no longer within the coverage period. If any claims are found they need to be rejected based on the rule for C048.4	REVALIDATION	

# 3.2.2 Mandatory Rules

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
P028	P028.M	COVERAGE ID	Mandatory	Mandatory field	REJECT	COVERAGE ID is a mandatory field
P029	P029.M	COVERAGE TYPE CODE	Mandatory	Mandatory field	REJECT	COVERAGE TYPE CODE is a mandatory field
P031	P031.M	EFFECTIVE DATE	Mandatory	Mandatory field	REJECT	EFFECTIVE DATE is a mandatory field
P032	P032.M	EXPIRY DATE	Mandatory	Mandatory field	REJECT	EXPIRY DATE is a mandatory field
P035	P035.M	ESTIMATED WAGES	Mandatory	Mandatory field	REJECT	ESTIMATED WAGES is a mandatory field
P036	P036.M	ESTIMATED NUMBER OF WORKERS	Mandatory	Mandatory field	REJECT	ESTIMATED NUMBER OF WORKERS is a mandatory field
P039	P039.M	PREMIUM COLLECTION TYPE	Mandatory	Mandatory field	REJECT	PREMIUM COLLECTION TYPE is a mandatory field
P053	P053.M	INITIAL DEPOSIT PREMIUM CHARGED	Mandatory	Mandatory field	REJECT	INITIAL DEPOSIT PREMIUM CHARGED is a mandatory field

# 3.3 Claim Rules and Validations

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
C001	C001.3	INSURER NUMBER	Mandatory	Must be one of the insurer numbers for an insurer entity	REJECT	Incorrect Insurer Number
C002	C002.3	INSURER CLAIM NUMBER	Mandatory	Must be a unique number for that insurer. This only applies for manual claim creation	REJECT	Claim number already exists
C002	C002.6	INSURER CLAIM NUMBER	Mandatory	If an existing claim has the same date of occurrence (C048) and same Workers Surname (C013) and same Date of Birth (C029) and same Employer ABN (C043)	FLAG	Another Claim Number is already recorded in WorkCover database for this Worker with the same Date of Injury. Check if this is a duplicated claim record.

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
C006	C006.3	POLICY NUMBER	Mandatory	Must be an existing policy number (P003) for the ABN (C043) for that insurer (C001)	REJECT	Policy number does not exist
C007	C007.2	COVERAGE ID	Mandatory	Must be an existing coverage reference for the Policy Number (P003)	REJECT	Coverage reference is not valid for the Policy Number
C008	C008.2	ANZSIC 1993	Conditional	Must be a valid ANZSIC 1993 Code	REJECT	Must be a valid ANZSIC 1993 code
C008	C008.5	ANZSIC 1993	Conditional	If the Effective Date (P031) of the coverage that covers the Date of Occurrence (C048) is on or before 30 June 2014 then this field is mandatory.	REJECT	Because this claim is being linked with policy/coverage with an effective date on or prior to 30 June 2014 an ANZSIC 1993 code is required
C129	C129.1	ANZSIC 2006	Conditional	Must be a valid ANZSIC 2006 Code	REJECT	Must be a valid ANZSIC 2006 code
C129	C129.2	ANZSIC 2006	Conditional	If the Effective Date (P031) of the coverage that covers the Date of Occurrence (C048) is after 30 June 2014 then C129 must = ANZSIC 2006 (P034) for that coverage.	REJECT	ANZSIC entered does not match an ANZSIC code(s) for the policy number/coverage period. This should be an ANZSIC 2006 code
C129	C129.3	ANZSIC 2006	Conditional	If the Effective Date (P031) of the coverage that covers the Date of Occurrence (C048) is after 30 June 2014 then this field is mandatory.	REJECT	Because this claim is being linked with policy/coverage with an effective date on or prior to 30 June 2014 an ANZSIC 2006 code is required
C009	C009.2	SHARED CLAIM CODE	Mandatory	Must be a valid code	REJECT	Shared claim code invalid.
C011	C011.4	REVISED INSURER CLAIM NUMBER	Optional	Must be a unique number for that insurer	REJECT	Claim number already exists
C017	C017.3	WORKER RESIDENTIAL ADDRESS SUBURB	Mandatory	Must match a postal suburb description in Australia Post's Postcode listing	REJECT	Worker residential address suburb entered is not valid

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
C018	C018.3	WORKER RESIDENTIAL ADDRESS STATE/TERRITORY	Mandatory	Must be a valid code	REJECT	Worker residential state/territory code entered is not valid
C019	C019.3	WORKER RESIDENTIAL ADDRESS POSTCODE	Mandatory	Must be a valid postcode for C017	REJECT	Worker residential address postcode entered is not valid for the suburb selected
C019	C019.4	WORKER RESIDENTIAL ADDRESS POSTCODE	Mandatory	If the Worker Residential Address State Territory (C018) = "OTH" Postcode must equal 0099	REJECT	The Worker Residential Address State Territory is equal to "OTH" therefore the Worker Residential Address Postcode must equal 0099
C022	C022.2	WORKER POSTAL ADDRESS SUBURB	Mandatory	Must match a postal suburb description in Australia Post's Postcode listing	REJECT	Worker postal suburb entered is not valid
C023	C023.2	WORKER POSTAL ADDRESS STATE/TERRITORY	Mandatory	Must be a valid code	REJECT	Worker postal state/territory entered is not valid
C024	C024.2	WORKER POSTAL ADDRESS POSTCODE	Mandatory	Must be a valid postcode for C022	REJECT	Worker postal postcode entered is not valid for the suburb selected
C024	C024.3	WORKER POSTAL ADDRESS POSTCODE	Mandatory	If the Worker Postal Address State Territory (C023) = "OTH" Postcode must equal 0099	REJECT	The Worker Postal Address State Territory is equal to "OTH" therefore the Worker Postal Address Postcode must equal 0099
C025	C025.1	WORKER HOME PHONE NUMBER	Conditional	One of the worker phone fields – C025, C026 or C027 must contain a value	REJECT	At least one phone number must be supplied

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
C029	C029.2	WORKER DATE OF BIRTH	Optional	If supplied age must be between 15 and 70 inclusive as at the Date of Occurrence (C048). Run this rule whenever Date of Occurrence or Date of Birth is updated	FLAG	The workers age is outside the normal age range.
C030	C030.3	WORKER GENDER	Optional	Must be a valid code	REJECT	Workers gender entered is not valid
C031	C031.2	WORKER PREFERRED LANGUAGE	Mandatory	Must be a valid code	REJECT	Workers preferred language entered is not valid
C124	C124.2	WORKER DEPENDANTS	Conditional	If Extent of Incapacity Code (C055) is equal to 01 cannot be NULL	REJECT	The Extent of Incapacity indicates Death this field cannot be blank
C032	C032.4	DUTY STATUS CODE	Mandatory	Must be a valid code	REJECT	Duty status code entered is not valid
C033	C033.3	EMPLOYMENT STATUS CODE	Mandatory	Must be a valid code	REJECT	Employment status code entered is not valid
C034	C034.3	EMPLOYMENT TYPE CODE	Mandatory	Must be a valid code	REJECT	Employment type code entered is not valid
C035	C035.3	FULL/PART TIME CODE	Mandatory	Must be a valid code	REJECT	Full/part time code entered is not valid
C037	C037.3	WORKER OCCUPATION CODE	Mandatory	Must be a valid code	REJECT	Workers occupation code entered is not valid
C038	C038.3	HOURS WORKED PER DAY	Mandatory	Must be greater than 0 and less than or equal to 24	REJECT	Hours worked per day are outside the range of greater than 0 and less than or equal to 24
C039	C039.3	HOURS WORKED PER WEEK	Mandatory	Must be greater than 0 and less than or equal to 168	REJECT	Hours worked per week must be greater than 0 and less than or equal to 168 hours
C039	C039.4	HOURS WORKED PER WEEK	Mandatory	Must be greater than or equal to Hours worked per day (C037)	REJECT	Hours worked per week is less than the hours worked per day

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
C039	C039.5	HOURS WORKED PER WEEK	Mandatory	If the hours worked per week is greater than 70.	FLAG	Hours worked per week are greater than 70 hours
C040	C040.3	NORMAL WEEKLY EARNINGS	Mandatory	If below a minimum(x) or above a maximum figure (y)	FLAG	Normal weekly earnings is outside threshold values
C041	C041.2	ORDINARY TIME RATE OF PAY PER WEEK	Optional	If below a minimum(x) or above a maximum figure (y)	FLAG	Ordinary time rate of pay per week is outside threshold values
C042	C042.3	DATE WORKER STARTED EMPLOYMENT	Mandatory	Must be less than or equal to the date of occurrence (C048)	REJECT	Date worker started employment must be less than or equal to the date of occurrence
C043	C043.3	EMPLOYER ABN	Mandatory	Must be an existing ABN for the policy number (P003)	REJECT	Employer ABN does not match the employer ABN of the policy
C048	C048.4	DATE OF OCCURRENCE	Mandatory	Date of Occurrence must be within a valid coverage period for the policy Number (P003) that has a matching ANZSIC code (pre 1 Jul 2014 - 1993 post use 2006 ANZSIC)	REJECT	Date of Occurrence falls outside the coverage period for this policy
C049	C049.3	DATE INSURER NOTIFIED OF INJURY	Mandatory	Must be greater than or equal to date of occurrence (C048)	REJECT	Date insurer notified of injury must be greater than or equal to the date of occurrence
C050	C050.3	DATE CLAIM RECEIVED BY EMPLOYER	Mandatory	Must be greater than or equal to date of occurrence(C048)	REJECT	Date claim received by employer must be greater than or equal to the date of occurrence
C051	C051.3	DATE MEDICAL CERTIFICATE FIRST RECEIVED BY EMPLOYER	Mandatory	Must be greater than or equal to date of occurrence (C048)	REJECT	Date medical certificate first received by employer must be greater than or equal to the date of occurrence

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
C052	C052.3	DATE INSURER NOTIFIED OF CLAIM	Mandatory	Must be greater than or equal to the date insurer notified of injury (CO49)	REJECT	Date insurer notified of claim must be greater than or equal to the date insurer notified of injury
C053	C053.3	DATE CLAIM RECEIVED BY INSURER	Mandatory	Must be greater than or equal to the date insurer notified of claim (C052)	REJECT	Date claim received by insurer must be greater than or equal to the Date insurer notified of claim
C055	C055.3	EXTENT OF INCAPACITY CODE	Mandatory	Must be a valid code	REJECT	Extent of incapacity code entered is not valid
C056	C056.2	DATE OF DEATH	Conditional	Must be greater than or equal to the date of occurrence (C048)	REJECT	Date of death must be greater than or equal to the date of occurrence
C056	C056.3	DATE OF DEATH	Conditional	Extent of Incapacity Code (C055) must equal 01 Death	REJECT	To enter a Date of Death the Extent of Incapacity Code must be 01 - Death
C057	C057.2	DATE CLAIM FINALISED	Optional	Must be greater than or equal to the date claim received by insurer (C053)	REJECT	Date claim finalised must be greater than or equal to the date claim received by insurer
C058	C058.2	DATE OF RECURRENCE	Optional	Must be greater than or equal to the date of occurrence (C048)	REJECT	Date of recurrrence must be greater than or equal to the date of occurrence
C059	C059.2	DATE REOPENED	Conditional	This field can only be populated if Date Claim Finalised (C057) is not null	REJECT	You cannot re-open a claim that has not been previously finalised
C061	C061.3	CLAIM STATUS DATE	Mandatory	Must be greater than or equal to the date of occurrence (C048)	REJECT	Claim status date must be greater than or equal to the date of occurrence
C061	C061.4	CLAIM STATUS DATE	Mandatory	Must be greater than or equal to the last recorded claim status date	REJECT	Claim status date must be greater than or equal to the last recorded claim status date

Data	Rule				Record State when	
Element	No	Field Name	Condition	Rule	fail	Error Message
C062	C062.3	CLAIM STATUS CODE	Mandatory	Must be a valid code	REJECT	The Claim status code entered is not valid
C063	C063.1	COMMON LAW INVOLVEMENT	Mandatory	Must be a valid code	REJECT	Common law involvement code entered is not valid
C064	C064.1	COMMON LAW OUTCOME	Mandatory	Must be a valid code	REJECT	Common law outcome code entered is not valid
C065	C065.1	COMMON LAW PROVISION	Conditional	Enter a value in whole dollars only	REJECT	Common Law provision must be entered in whole dollars only
C065	C065.2	COMMON LAW PROVISION	Conditional	If Common Law Involvement (C063) = 01 or 02 or 03 then this field must not be blank	REJECT	Common Law involvement has been indicated so a provision must be entered
C066	C066.3	WORKPLACE ANZSIC 1993	Conditional	Must be a valid ANZSIC 1993 code	REJECT	The workplace industry code entered is not valid. An ANZSIC 1993 Workplace code is required
C066	C066.5	WORKPLACE ANZSIC 1993	Conditional	If the Date of Occurrence (C048) is less than or equal to 30 June 2014 then this field is Mandatory	REJECT	Because this claim has an occurrence date on or prior to 30 June 2014 an ANZSIC 1993 Workplace code is required
C128	C128.1	WORKPLACE ANZSIC 2006	Optional	Must be a valid ANZSIC 2006 code	REJECT	The workplace industry code entered is not valid. An ANZSIC 2006 Workplace code is required
C128	C128.2	WORKPLACE ANZSIC 2006	Optional	If the Date of Occurrence (C048) is greater then 30 June 2014 then this field is Mandatory	REJECT	Because this claim has an occurrence date after 30 June 2014 an ANZSIC 2006 Workplace code is required
C069	C069.3	WORKPLACE ADDRESS SUBURB	Mandatory	Must match a postal suburb description in Australia Post's Postcode listing	REJECT	The workplace of injury suburb is not valid

Data	Rule				Record State when	
Element	No	Field Name	Condition	Rule	fail	Error Message
C070	C070.3	WORKPLACE ADDRESS STATE/TERRITORY	Mandatory	Must be a valid code	REJECT	The postcode of the workplace of the injury entered is not valid
C071	C071.3	WORKPLACE ADDRESS POSTCODE	Mandatory	Must be a valid postcode for C069	REJECT	The state/territory of the workplace of the injury entered is not valid
C071	C071.4	WORKPLACE ADDRESS POSTCODE	Mandatory	If the Workplace Address State Territory (C070) = "OFF" Postcode must equal 7999	REJECT	The Workplace of Injury Address State/Territory is equal to "OFF" therefore the Worker Postal Address Postcode must equal 7999
C073	C073.3	MECHANISM OF INJURY/DISEASE CODE	Mandatory	Must be a valid Mechanism of injury/disease code	REJECT	Mechanism of injury/disease entered is not a valid code
C073	C073.7	MECHANISM OF INJURY/DISEASE CODE	Mandatory	Where the Nature Of Injury Code (C077)is in the defined list, the Mechanism can only be one of the defined values	REJECT	The combination of Nature of Injury and Mechansim of Injury is Invalid
C074	C074.3	AGENCY OF INJURY/DISEASE CODE	Mandatory	Must be a valid Agency of injury/disease code	REJECT	Agency of injury/disease code entered is not a valid code
C075	C075.3	BREAKDOWN AGENCY CODE	Mandatory	Must be a valid breakdown agency code	REJECT	Breakdown agency code entered is not a valid code
C077	C077.3	NATURE OF INJURY/DISEASE CODE	Mandatory	Must be a valid Nature of injury/disease code	REJECT	Nature of injury/ disease code entered is not a valid code
C079	C079.3	BODILY LOCATION OF INJURY/DISEASE CODE	Mandatory	Must be a valid Bodily location of injury/disease code	REJECT	Bodily location of injury/disease code entered is not a valid code

					Record State	
Data Element	Rule No	Field Name	Condition	Rule	when fail	Error Message
C079	C079.4	BODILY LOCATION OF INJURY/DISEASE CODE	Mandatory	Where the Nature Of Injury Code (C077)is in the defined list, the Bodily Location can only be one of the defined values	REJECT	The combination of Nature of Injury and Bodily Location is Invalid
C083	C083.3	DATE OF MEDICAL CERTIFICATE	Conditional	Must be greater than or equal to the date of the last medical certificate recorded	FLAG	Date of medical certificate is prior to a previously recorded certificate
C131	C131.1	MEDICAL CERTIFICATE ID	Conditional	Must be unique for each Insurer	REJECT	Medical Certificate ID is not unique
C085	C085.4	CAPACITY TO WORK AT MEDICAL CERTIFICATE	Conditional	Must be a valid code	REJECT	Capacity to work at medical certificate is not a valid code
C086	C086.7	DATE WORK STATUS CHANGED	Conditional	Must be greater than or equal to all previous date work status changed dates for this claim	REJECT	This Date must be greater than or equal to previous changes to this claims work status
C087	C087.3	WORK STATUS	Conditional	Must be a valid code	REJECT	Work Status code entered is not valid
C130	C130.1	WORK STATUS UPDATE ID	Conditional	Must be unique for each Insurer	REJECT	Work Status Update ID is not unique
C088	C088.3	RETURN TO WORK PLAN STATUS	Mandatory	Must be a valid code	REJECT	Return to work program status entered is not valid
C088	C088.4	RETURN TO WORK PLAN STATUS	Mandatory	If Insurer is a self insurer, Return to Work Program status cannot equal "09"	REJECT	RTW Program Status should not be 09 - Unknown for a self-insurer.
C089	C089.3	RETURN TO WORK PLAN GOAL/OUTCOME	Mandatory	Must be a valid code	REJECT	Return to work program goal/outcome entered is not valid
C089	C089.4	RETURN TO WORK PLAN GOAL/OUTCOME	Mandatory	If Return to Work Program Status is (C088) equal to "00" then Return to Work Goal/Outcome must be equal to "00".	REJECT	RTW Program Status is inconsistent with RTW Program Goal/Outcome.

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
C090	C090.3	INJURY MANAGEMENT PLAN STATUS	Mandatory	Must be a valid code	REJECT	Injury Management Plan status entered is not valid
C091	C091.3	WHOLE PERSON IMPAIRMENT TYPE	Mandatory	Must be a valid code	REJECT	Whole person impairment type entered is not valid, must be 00, 01, 02 or 03
C092	C092.2	WHOLE PERSON IMPAIRMENT PERCENTAGE	Conditional	If whole person impairment type (C091) is not equal to 00 then a value must be between 1 and 100 (inclusive)	REJECT	Whole person impairment percentage is required as whole person impairment type is not equal to "00" - Nil
C092	C092.3	WHOLE PERSON IMPAIRMENT PERCENTAGE	Conditional	If whole person impairment type (C091) is equal to 00 then value entered must be 0	REJECT	Whole person impairment type is entered as Nil, therefore Wholeperson Impairment Percentage must be 0
C093	C093.2	DATE OF DETERMINATION	Conditional	If whole person impairment type (C091) is not equal to 00 then a date of determination must be entered	REJECT	Whole person impairment type is not equal to "00" - Nil therefore a date of determination is required
C093	C093.3	DATE OF DETERMINATION	Conditional	Must be greater than equal to date of occurrence (C048)	REJECT	The date of determination of impairment is prior to the date of occurrence
C094	C094.2	PERCENTAGE OF DEAFNESS	Conditional	If whole person impairment type (C091) is equal to 02 then a number between 0 and 100 (inclusive) is required	REJECT	Whole person impairment type is equal to 02 it cannot be blank, a value between 0 and 100 imust be entered
C094	C094.3	PERCENTAGE OF DEAFNESS	Conditional	If whole person impairment type (C091) is equal to 02 then percentage of deafness should be greater than or equal to whole person impairment percentage (C092)	REJECT	The WPI percentage must be less than or equal to the deafness percentage

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
C094	C094.4	PERCENTAGE OF DEAFNESS	Conditional	If whole person impairment type (C091) is not equal to 02 then this must be NULL	REJECT	Percentage of Deafness can only be populated when Whole person impairment type is equal to "02"
C097	C097.3	TOTAL TIME LOST ESTIMATED	Mandatory	If Works Status (C087) is not equal to 01, 05, 06 or 09 then it must be greater than 0	REJECT	An estimate of time lost is required

# 3.3.1 Claims Mandatory Rules

Data Elemen t	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
C002	C002.M	INSURER CLAIM NUMBER	Mandatory	Mandatory field	REJECT	INSURER CLAIM NUMBER is a mandatory field
C009	C009.M	SHARED CLAIM CODE	Mandatory	Mandatory field	REJECT	SHARED CLAIM CODE is a mandatory field
C012	C012.M	WORKER TITLE	Mandatory	Mandatory field	REJECT	WORKER TITLE is a mandatory field
C013	C013.M	WORKER SURNAME	Mandatory	Mandatory field	REJECT	WORKER SURNAME is a mandatory field
C014	C014.M	WORKER GIVEN NAMES	Mandatory	Mandatory field	REJECT	WORKER GIVEN NAMES is a mandatory field
C031	C031.M	WORKER PREFERRED LANGUAGE	Mandatory	Mandatory field	REJECT	WORKER PREFERRED LANGUAGE is a mandatory field
C032	C032.M	DUTY STATUS CODE	Mandatory	Mandatory field	REJECT	DUTY STATUS CODE is a mandatory field
C033	C033.M	EMPLOYMENT STATUS CODE	Mandatory	Mandatory field	REJECT	EMPLOYMENT STATUS CODE is a mandatory field
C034	C034.M	EMPLOYMENT TYPE CODE	Mandatory	Mandatory field	REJECT	EMPLOYMENT TYPE CODE is a mandatory field
C035	C035.M	FULL/PART TIME CODE	Mandatory	Mandatory field	REJECT	FULL/PART TIME CODE is a mandatory field
C038	C038.M	HOURS WORKED PER DAY	Mandatory	Mandatory field	REJECT	HOURS WORKED PER DAY is a mandatory field
C039	C039.M	HOURS WORKED PER WEEK	Mandatory	Mandatory field	REJECT	HOURS WORKED PER WEEK is a mandatory field
C040	C040.M	NORMAL WEEKLY EARNINGS	Mandatory	Mandatory field	REJECT	NORMAL WEEKLY EARNINGS is a mandatory field

Data Elemen t	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
C042	C042.M	DATE WORKER STARTED EMPLOYMENT	Mandatory	Mandatory field	REJECT	DATE WORKER STARTED EMPLOYMENT is a mandatory field
C044	C044.M	EMPLOYER TRADING NAME	Mandatory	Mandatory field	REJECT	EMPLOYER TRADING NAME is a mandatory field
C045	C045.M	EMPLOYER CONTACT NAME	Mandatory	Mandatory field	REJECT	EMPLOYER CONTACT NAME is a mandatory field
C047	C047.M	EMPLOYER CONTACT PHONE NUMBER	Mandatory	Mandatory field	REJECT	EMPLOYER CONTACT PHONE NUMBER is a mandatory field
C048	C048.M	DATE OF OCCURRENCE	Mandatory	Mandatory field	REJECT	DATE OF OCCURRENCE is a mandatory field
C049	C049.M	DATE INSURER NOTIFIED OF INJURY	Mandatory	Mandatory field	REJECT	DATE INSURER NOTIFIED OF INJURY is a mandatory field
C050	C050.M	DATE CLAIM RECEIVED BY EMPLOYER	Mandatory	Mandatory field	REJECT	DATE CLAIM RECEIVED BY EMPLOYER is a mandatory field
C051	C051.M	DATE MEDICAL CERTIFICATE FIRST RECEIVED BY EMPLOYER	Mandatory	Mandatory field	REJECT	DATE MEDICAL CERTIFICATE FIRST RECEIVED BY EMPLOYER is a mandatory field
C052	C052.M	DATE INSURER NOTIFIED OF CLAIM	Mandatory	Mandatory field	REJECT	DATE INSURER NOTIFIED OF CLAIM is a mandatory field
C053	C053.M	DATE CLAIM RECEIVED BY INSURER	Mandatory	Mandatory field	REJECT	DATE CLAIM RECEIVED BY INSURER is a mandatory field
C055	C055.M	EXTENT OF INCAPACITY CODE	Mandatory	Mandatory field	REJECT	EXTENT OF INCAPACITY CODE is a mandatory field
C061	C061.M	CLAIM STATUS DATE	Mandatory	Mandatory field	REJECT	CLAIM STATUS DATE is a mandatory field
C062	C062.M	CLAIM STATUS CODE	Mandatory	Mandatory field	REJECT	CLAIM STATUS CODE is a mandatory field
C063	C063.M	COMMON LAW INVOLVEMENT	Mandatory	Mandatory field	REJECT	COMMON LAW INVOLVEMENT is a mandatory field
C064	C064.M	COMMON LAW OUTCOME	Mandatory	Mandatory field	REJECT	COMMON LAW OUTCOME is a mandatory field
C072	C072.M	INCIDENT DESRCIPTION NARRATIVE	Mandatory	Mandatory field	REJECT	INCIDENT DESRCIPTION NARRATIVE is a mandatory field

Data Elemen t	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
C088	C088.M	RETURN TO WORK PROGRAM STATUS	Mandatory	Mandatory field	REJECT	RETURN TO WORK PROGRAM STATUS is a mandatory field
C089	C089.M	RETURN TO WORK PROGRAM GOAL/OUTCOME	Mandatory	Mandatory field	REJECT	RETURN TO WORK PROGRAM GOAL/OUTCOME is a mandatory field
C091	C091.M	WHOLE PERSON IMPAIRMENT TYPE	Mandatory	Mandatory field	REJECT	WHOLE PERSON IMPAIRMENT TYPE is a mandatory field
C095	C095.M	TOTAL PAYMENTS ESTIMATED	Mandatory	Mandatory field	REJECT	TOTAL PAYMENTS ESTIMATED is a mandatory field
C097	C097.M	TOTAL TIME LOST ESTIMATED	Mandatory	Mandatory field	REJECT	TOTAL TIME LOST ESTIMATED is a mandatory field

# 3.4 Payment Rules and Validations

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
C096	C096.3	TOTAL PAYMENTS ACTUAL	Mandatory	Total Payments Actual must equal sum(all payments for claim) plus or minus X%	FLAG	Total payments actual does not match the sum of all individual payments made against the claim
C098	C098.2	TOTAL TIME LOST ACTUAL	Conditional	Total Lost Time Actual must equal sum(all payments for claim) plus or minus X%	FLAG	Total lost time actual does not match the sum of all individual lost time entries made against the claim
C100	C100.3	PAYMENT TYPE CODE	Mandatory	Must be a valid code	REJECT	Payment type code entered is not valid
C100	C100.4	PAYMENT TYPE CODE	Mandatory	If Payment Type code is equal to 12 Redemption payment then date of occurrence (C048) must be equal to or greater than 1 July 2001	REJECT	Redemption payments are only valid for claims occurring after 1 July 2001

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
C100	C100.7	PAYMENT TYPE CODE	Mandatory	If Payment Type code is equal to 11 Permanent Impairment Payment then Whole Person Impairment (C092) must be greater than 0	REJECT	Specific Injury Payment supplied but no Whole person Impairment Percentage has been entered
C100	C100.8	PAYMENT TYPE CODE	Mandatory	If Payment Type code is equal to 10 Common Law then if date of occurrence (C048) is between 1 July 2001 and 30 June 2010 inclusive then Whole Person Impairment Percentage (C092) must be 30% or greater	REJECT	Common Law payments must have a corresponding Whole Person Impairment Percentage of 30% or more
C100	C100.9	PAYMENT TYPE CODE	Mandatory	If Payment Type code is equal to 10 Common Law then if date of occurrence (C048) is equal to or greater than 1 July 2010 then Whole Person Impairment Percentage (C092) must be 20% or greater	REJECT	Common Law payments must have a corresponding Whole Person Impairment Percentage of 20% or more
C100	C100.10	PAYMENT TYPE CODE	Mandatory	If Payment Type code is equal to 02 Fatal Weekly payment then Extent of Incapacity Code (C055) must be 01	REJECT	For a Fatal type payment to be made the Extent of Incapacity Code must be 01 - Death
C100	C100.11	PAYMENT TYPE CODE	Mandatory	If Payment Type code is equal to 03 Fatal Lump Sum payment then Extent of Incapacity Code (C055) must be 1	REJECT	For a Fatal type payment to be made the Extent of Incapacity Code must be 01 - Death
C100	C100.12	PAYMENT TYPE CODE	Mandatory	If Payment Type Code is equal to 13 Negotiated Settlement then date of occurrence (CO48) must be less than or equal to 30 June 2010	REJECT	Negotiated Settlement payments are only valid for claims occurring before 1 July 2010
C100	C100.14	PAYMENT TYPE CODE	Mandatory	If Payment Type code is equal to 10 Common Law then Common Law Involvement (C063) must equal 03 and Common Law Outcome (C064) must = 02 or 03	REJECT	A common Law payment has been made but there is no common law involvement indicated and/or the common law outcome does not match

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
C101	C101.2	WEEKLY PAYMENT CODE	Conditional	If Payment Type code is equal to 01 Weekly Payment then a valid "Weekly payment adjustment code" must be selected	REJECT	Weekly payment adjustment code is required as this is a weekly payment
C102	C102.2	TIME LOST	Conditional	If Payment Type Code (C100) is equal to 01 and Weekly Payment Adjustment Code is equal to 01 or 02 then a time lost value greater than 0 must be entered.	REJECT	Time lost value is required as the Payment Type code is equal to weekly payment
C102	C102.3	TIME LOST	Conditional	If Payment Type code (C100) is equal to 01 and Weekly Payment Adjustment Code (C101) is equal to 03 then the time lost value must be 0.	REJECT	Time lost value is not required for make up payments
C102	C102.6	TIME LOST	Conditional	If Payment Type code (C100) is not equal to 01 Weekly Payment, Time Lost must be 0	REJECT	Payment Type is not Weekly Payments therefore Time Lost must be 0.
C103	C103.2	DATE PAID FROM	Conditional	The Date Paid From (C103) must be equal to or greater than the Date of Occurrence (C048)	REJECT	Date Paid From must be equal to or greater than the Date of occurrence
C103	C103.3	DATE PAID FROM	Conditional	If Payment Type code is equal to 01 Weekly Payment then a date paid from must be entered	REJECT	Date paid from is required as the Payment Type code is equal to weekly payment
C103	C103.4	DATE PAID FROM	Conditional	If Payment Type code is not equal to 01 Weekly Payment then a date paid from must be blank	REJECT	Date Paid from is invalid unless Payment Type code is equal to weekly payment
C104	C104.2	DATE PAID TO	Conditional	If Payment Type code is equal to 01 Weekly Payment then a date paid to must be entered	REJECT	Date Paid to is required as the Payment Type code is equal to weekly payment
C104	C104.3	DATE PAID TO	Conditional	The Date Paid To must be greater than or equal to the Date Paid From (C103)	REJECT	Date Paid To must be later than Date Paid From
C104	C104.4	DATE PAID TO	Conditional	The Date Paid To must be equal to or greater than the Date of Occurrence (C048)	REJECT	Date Paid To must be equal to or greater than the Date of occurrence

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
C104	C104.5	DATE PAID TO	Conditional	If Payment Type code is not equal to 01 Weekly Payment then a date paid to must be blank	REJECT	Date Paid to is invalid unless Payment Type code is equal to weekly payment
C105	C105.3	PAYMENT AMOUNT	Conditional	If Payment Type code is equal to 01 Weekly Payment and Weekly Payment Code is equal to 01 Weekly Payment then the Payment Amount (C105) /Time Lost (C102) must not be more than x% lower or y% higher than the (highest of either Ordinary Time Rate (C041)/ Hours worked per week (C039) or Normal weekly earnings (C040) / Hours worked per week (C039)	REJECT	Weekly payment is outside the parameters relative to earnings, hours worked and lost time.
C106	C106.3	TRANSACTION DATE	Mandatory	Transaction Date must not be prior to the Date of Occurrence	REJECT	Transaction Date is the prior to the Date of Occurrence
C107	C107.2	TRANSACTION TYPE CODE	Mandatory	Must be a valid code	REJECT	Transaction type code is required - default to PT for Payment
C109	C109.1	PAYMENT CONTEXT	Mandatory	Must be a valid code	REJECT	Payment context code is not valid
C110	C110.3	PAYMENT SOURCE	Mandatory	Must be a valid code	REJECT	Payment source code entered is not valid
C112	C112.2	SERVICE CODE	Conditional	If Payment Type Code (C100) is equal to [05, 06, 08,09] a service code is required, cannot be NULL	REJECT	Payment type code is equal to [payment type code desc] and therefore a service code is required.
C113	C113.2	SERVICE DATE	Conditional	If Payment Type Code (C100) is equal to [05, 06, 07, 08, 09] a service date is required.	REJECT	[Payment Type Code Desc] requires a service date
C113	C113.6	SERVICE DATE	Conditional	C113 Service Date must be greater than or equal to the (C048) Date of Occurrence	REJECT	Service Date is less the Date of Occurrence for the claim
C113	C113.7	SERVICE DATE	Conditional	If C057 Date Claim Finalised is >C059 Date Reopened or C059 Date Reopened is null then C113 Service Date must be <= C057 Date Claim Finalised.	REJECT	Service Date is greater than the Date Claim Finalised for the claim

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
C113	C113.8	SERVICE DATE	Conditional	C113 Service Date must be less than or	REJECT	Service Date is greater than the
				equal to transaction date		transaction date for the payment

# 3.4.1 Payment Mandatory Rules

Data Element	Rule No	Field Name	Condition	Rule	Record State when fail	Error Message
C099	C099.M	INSURER PAYMENT ID	Mandatory	Mandatory field	REJECT	INSURER PAYMENT ID is a mandatory field
C100	C100.M	PAYMENT TYPE CODE	Mandatory	Mandatory field	REJECT	PAYMENT TYPE CODE is a mandatory field
C106	C106.M	TRANSACTION DATE	Mandatory	Mandatory field	REJECT	TRANSACTION DATE is a mandatory field
C107	C107.M	TRANSACTION TYPE CODE	Mandatory	Mandatory field	REJECT	TRANSACTION TYPE CODE is a mandatory field
C110	C110.M	PAYMENT SOURCE	Mandatory	Mandatory field	REJECT	PAYMENT SOURCE is a mandatory field
C105	C105.M	PAYMENT AMOUNT	Mandatory	Mandatory field	REJECT	PAYMENT AMOUNT is a mandatory field

# 4 Insurer Numbers

# List of Licensed and Self Insurers

The list below includes both Licensed and Self Insurers for all privately underwritten states. It also includes:

- Insurers that have previously held licenses or permits and are still submitting data.
- Insurers that are required for data migration purposes and therefore an insurer may be listed more than once.

NO	NAME
125	ALCOA WORLD ALUMINA - AUSTRALIA LTD
061	ALLIANZ AUSTRALIA INSURANCE LTD
020	AMERICAN HOME ASSURANCE
001	AMP FIRE & GENERAL INSURANCE
002	AMP FIRE & GENERAL INSURANCE
193	APPM – PAPER HOUSE
194	APPM – WESLEY VALE (PAPER DIV)
127	AUSTRALIA AND NEW ZEALAND BANKING GROUP LIMITED
195	AUSTRALIAN NEWSPRINT MILLS
181	AUSWEST TIMBERS PTY LTD
162	BANK OF WESTERN AUSTRALIA LTD
141	BHP BILLITON LTD
196	BLUE RIBBON MEAT PRODUCTS
168	BLUESCOPE STEEL LIMITED
197	BLUNDSTONE
132	BP AUSTRALIA GROUP PTY LTD
198	BRAMBLES (SHIPPING)
155	BRAMBLES LTD
157	BRISTILE HOLDINGS PTY LTD
188	CATHOLIC CHURCH
013	CATHOLIC CHURCH INSURANCES LTD
004	CGU AUSTRALIA
199	CHUBB SECURITY HOLDINGS PTY LTD
017	CIC
183	CITY GROUP PTY LTD
135	COCKBURN CEMENT LTD
161	COLES GROUP LTD
200	COLONIAL MUTUAL LIFE ASS
201	COMMONWEALTH BANK OF AUSTRALIA
164	COMPETITIVE FOODS AUSTRALIA PTY LTD

NO	NAME
138	CSR LTD
110	DEFAULT INSURANCE FUND
203	EMU BAY RAILWAY COMPANY
005	FAI GENERAL INSURANCE
006	FAI TRADERS
202	FAL RUN OFF
165	FLETCHER BUILDING AUSTRALIA LTD
175	FORESTRY TASMANIA
059	GIO GENERAL LTD
024	GUILD INSURANCE LTD
184	GUNNS FOREST PRODUCTS PTY LTD
014	HIH INSURANCE
169	HOLCIM (AUSTRALIA) HOLDINGS PTY LTD
204	HYDRO ELECTRIC COMMISSION
140	IDAMENEO LTD
158	INGHAMS ENTERPRISES PTY LTD
046	INSURANCE AUST. LTD T/AS CGU WORKERS COMPENSATION
060	INSURANCE COMMISSION OF WA
159	ISS FACILITY SERVICES AUSTRALIA LIMITED
205	JOHN LYSAGHT INDUSTRIES (BHP STEEL)
185	KRAFT FOODS AUSTRALIA PTY LTD
152	LGIS WORKCARE
206	MACMAHON UNDERGROUND
009	MERCANTILE MUTUAL INSURANCE
154	METCASH TRADING LIMITED
186	MMG AUSTRALIA LIMITED
207	MOBIL OIL AUSTRALIA
208	MOUNT LYELL
156	MRS MACS PTY LTD
171	MYER HOLDINGS LTD
209	NATIONAL AUSTRALIA BANK
210	NATIONAL FOOD MILK TAS
010	NORWICH WINTERTHUR
167	NYRSTAR HOBART PTY LTD
015	NZI INSURANCE
160	ONESTEEL LTD
192	PAPERLINX

NO	NAME
211	PORT WARATAH STEVEDORING
042	QBE INSURANCE AUSTRALIA LTD
212	RENISON
187	RINKER GROUP LIMITED
190	RIO TINTO ALUMINIUM BELL BAY LIMITED
163	ST JOHN OF GOD HEALTH CARE INC
012	SWITZERLAND
179	TASMANIA STATE SERVICE
182	TASMANIAN ELECTRO METALLURGICAL CO PTY LTD
075	TGIO LIMITED
166	THE SMITHS SNACKFOOD COMPANY LTD
213	UNION SHIPPING
189	UNIVERSITY OF NEW SOUTH WALES
115	VACC INSURANCE LIMITED
016	VERO INSURANCE LTD
047	VERO INSURANCE LTD T/AS VERO WORKERS COMPENSATION
214	WESFARMERS BUNNINGS LTD
215	WESFARMERS CSBP LTD
172	WESFARMERS LTD
056	WESFARMERS GENERAL INSURANCE LTD
143	WESTPAC BANKING CORPORATION
144	WOODSIDE ENERGY LTD
146	WOOLWORTHS LIMITED
216	ZINIFEX AUSTRALIA LTD (ROSEBERY)
022	ZURICH AUSTRALIAN INSURANCE LTD

# 5 ANZSIC 1993 and 2006 - Explanation of coding

Following consultation with and feedback from insurers, WorkCover Tasmania has simplified the transition arrangements to ANZSIC 2006.

#### 5.1 Introduction

Tasmania, Western Australia, the Australian Capital Territory and the Insurance Council of Australia have been working toward a National Insurer Data Specification. Whilst not originally in the scope of this work, there has also been an agreement reached regarding a uniform approach to moving from the ANZSIC 1993 industry classification to the ANZSIC 2006 industry classification within those privately underwritten schemes. The approach to be used will be based on a period of dual coding. WorkCover Tasmania will also begin collecting an additional field - industry of workplace — to align us with National reporting requirements.

## 5.2 Coding the Industry of Employer and Industry of Workplace

Currently WorkCover Tasmania collects only the industry of the employer information. This information is collected through the new policies and policy renewal processes and is currently classified using ANZSIC 1993. The industry of the employer information is also collected on the workers compensation claim form. This acts as a way of ensuring the claim is matched to the correct policy and coverage.

This industry of the employer information is used as the basis for all matters related to premiums – suggested rates, filed rates and actual rates.

As of July 2012 WorkCover Tasmania will be collecting the industry of workplace on the workers compensation claim form. This field should be used to classify the industry of the workplace where the incident occurred. This may or may not be the same as the industry of the employer. This information will be used to analyse workplace health and safety.

# 5.3 Dual coding approach

The table below summarises the basic approach that will be used starting July 2012 and continuing through to 2014 and onwards:

Year	Business Process
2012 - 2013	ANZSIC 1993 (plus optional supply of ANZSIC 2006)
2013 - 2014	Dual Code ANZSIC 1993 and ANZSIC 2006
2014 - 2015 onwards	ANZSIC 2006

# 5.4 Industry of Employer code and Industry of the Workplace to be coded separately

## 5.4.1 Industry of Employer code

The Industry of Employer at the policy/coverage level and the related Industry of Employer collected at the claim level are to be coded as ANZSIC 1993 or ANZSIC 2006 (or dual coded) based on the *effective date* of the policy/coverage.

Year	Business Process
2012 - 2013	The Industry of Employer data for policies with an effective date in this period (and claims linked to such policies) must be coded as ANZSIC 1993 plus optional supply of ANZSIC 2006.  The ANZSIC 1993 code is the primary code to be used for premium setting/rating.
2013 - 2014	The Industry of Employer data for policies with an effective date in this period (and claims linked to such policies) must be dual coded as ANZSIC 1993 and ANZSIC 2006.  The ANZSIC 1993 code is the primary code to be used for premium setting/rating.
2014 - 2015 onwards	The Industry of Employer data for policies with an effective date in this period (and claims linked to such policies) must be coded as ANZSIC 2006.  The ANZSIC 2006 code is the primary code to be used for premium setting/rating.

#### 5.4.2 Industry of Workplace code

The Industry of Workplace is to be coded based on the *date of occurrence* recorded for the claim.

Year	Business Process
2012-2013	For claims with a date of occurrence in this period code the Industry of Workplace as ANZSIC 1993 plus optional supply of ANZSIC 2006.
2013 - 2014	For claims with a date of occurrence in this period dual code the Industry of Workplace as ANZSIC 1993 and ANZSIC 2006.
2014 - 2015 onwards	For claims with a date of occurrence in this period code the Industry of Workplace as ANZSIC 2006.

## 5.5 Description in more detail:

## **5.5.1** Migration of Data

- The existing industry of employer data recorded in the policy/coverage area and which is coded as ANZSIC 1993 will be migrated into the new WIMS.
- The existing industry of employer data recorded in claim data area and which is coded as ANZSIC 1993 will be migrated into the new WIMS.
- The new the industry of workplace field will be populated with the existing industry of employer data recorded in claim data area and which is coded as ANZSIC 1993 as a proxy.

#### 5.5.2 Submission of data by insurers in the 2012 – 2013 period

#### **Industry of Employer**

- New policies and renewals of policies with effective date from 1 July 2012 to 30 June 2013 must have the industry of employer data supplied in ANZSIC 1993.
- New policies and renewals of policies with effective date from 1 July 2013 to 30 June 2014 must have the industry of employer data supplied in ANZSIC 1993 and ANZSIC 2006.
- Adjustments to policies with effective date from 1 July 2012 to 30 June 2013 must have the industry of employer data supplied in ANZSIC 1993.
- Adjustments to policies with effective date from 1 July 2013 to 30 June 2014 must have the industry of employer data supplied in ANZSIC 1993 and ANZSIC 2006.
- New claims which are related to a coverage with an effective date prior to 1 July 2013 must have the industry of employer data supplied in ANZSIC 1993.
- Adjustments to claims which are related to a coverage with an effective date prior to 1
   July 2013 must have the industry of employer data supplied in ANZSIC 1993.

#### **Industry of Workplace**

- New claims with a date of occurrence prior to 1 July 2013 must have the industry of workplace data supplied in ANZSIC 1993.
- Adjustments to claims with a date of occurrence prior to 1 July 2013 must have the industry of workplace data supplied in ANZSIC 1993.

#### 5.5.3 Submission of data by insurers in the 2013 – 2014 period

#### **Industry of Employer**

- New policies or renewals of policies with effective date from 1 July 2013 to 30 June 2014 must have the industry of employer data supplied in ANZSIC 1993 and ANZSIC 2006.
- New policies or renewals of policies with effective date from 1 July 2014 must have the industry of employer data supplied in ANZSIC 2006.
- Adjustments to policies with an effective date prior to 1 July 2013 must have the industry of employer data supplied in ANZSIC 1993.
- Adjustments to policies with an effective date from 1 July 2013 to 30 June 2014 must have the industry of employer data supplied in ANZSIC 1993 and ANZSIC 2006.

- Adjustments to policies with an effective date from 1 July 2014 must have the industry of employer data supplied in ANZSIC 2006.
- New claims which are related to a coverage with an effective date prior to 1 July 2013 must have the industry of employer data supplied in ANZSIC 1993.
- Adjustments to claims which are related to a coverage with an effective date prior to 1
   July 2013 must have the industry of employer data supplied in ANZSIC 1993.
- New claims which are related to a coverage with an effective date from 1 July 2013 to 30 June 2014 must have the industry of employer data supplied in ANZSIC 1993 and ANZSIC 2006.
- Adjustments to claims which are related to a coverage with an effective date from 1
  July 2013 to 30 June 2014 must have the industry of employer data supplied in ANZSIC
  1993 and ANZSIC 2006.

## **Industry of Workplace**

- New claims with a date of occurrence prior to 1 July 2013 must have the industry of workplace data supplied in ANZSIC 1993.
- Adjustments to claims with a date of occurrence prior to 1 July 2013 must have the industry of workplace data supplied in ANZSIC 1993.
- New claims with a date of occurrence from 1 July 2013 to 30 June 2014 must have the industry of workplace data supplied in ANZSIC 1993 and ANZSIC 2006.
- Adjustments to claims with a date of occurrence from 1 July 2013 to 30 June 2014 must have the industry of workplace data supplied in ANZSIC 1993 and ANZSIC 2006.

#### 5.5.4 Submission of data by insurers in the 2014 – 2015 period and onwards

#### *Industry of Employer*

- New policies or renewals of policies with effective date from 1 July 2014 must have the industry of employer data supplied in ANZSIC 2006.
- Adjustments to policies with an effective date prior to 1 July 2013 must have the industry of employer data supplied in ANZSIC 1993.
- Adjustments to policies with an effective date from 1 July 2013 to 30 June 2014 must have the industry of employer data supplied in ANZSIC 1993 and ANZSIC 2006.
- Adjustments to policies with an effective date from 1 July 2014 must have the industry of employer data supplied in ANZSIC 2006.
- New claims which are related to a coverage with an effective date prior to 1 July 2013 must have the industry of employer data supplied in ANZSIC 1993.
- Adjustments to claims which are related to a coverage with an effective date prior to 1
   July 2013 must have the industry of employer data supplied in ANZSIC 1993.
- New claims which are related to a coverage with an effective date from 1 July 2013 to 30 June 2014 must have the industry of employer supplied in ANZSIC 1993 and ANZSIC 2006.
- Adjustments to claims which are related to a coverage with an effective date from 1
  July 2013 to 30 June 2014 must have the industry of employer supplied in ANZSIC
  1993 and ANZSIC 2006.

- New claims which are related to a coverage with an effective date from 1 July 2014 must have the industry of employer data supplied in ANZSIC 2006.
- Adjustments to claims which are related to a coverage with an effective date from 1
   July 2014 must have the industry of employer data supplied in ANZSIC 2006.

#### **Industry of Workplace**

- New claims with a date of occurrence prior to 1 July 2013 must have the industry of workplace data supplied in ANZSIC 1993.
- Adjustments to claims with a date of occurrence prior to 1 July 2013 must have the industry of workplace data supplied in ANZSIC 1993.
- New claims with a date of occurrence from 1 July 2013 to 30 June 2014 must have the industry of workplace data supplied in ANZSIC 1993 and ANZSIC 2006.
- Adjustments to claims with a date of occurrence from 1 July 2013 to 30 June 2014 must have the industry of workplace data supplied in ANZSIC 1993 and ANZSIC 2006.
- New claims with a date of occurrence from 1 July 2014 must have the industry of industry of workplace data supplied in ANZSIC 2006.
- Adjustments to claims with a date of occurrence from 1 July 2014 must have the industry of workplace data supplied in ANZSIC 2006.

# 5.6 Submission of ANZSIC codes summary

		Being submitted in period			
Data being submitted	Coverage period effective from	2012-2013	2013-2014	2014-2015	
New policies and renewals	2012-2013	ANZSIC 1993	-	-	
	2012 2014	ANZSIC 1993	ANZSIC 1993		
	2013-2014	ANZSIC 2006	ANZSIC 2006	-	
	2014-2015	-	ANZSIC 2006	ANZSIC 2006	
Adjustments policies and renewals	2012-2013 and prior	ANZSIC 1993	ANZSIC 1993	ANZSIC 1993	
	2013-2014	ANZSIC 1993	ANZSIC 1993	ANZSIC 1993	
		ANZSIC 2006	ANZSIC 2006	ANZSIC 2006	
	2014-2015	-	ANZSIC 2006	ANZSIC 2006	
New claims data and adjustments to claims data –	2012-2013 and prior	ANZSIC 1993	ANZSIC 1993	ANZSIC 1993	
industry of employer	2013-2014	-	ANZSIC 1993 ANZSIC 2006	ANZSIC 1993 ANZSIC 2006	
	2014-2015	-	-	ANZSIC 2006	

		Being	submitted in period		
Data being submitted	Date of Occurrence	2012-2013	2013-2014	2014-2015	
New claims data and	2012-2013 and prior	ANZSIC 1993	ANZSIC 1993	ANZSIC 1993	
adjustments to claims data – industry of workplace	2013-2014	-	ANZSIC 1993 ANZSIC 2006	ANZSIC 1993 ANZSIC 2006	
	2014-2015	-	-	ANZSIC 2006	

## **Examples:**

- A new policy with an effective date of 1 October 2012 being submitted in the 2012-2013 year would need to have the Industry of Employer submitted in ANZSIC 1993 (orange cell).
- A new claim with a date of occurrence of 25 July 2013 being submitted on the 3 October 2013 but linked to a policy with a coverage that was effective from 1 September 2012 would need to be have the Industry of Employer data submitted in ANZSIC 1993 (pink cell) and the Industry of Workplace data submitted in ANZSIC 1993 and ANZSIC 2006 (yellow cell).

#### 6 ID fields

#### 6.1 Coverage ID

1. Why is this needed?

It is used to identify a data row in a relational database. Just as in a database, when a new coverage is created, it will get a new ID.

2. What will this be used for?

When an update to an existing coverage is performed, the update is performed to the coverage that is identified by the supplied coverage ID.

When the coverage is updated, be that the effective date, expiry date or both, or any of the other meta data fields associated with the coverage, a new coverage ID will not be required. the original (and only) coverage ID is required.

## 6.2 Medical Certificate ID

1. Why is this needed?

This is used to allow Insurers to update Medical Certificate update records.

2. What will this be used for?

Determine the number of medical certificates and which medical provider is issuing the certificates

It is Mandatory - must be a unique number

3. Why does it have to be unique for the Insurer and could it be unique for that claim? It needs to be unique for a claim. The xml submission channel will ensure that it is always unique, as a second row with the same id will update the first row. In the UI, the user can currently enter duplicate medical certificate id's

# 6.3 Work Status Update ID

1. Why is this needed?

This is used to allow Insurers to update Work Status update records.

2. What will this be used for?

As part of the SafeWork Australia reporting requirements - the requirement is to know how many times a worker's work status changes in the life of a claim.

It is Mandatory - must be a unique number

3. Why does it have to be unique for the Insurer? Could it be unique for that claim? It needs to be unique for a claim. The xml submission channel will ensure that it is always unique, as a second row with the same id will update the first row.

#### 6.4 Payment ID

1. Why is this needed?

The insurer's unique payment ID for the specific payment transaction.

2. What will this be used for?

To allow the identification of a specific payment transaction

# 7 Premium, Wages and Workers

The following details explanations for the use of the following fields

- Initial Deposit Premium Charged (P053);
- Current Adjusted Premium Charged (P041);
- Estimated Wages (P035), Estimated Number of Workers (P036);
- Actual Wages (P037); and
- Actual Number of Workers (P038)

#### 7.1 Premium Fields

The National Insurer Data Set (NIDS) has been revised and now requires only **two** premium fields to be reported:

#### 7.1.1 Initial Deposit Premium Charged (P053)

• Used for reporting the premium collected for new business and at renewal.

## 7.1.2 Current Adjusted Premium Charged (P041)

 Used for reporting adjusted total premium changes as a result of adjustments during and after the policy period. This will include all retro adjustments.

#### 7.2 Wages and Workers

Estimated and actual fields for wages and workers will also be reported:

#### 7.2.1 Estimated Wages (P035)

• Used for reporting all non-final (actual) wage estimates for new business and at renewal as well as adjustments to wages estimates during the policy.

#### 7.2.2 Estimated Number of Workers (P036)

• Used for reporting all non-final (actual) worker estimates for new business and at renewal as well as adjustments to worker estimates during the policy.

## **7.2.3** Actual Wages (P037)

• Used for reporting the actual wages once known (usually at the end of the policy period / at the next renewal).

#### 7.2.4 Actual Number of Workers (P038)

• Used for reporting the actual number of workers once known (usually at the end of the policy period / at the next renewal).

# 7.3 Example Scenarios

Scenario	Initial Deposit Premium Charged (P053)	Current Adjusted Premium Charged (P041)	Estimated Wages (P035) & Estimated Number of Workers (P036)	Actual Wages (P037) & Actual Number of Workers (P038)
1. Conventional policy Policy coverage period 1 July 2012 to 30 June 2013. No burning cost arrangement i.e. initial deposit followed by one adjustment at renewal.				
Report at renewal/new business	Yes		Yes	
<ul> <li>Report adjusted total as a result of amendments during the policy period</li> </ul>	As previously reported	Yes	Yes	
<ul> <li>Report final/actual totals a result of all adjustments when finalised (usually at next renewal)</li> </ul>	As previously reported	Yes	As previously reported	Yes

Scer	ario	Initial Deposit Premium Charged (P053)	Current Adjusted Premium Charged (P041)	Estimated Wages (P035) & Estimated Number of Workers (P036)	Actual Wages (P037) & Actual Number of Workers (P038)
2.	Policy coverage period 1 July 2012 to 30 June 2013. Burner/retro arrangements: annual adjustments based on claim experience (for claims incurred in the coverage period) on 30 June 2013 and 30 June 2014 with a final adjustment on 30 June 2015.				
•	Report of the initial information collected at commencement of coverage.	Yes		Yes	
•	Report adjusted total as a result of non- final cost based (i.e. 30 June 2013 and 2014 adjustments) or data correction adjustments.	as previously reported	Yes	as previously reported	Yes
•	Report final premium collected as a result of all adjustments in Current Adjusted Premium Charged (P041) when burner/retro period completed (after 30 June 2015 adjustment).	as previously reported	Yes	as previously reported	Yes

Scei	nario	Initial Deposit Premium Charged (P053)	Current Adjusted Premium Charged (P041)	Estimated Wages (P035) & Estimated Number of Workers (P036)	Actual Wages (P037) & Actual Number of Workers (P038)
3.	New Business Transaction and Endorsement process are reported across different submissions				
•	01/07/2012 New Business record created for Policy A where basic premium is \$1,000 with 1 ANZSIC 07/07/2012 XML Submission File generated and sent to WorkCover which contains both a Policy and Coverage record for Policy A	\$1,000 Coverage Type Code = 02 (New Policy Notification)		Yes	
•	02/08/2012 Adjustment/Endorsement processed on policy A increasing the premium by an extra \$500 03/08/2012 XML Submission File generated and sent to WorkCover which contains both a Policy and Coverage record for Policy A where	\$1,000	\$1,500 Coverage Type Code = 06 (Policy Adjustment Notification)	Yes	

Scena	rrio	Initial Deposit Premium Charged (P053)	Current Adjusted Premium Charged (P041)	Estimated Wages (P035) & Estimated Number of Workers (P036)	Actual Wages (P037) & Actual Number of Workers (P038)
	New Business Transaction and Endorsement process and reported within the same submission				
-	7/2012 New Business record created for A where basic premium is \$1,000 with 1 IC.		\$1500 Coverage Type Code =		
proce	7/2012 Adjustment/Endorsement essed on policy A increasing the premium extra \$500	\$1000	06 (Policy Adjustment Notification)	Yes	
and se	7/2012 XML Submission File generated ent to WorkCover which contains both a and Coverage record for Policy A				
5.	Initial Cover Note	Yes		Yes	
6.	Lapsed Cover Note	As previously reported	= 0	As previously reported	= 0
7.	Lapsed renewal	As previously reported	= 0	As previously reported	= 0
8.	Policy cancelled from inception	As previously reported	=0	As previously reported	= 0
9.	Policy cancelled mid-term	As previously reported	Adjusted	As previously reported	Adjusted
10.	Mid-term update of estimated wages	As previously reported	Adjusted	Adjusted	
11.	Wage audit	As previously reported	Adjusted	Adjusted	

# 8 GST

## 8.1 Premium

Premium costs are to be reported as net of levies/discounts.

GST (Goods and Services Tax) Considerations:

• Premium costs are to be reported exclusive of GST, for example, an employer is charged a premium of \$1000 + 10% GST = total of \$1100; the amount to be reported is \$1000.

# 8.2 Payments (Actual and Estimated)

GST (Goods and Services Tax) Considerations:

- Payments are to be reported nett of GST, that is, nett cost = service cost + GST (if applicable) – input tax credit entitlements.
- Input tax credit entitlements are to be deducted from the service cost at the time of reporting, regardless of whether they have actually been recovered.
- Claims costs paid by employers under the compulsory excess provisions are also to be reported nett of GST as above.
- Estimated payments are also to be reported nett of GST as above.

# NIDS Version 8.0 Addendums to Guide ACT Implementation VERSION 3.0

# The National Insurer Data Specifications (NIDS)

The National Insurer Data Specifications (NIDS) is the new reporting standard according to which workers' compensation insurers will provide claim and policy data to regulators.

The data requirements arise from obligations to monitor workers' compensation schemes, to promote work safety and injury management, and collect data compliant with the National Data Set for Compensation – based Statistics (NDS).

The NIDS standard was developed by the ACT, Tasmanian, Western Australian and Northern Territory regulators in consultation with the Insurance Council of Australia and is being implemented by each jurisdiction (except NT) during 2013.

The NIDS is made up of three documents:

- NIDS V8.0.doc
- NIDS 8.0 Rules and Validations.xls
- NIDS 8.0 Submission.xsd

As at December 2012, the current version of NIDS is V8.0 this was issued by Tasmania in November 2012.

# **National Change Control arrangements**

To assist with the ongoing maintenance and consistency of the three main documents, jurisdictions have agreed to the following arrangements.

- Any changes to NIDS associated with the following need to be discussed and agreed by all jurisdictions.
  - o new fields
  - o new codes
  - o changes to existing codes
  - o changes to rules and validations
- Continue to maintain only one XSD file that satisfies all jurisdictions' requirements.
- Where changes are required by a jurisdiction it is the responsibility of that jurisdiction to negotiate the change with regulators and insurers nationally.
- Once agreed, it is the initiating jurisdiction's responsibility for updating the documentation and files in the three key documents (identified above).

The addendums outlined in this document do not require national change control prior to their implementation. The ACT will however work with other jurisdictions to ensure the national version of NIDS reflects ACT practice.

	NIDS V8.0 - ADDENDUMS FOR ACT INSURER IMPLEMENTATION					
SECTION	FIELD NAME	PAGE	CURRENT (V8.0)	MODIFICATION		
Intro		8	This specification is primarily designed for insurers and self-insurers, to enable them to provide the data required by WorkCover Tasmania.	Replace with This specification is primarily designed for insurers and self-insurers, to enable them to provide the data required by WorkCover Tasmania, ACT WorkSafe and WorkCover WA.		
Header		8-69	National Insurer Data Specifications (NIDS) 7.3.4	Replace with National Insurer Data Specifications (NIDS)		
Table Terminology		8	M = The previous value will not be overwritten, all values will be kept as an historical record to allow for reporting on the progression, time lines or number of	Replace with  M = The previous value will not be overwritten, all values will be kept as an historical record		
			over the life of the claim, coverage	Conditional – the element must be supplied if it fits within the conditional rules		
			Conditional – The data item is supplied according to the insurer's discretion	Optional – the element can be supplied at the discretion of the insurer, if supplied it must adhere to any rules		
			Optional – The data item only has to be supplied when applicable			
Table		8	The record will be rejected and the reason for the	Replace with		
Reject			rejection will be given.	The record will be rejected and the reason for the rejection will be given.		
			TAS insurers: The rejected record will be displayed in the list of rejected data or in the downloadable rejected data file.	TAS and ACT insurers: The rejected record will be displayed in the list of rejected data or in the downloadable rejected data file.		
Table Flag		9	TAS Insurers: This record will be flagged and it will not be displayed in the rejected data list. A flagged file can be either approved or rejected. If rejected it will be added to the rejected data list with a comment as to why it has been rejected.	Replace with  TAS and ACT Insurers: This record will be flagged and it will not be displayed in the rejected data list. A flagged file can be either approved or rejected. If rejected it will be added to the rejected data list with a comment as to why it has been rejected.		
P043 Condition	Workercover Number	10	Mandatory (for WA only)	Add ACT insurers: leave this field blank		
P044	Employer ACN	11	Mandatory (for ACT only)	Replace with optional		
P004	Revised Policy	11	When supplied should not already exist on the WorkCover TAS database (i.e., should only be notified	Replace with When supplied should not already exist on the database (i.e. should only be		

	NIDS V8.0 - ADDENDUMS FOR ACT INSURER IMPLEMENTATION					
SECTION	FIELD NAME	PAGE	CURRENT (V8.0)	MODIFICATION		
Notes	Number		once as the Revised Policy Number, thereafter as the Policy Number).	notified once as the Revised Policy Number, thereafter as the Policy Number).		
P006 Condition	Employer Other Name	12	Mandatory (for WA only)	Replace with Optional for ACT		
P050 Condition	Employer Surname	12	Mandatory (for WA only)	Replace with Optional for ACT		
P007 Cardinality	Employer Trading Name	13	Many	Replace with M		
P011 Condition	Employer Address Suburb	14	Optional	Replace with Mandatory		
P012 Condition	Employer address state/territory	14	Optional	Relace with Mandatory		
P013 Condition	Employer Address Postcode	15	Optional	Replace with Mandatory		
P014 Condition	Employer Postal Address Line 1	15	Optional	Add Mandatory for ACT		
P015 Condition	Employer Postal Address Suburb	16	Optional	Add Mandatory for ACT		
P016	Employer Postal Address State/Territory	16	Optional	Add Mandatory for ACT		
P017	Employer Postal Address Postcode	17	Optional	Add Mandatory for ACT		
P018 Condition	Employer Phone Number	17	Optional	Add Mandatory for ACT from 30 September 2014		
P026 Condition	Injury Management Program Type	18	Optional	Add ACT insurers: leave this field blank		

	NIDS V8.0 - ADDENDUMS FOR ACT INSURER IMPLEMENTATION						
SECTION	FIELD NAME	PAGE	CURRENT (V8.0)	MODIFICATION			
P026 Note	Injury Management Program Type	18	Default to 01 – Insurer - Will make optional only until the July 1, 2013	Replace with  Tas only: Default to 01 – Insurer - Will make optional only until the July 1, 2013			
P033 Condition	ANZSIC 1993	21	Mandatory	Replace with: Conditional			
P033 Description	ANZSIC 1993	21	Identifies the Australian and New Zealand Standard Industrial Classification code (four-digit level) of the employer holding this particular policy to which the claim is charged pre 1 Jul 2012	Replace with Identifies the Australian and New Zealand Standard Industrial Classification code (four-digit level) of the employer holding this particular coverage to which the claim is charged:  - Pre 1 Jul 2014 (Tasmania)  - Pre 1 Jul 2015 (ACT)  Add to NOTE: ACT: Mandatory if the effective date of coverage is less than or equal to 30 Jun 2015			
3.2	Rule No P033.2	75	If the effective date of the coverage is less than or equal to 30 June 2014 then this field is Mandatory	Replace with:  If the effective date of the coverage is less than or equal to 30 June 2015 then this field is Mandatory			
3.2	Error message No P033.2		This coverage has an effective date prior to 1 July 2014 so therefore must have a valid ANZSIC 1993 code	Replace with: This coverage has an effective date prior to 1 July 2015 so therefore must have a valid ANZSIC 1993 code			
3.3	Rule No C008.5	78	If the Effective Date (P031) of the coverage that covers the Date of Occurrence (C048) is on or before 30 June 2014 then this field is mandatory.	Replace with:  If the Effective Date (P031) of the coverage that covers the Date of Occurrence (C048) is on or before 30 June 2015 then this field is mandatory.			
3.3	Error message No C008.5	78	Because this claim is being linked with policy/coverage with an effective date on or prior to 30 June 2014 an ANZSIC 1993 code is required	Replace with:  Because this claim is being linked with policy/coverage with an effective date on or prior to 30 June 2015 an ANZSIC 1993 code is required			

			NIDS V8.0 - ADDENDUMS FOR ACT	INSURER IMPLEMENTATION
SECTION	FIELD NAME	PAGE	CURRENT (V8.0)	MODIFICATION
3.2	Rule P034.4	75	If the effective date of the coverage is equal to or greater than 1 July 2013 then this field is mandatory (date is configurable - system setting)	Replace with:  If the effective date of the coverage is equal to or greater than 1 July 2014 then this field is mandatory (date is configurable - system setting)
3.2	Error message P034.4	75	This coverage has an effective date after 30 June 2013 so therefore must have a valid ANZSIC 2006 code	Replace with: This coverage has an effective date after 30 June 2014 so therefore must have a valid ANZSIC 2006 code
3.2	P031.4	NA		Add: Self insurers and DIF only
				Code Severity Description Message text Filter
				P031.4 Error Self-insurers must Coverage must Self-insurers have an effective start on the 1 July only date of 1 July
3.2	P032.4		NA	Add: Self insurers and DIF only
				Code Severity Description Message text Filter
				P032.4 Error Self-insurers Coverage must Self-insurers must have an end on the 30 only expiry date of June 30 June
3.2	Rule P035.3	75		Disregard this rule – no validation to be applied in the ACT
3.2	Rule P037.1	75		Disregard this rule – no validation to be applied in the ACT
3.3	Rule C040.3 and	81	If below a minimum(x) or above a maximum figure	Replace with:

	NIDS V8.0 - ADDENDUMS FOR ACT INSURER IMPLEMENTATION					
SECTION	FIELD NAME	PAGE	CURRENT (V8.0)	MODIFICATION		
	Rule C041.2		(y)	If below a minimum \$600 or above a maximum figure \$4,935		
				Note – this validation will not apply to part time workers (C035 code 02)		
P053 Description	Initial Deposit Premium Charged	23	The initial premium charged for the specified period of cover for each premium rate classification for the policy, regardless of the type of policy	Replace with  The initial premium charged for the specified period of cover for each coverage, regardless of the type of policy		
P041 Description	Current Adjusted Premium Charged	24	The current adjusted premium charged for the specified period of cover for each ANZSIC classification for the policy, regardless of the type of policy. Including for burning cost policies	Replace with  The current adjusted premium charged for the specified coverage, regardless of the type of policy. Including for burning cost policies		
P042 Description	Actual Final Premium Charged	24	The latest adjusted premium charged for the specified period of cover for each ANZSIC classification for the policy, regardless of the type of policy	Replace with The latest adjusted premium charged for the specified coverage, regardless of the type of policy		
C001 Description	Insurer Number	25	The number allocated to the insurer, this is a national number allocated to insurers and is the same number used by all jurisdictions	Replace with The number allocated to the insurer, this is the same number used by all NIDS jurisdictions		
C003 Condition	Workcover Claim Number	25	Mandatory (for WA only)	Add ACT insurers: leave this field blank		
C004 Condition	Start Date of Return Period	25	Mandatory (for WA only)	Add ACT insurers: leave this field blank		
C005 Condition	End Date of Return Period	25	Mandatory (for WA only)	Add ACT insurers: leave this field blank		
C008 Description	ANZIC 1993	27	Identifies the Australian and New Zealand Standard Industrial Classification code (four-digit level) of the employer holding this particular policy to which the claim is charged pre 1 Jul 2012	Replace with Identifies the Australian and New Zealand Standard Industrial Classification code (four-digit level) of the employer holding this particular coverage to which the claim is charged.		
C129	ANZIC 2006	27	Industry of employer (ANZSIC Classification 2006)	Replace with		

		NIDS V8.0 - ADDENDUMS FOR ACT INSURER IMPLEMENTATION						
SECTION	FIELD NAME	PAGE	CURRENT (V8.0)	MODIFICATION				
Description			Identifies the Australian and New Zealand Standard Industrial Classification code (four-digit level) of the employer holding this particular policy to which the claim is charged post 1 July 2013	Industry of employer (ANZSIC Classification 2006) Identifies the Australian and New Zealand Standard Industrial Classification code (four-digit level) of the coverage to which the claim is charged  Add to NOTE:  ACT: optional if Effective Date (P031) of the coverage that covers the Date of Occurrence (C048) is before 1 July 2014.  ACT: mandatory if Effective Date (P031) of the coverage that covers the				
C009 Notes	Shared Claim Code	28	Examples of a claim that would be considered 'Shared' are a claim which has been lodged as workers compensation but then determined to be under Compulsory Third Party insurance, or a claim which has been lodged with more than one insurer due to a dispute/uncertainty in where the liability falls. This includes claims which are lodged with an insurer and then passed on to the nominal insurer.  If the insurer is determined to be liable, then the code 01 Shared, responsible Insurer should be used, if the insurer is determined not to be liable, then code 02 Shared, not responsible insurer applies.	Date of Occurrence (C048) is after 30 June 2014  Replace with Identifies that the financial responsibility for a claim is being shared with another insurer.				
C010 Condition	Record Status Code	28	Mandatory (WA only)	Replace with ACT insurers- leave this blank				
C029 Condition	Worker Date of Birth	34	Optional	Replace with Mandatory				
C037 Description	Workers Occupation Code	38	The Australian and New Zealand Standard Classification of Occupations (ANZSCO) code	Replace with The Australian and New Zealand Standard Classification of Occupations (ANZSCO) code (ACT insurers: ANZSCO 2006 revision one)				
C038 Notes	Hours Worked Per Day	38		Add a note  (ACT insurers) - overtime hours should only be included if the overtime is taken into account in the calculation of average pre incapacity weekly earnings in				

			NIDS V8.0 - ADDENDUMS	FOR ACT INSURER IMPLEMENTATION
SECTION	FIELD NAME	PAGE	CURRENT (V8.0)	MODIFICATION
				accordance with s 25 of the ACT WC Act
C039 Description	Hours Worked Per Week	38	Worked	Replace with worker
				Add a note (ACT insurers) – reported figure should be the average pre incapacity weekly hours, calculated according to s21 or s22 of the WC Act (ACT)
C040 Notes and Description	Normal Weekly Earnings	38	refer to Section 69(2) of the Act	Replace with refer to Section 69(2) of the Act (Tasmania only)
				Add a note:  (ACT insurers) - the reported figure should be the pre-incapacity weekly earnings, calculated in accordance with Chapter 4 of the WC Act 1951. If the Act contradicts the NIDS description, the ACT definition prevails
CO56	Date of Death	44	Mandatory	Conditional
Condition				
C058	Date of	45	Mandatory	Conditional
Condition	Recurrence			
CO59	Date Reopened	45	Mandatory	Conditional
Condition				
C060	Weekly Benefit	45	Mandatory	Conditional
Condition	Rate			
C043	Employer ABN	39	Mandatory	Replace with
Condition				Optional
C125	Employer ACN	39	Mandatory (for ACT only)	Replace with
Condition				Optional for ACT only
C127	Workcover	40	Mandatory (WA only)	Add

		NIDS V8.0 - ADDENDUMS FOR ACT INSURER IMPLEMENTATION					
SECTION	FIELD NAME	PAGE	CURRENT (V8.0)	MODIFICATION			
Condition	Number			ACT insurers: leave this field blank			
C054 Condition	Injury Management Program Type	43	Mandatory (ACT and WA only)	Replace with Mandatory (WA only)  Add  ACT Insurers leave this field blank			
C066 Notes	Workplace ANZIC 1993	48		Add a note See ANZSIC 1993 and 2006 – Explanation of coding			
3.3	Rule C066.5	84	If the Date of Occurrence (C048) is less than or equal to 30 June 2014 then this field is Mandatory	Replace with:  If the Date of Occurrence (C048) is less than or equal to 30 June 2015 then this field is Mandatory			
3.3	Error message C066.5		Because this claim has an occurrence date on or prior to 30 June 2014 an ANZSIC 1993 Workplace code is required	Replace with  Because this claim has an occurrence date on or prior to 30 June 2015 an ANZSIC 1993 Workplace code is required			
C083 Cardinality	Date of Medical Certificate	53	Many	Replace with M			
C131 Cardinality	Medical Certificate id	53	Many	Replace with M			
C097 Format	Total Time Lost Estimated	58	Numeric	Add: Length: 7 digits – (HHHHHMM)			
C100 Notes	Payment Type Code	60- 61	Section 69 Section 67a Section 67 Section 75(1AA)(1)(b)	Replace with Section 69 (Tas) or Part 4.3 of the WC Act 1951 (ACT) Section 67a (Tas) or Part 4.6 of the WC Act (ACT) Section 67 (Tas) or Part 4.6 of the WC Act (ACT) Section 75(1AA)(1)(b) (Tas) or Part 4.6 of the WC Act (ACT)			

	NIDS V8.0 - ADDENDUMS FOR ACT INSURER IMPLEMENTATION					
SECTION	FIELD NAME	PAGE	CURRENT (V8.0)	MODIFICATION		
C100 Notes	Payment Type Code	63	Treatments provided as vocational rehabilitation 10 – Common law  The total economic (loss of future earnings, loss of superannuation, legal expenses and future medical costs) and non-economic loss (pain and suffering) components of a common law settlement or judgment.  Claims with an accident date of 1 July 2010 or greater must have a Whole person Impairment Percentage of 20% or more if a Common Law Payment is to be made.  Claims with an accident date of between 1 July 2001 and 30 June 2010 must have a Whole Person Impairment Percentage of 30% or more if a Common Law Payment is to be made.	Replace with Treatments provided as vocational rehabilitation  10 - Common law The total economic (loss of future earnings, loss of superannuation, legal expenses and future medical costs) and non-economic loss (pain and suffering) components of a common law settlement or judgment.  ACT only: Payments made for damages claims in accordance with chapter 9 of the WC Act (ACT).  Tas only: Claims with an accident date of 1 July 2010 or greater must have a Whole person Impairment Percentage of 20% or more if a Common Law Payment is to be made.  Tas only: Claims with an accident date of between 1 July 2001 and 30 June 2010 must have a Whole Person Impairment Percentage of 30% or more if a Common Law Payment is to be made.		
C100 Notes	Payment Type Code	63	Payments made under Sections 71, 72 and 73.  Payments for permanent impairment (physical, psychological, industrial deafness).  Includes payments under previous Table of Maims for claims with an accident date prior to 1 July 2001	Replace with Payments made under Sections 71, 72 and 73 (Tas) or part 4.4 of the WC Act (ACT).  Payments for permanent impairment (physical, psychological, industrial deafness).  Includes payments under previous Table of Maims for claims with an accident date prior to 1 July 2001 (Tas only)		
C100 Notes	Payment Type Code	63	12 - Redemption  Payments relating to the commutation of statutory benefits. This can only apply to claims with an accident date of 1 July 2001 or greater.  Claims with an accident date of 1 July 2010 or greater	Replace with  (ACT) Use this code to report payments made for the settlement of a claim in accordance with part 6.4 of the WC Act.  (Tas) Payments relating to the commutation of statutory benefits. This can only apply to claims with an accident date of 1 July 2001 or greater.		

		NIDS V8.0 - ADDENDUMS FOR ACT INSURER IMPLEMENTATION					
SECTION	FIELD NAME	PAGE	CURRENT (V8.0)	MODIFICATION			
			(section 132A)	Claims with an accident date of 1 July 2010 or greater (section 132A)			
			Claims with an accident date of between 1 July 2001 and 30 June 2010 inclusive (repealed sections 39 and 89)	Claims with an accident date of between 1 July 2001 and 30 June 2010 inclusive (repealed sections 39 and 89)			
C100 Notes	Payment Type Code	63	13 - Negotiated Lump Sum Settlement Payments of lump sums where the claim is settled by common law release, but no writ was issued. This can only apply to claims with an accident date prior to 1 July 2010. This should include all costs associated with the settlement.	13 - Negotiated Lump Sum Settlement Payments of lump sums where the claim is settled by common law release, but no writ was issued. This should include all costs associated with the settlement.  Tas only: This can only apply to claims with an accident date prior to 1 July 2010.			
C100 Notes	Payment Type Code	63	09 – Allied health payment	Add: Pharmaceuticals			
C101 Cardinality	Weekly Payment Code	65	Many	Replace with M			
C102	Time Lost	66	Many	Replace with			
C103	Date Paid From			M			
C104	Date Paid To						
C112	Service Code						
C113 Cardinality	Service Date						
C110	Payment Source	68	Mandatory	Replace with			
Condition				ACT: Insurers leave this field blank			
3.4	Rule No C110.3	92		Disregard this rule – C110 not used in the ACT – validation not applied			
3.4.1	Rule No C110.M	93		Disregard this rule – C110 not used in the ACT – validation not applied			
C111	Provider Number	68	Many	Replace with M			
Cardinality							
C111	Provider Number	68	Medicare	Replace with AHPRA			

	NIDS V8.0 - ADDENDUMS FOR ACT INSURER IMPLEMENTATION					
SECTION	FIELD NAME	PAGE	CURRENT (V8.0)	MODIFICATION		
Description						
C106, 107 Cardinality	Transaction Date Transaction Type Code	67	Many	Replace with M		
C109 Condition	Payment Context	68	Mandatory WA only	Replace with Mandatory (WA and ACT)		
3.4	Rule C096.3	89	Total Payments Actual must equal sum(all payments for claim) plus or minus X%	Replace with: Total Payments Actual must equal sum(all payments for claim) plus or minus 5%		
3.4	Rule C098.2	89	Total Lost Time Actual must equal sum(all payments for claim) plus or minus X%	Total Lost Time Actual must equal sum (i.e. sum of time lost on individual payment transactions using C103, C104) plus or minus 5%		
3.4	Rule C100.4	89	If Payment Type code is equal to 12 Redemption payment then date of occurrence (C048) must be equal to or greater than 1 July 2001	<u>Disregard this rule – C100.4 does not apply to the ACT – validation not applied</u>		
3.4	Rule C100.7	90	If Payment Type code is equal to 11 Permanent Impairment Payment then Whole Person Impairment (C092) must be greater than 0	<u>Disregard this rule – C100.7 does not apply to the ACT – validation not applied</u>		
3.4	Rule C100.9	90	If Payment Type code is equal to 10 Common Law then if date of occurrence (C048) is equal to or greater than 1 July 2010 then Whole Person Impairment Percentage (C092) must be 20% or greater	<u>Disregard this rule – C100.9 does not apply to the ACT – validation not applied</u>		
3.4	Rule C100.12	90	If Payment Type Code is equal to 13 Negotiated Settlement then date of occurrence (C048) must be less than or equal to 30 June 2010	<u>Disregard this rule – C100.12 does not apply to the ACT – validation not applied</u>		
3.4	Rule C105.3	92	If Payment Type code is equal to 01 Weekly Payment and Weekly Payment Code is equal to 01 Weekly Payment then the Payment Amount (C105)	Replace with  If Payment Type code is equal to 01 Weekly Payment and Weekly Payment Code is equal to 01 Weekly Payment then the Payment		

	NIDS V8.0 - ADDENDUMS FOR ACT INSURER IMPLEMENTATION							
SECTION	FIELD NAME PAGE		CURRENT (V8.0)	MODIFICATION				
			/Time Lost (C102) must not be more than x% lower or y% higher than the (highest of either Ordinary Time Rate (C041)/ Hours worked per week (C039) or Normal weekly earnings (C040) / Hours worked per week (C039)	Amount (C105) /Time Lost (C102) must not be more than 20% lower or 20% higher than the (highest of either Ordinary Time Rate (C041)/ Hours worked per week (C039) or Normal weekly earnings (C040) / Hours worked per week (C039)				
	Rule C112.2		If Payment Type Code (C100) is equal to [05, 06, 08,09] a service code is required, cannot be NULL	<u>Disregard this rule – C112.2 does not apply to the ACT – validation not applied</u>				
5	ANZIC 1993 and 2006- Explanation of Coding	97-	This section relates to implementation in Tasmania	Disregard NIDS section 5 Attachment 1 of this addendum document provides the equivalent ACT specific ANZSIC 2006 transition information.				
7.3	Example Scenarios	105- 108	Policy coverage period 1 July 2012 to 30 June 2013.	Replace with: Policy coverage period 1 July 2014 to 30 June 2015.				

## ANZSIC 1993 and 2006 Explanation of Coding - ACT INSURERS

#### Introduction

Tasmania, Western Australia, the Australian Capital Territory and the Insurance Council of Australia have agreed on a consistent approach to moving from the ANZSIC 1993 industry classification to the ANZSIC 2006 industry classification. This document describes how the transition will be executed in the ACT.

# **Dual coding approach**

The tables below describe the approach to reporting industry (ANZSIC) codes, which must be used by ACT insurers when submitting data to the WCMS system, according to the NIDS reporting standard. The NIDS data items used to report ANZSIC are P033; P034; C008; C129; C066; and C128.

Year of effect	Business Process	
2013-14	ANZSIC 1993 (plus optional supply of ANZSIC 2006)	
2014-15	Dual Code ANZSIC 1993 and ANZSIC 2006	
2015-16 onwards	ANZSIC 2006	

# Industry of Employer and Industry of the Workplace - continue separate coding

# **Industry of Employer code**

The Industry of Employer at the claim and policy/coverage level are to be coded as ANZSIC 1993 or ANZSIC 2006 (or dual coded) based on the effective date of the policy/coverage.

Year of effect	Business Process	
2013-14	The Industry of Employer data for policies with an effective date in this period (and claims linked to such policies) must be coded as ANZSIC 1993 plus optional supply of ANZSIC 2006.	
2014-15	The Industry of Employer data for policies with an effective date in this period (and claims linked to such policies) must be dual coded as ANZSIC 1993 and ANZSIC 2006.	
2015-16 onwards	The Industry of Employer data for policies with an effective date in this period (and claims linked to such policies) must be coded as ANZSIC 2006.	

## **Industry of Workplace code**

The Industry of Workplace is to be coded based on the *date of occurrence* recorded for the claim.

Year	Business Process		
2013-14	For claims with a date of occurrence in this period code the Industry of Workplace as ANZSIC 1993 plus optional supply of ANZSIC 2006.		
2014-15	For claims with a date of occurrence in this period dual code the Industry of Workplace as ANZSIC 1993 and ANZSIC 2006.		
2015-16 onwards	For claims with a date of occurrence in this period code the Industry of Workplace as ANZSIC 2006.		

# **Description in more detail:**

		Being submitted in period		
Data being submitted	Coverage period effective from	2013-14	2014-15	2015-2016
New policies and renewals	2013-14	ANZSIC 1993 (mandatory) ANZSIC 2006 (optional)	ANZSIC 1993 (mandatory) ANZSIC 2006 (optional)-	
	2014-15	ANZSIC 1993 and ANZSIC 2006	ANZSIC 1993 and ANZSIC 2006	ANZSIC 1993 and ANZSIC 2006
	2015-16	-	ANZSIC 2006	ANZSIC 2006
Adjustments policies and renewals	2013-14 and prior	ANZSIC 1993 (mandatory) ANZSIC 2006 (optional)	ANZSIC 1993 (mandatory) ANZSIC 2006 (optional)	ANZSIC 1993 (mandatory) ANZSIC 2006 (optional)
	2014-15	ANZSIC 1993 and ANZSIC 2006	ANZSIC 1993 and ANZSIC 2006	ANZSIC 1993 and ANZSIC 2006
	2015-16	ANZSIC 2006	ANZSIC 2006	ANZSIC 2006
New claims data and adjustments to claims data – industry of employer	2013-14 and prior	ANZSIC 1993 (mandatory) ANZSIC 2006 (optional)	ANZSIC 1993 (mandatory) ANZSIC 2006 (optional)	ANZSIC 1993 (mandatory) ANZSIC 2006 (optional)
	2014-15	-	ANZSIC 1993 and ANZSIC 2006	ANZSIC 1993 and ANZSIC 2006
	2015-16	-	ANZSIC 2006	ANZSIC 2006
New claims data and adjustments to claims data	2013-14 and prior	ANZSIC 1993 (mandatory) ANZSIC 2006 (optional)	ANZSIC 1993 (mandatory) ANZSIC 2006 (optional)	ANZSIC 1993 (mandatory) ANZSIC 2006 (optional)
– industry of workplace	2014-15	-	ANZSIC 1993 and ANZSIC 2006	ANZSIC 1993 and ANZSIC 2006
	2015-16	-	-	ANZSIC 2006

# **Examples:**

- 1. A new policy with an effective date of 1 October 2013 being submitted in the 2013-14 year would need to have the Industry of Employer submitted in ANZSIC 1993 (orange cell).
- 2. A new claim with a date of occurrence of 25 July 2014 being submitted on the 3 October 2014 but linked to a policy with a coverage that was effective from 1 September 2013 would need to be have the Industry of Employer data submitted in ANZSIC 1993 (pink cell) and the Industry of Workplace data submitted in ANZSIC 1993 and ANZSIC 2006 (yellow cell).